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UNITED STATES DISTRICT COURT
EASTERN DISTRICT OF MICHIGAN
SOUTHERN DIVISION

UNITED STATES OF AMERICA

v.

YUJI SUZUKI,

Defendant.

Case:2:13-cr-20382
Judge: Hood, Denise Page
MJ: Grand, David R.
Filed: 05-21-2013 At 09:14 AM
INFO USA V YUJI SUZUKI (LG)

Count I: 15 U.S.C. § 1
Count II: 15 U.S.C. § 1

INFORMATION

**COUNT ONE
CONSPIRACY TO RESTRAIN TRADE
(15 U.S.C. § 1)**

THE UNITED STATES, ACTING THROUGH ITS ATTORNEYS, CHARGES:

Defendant and Co-Conspirators

1. During the period covered by this Count, Yuji Suzuki ("Defendant") was employed by DENSO Corporation ("DENSO"), an entity organized and existing under the laws of Japan, and with its principal place of business in Tokyo, Japan. During the period covered by this Count, Defendant was employed by DENSO in its Toyota Sales Division as a Manager from January 2005 until December 2005, as Project Leader from January 2006 until December 2006, and as Senior Manager from January 2007 until at least December 2008.

2. Various corporations and individuals, not made defendants in this Count, participated as co-conspirators in the offense charged in this Count and performed acts and made statements in furtherance of it.

3. Whenever in this Count reference is made to any act, deed, or transaction of any corporation, the allegation means that the corporation engaged in the act, deed, or transaction by

or through its officer, directors, employees, agents, or other representatives while they were actively engaged in the management, direction, control, or transaction of its business or affairs.

Background of the Offense

4. During the period covered by this Count, Defendant and his co-conspirators supplied electronic control panels (“ECUs”) to Toyota Motor Corporation and Toyota Motor Engineering & Manufacturing North America, Inc. (collectively “Toyota”) for installation in vehicles manufactured and/or sold in the United States and elsewhere.

5. During the period covered by this Count, DENSO and its co-conspirators manufactured ECUs (a) in the United States for installation in vehicles manufactured and sold in the United States, (b) in Japan for export to the United States and installation in vehicles manufactured and sold in the United States, and (c) in Japan for installation in vehicles manufactured in Japan for export to and sale in the United States.

6. An ECU is an embedded system that controls one or more of the electronic systems or subsystems in a motor vehicle. When purchasing ECUs, Toyota issues Requests for Quotation (“RFQs”) to automotive parts suppliers. Automotive parts suppliers submit quotations, or bids, to Toyota in response to RFQs. Typically, the bidding process begins approximately three years prior to the start of production. Toyota procures parts for U.S.-manufactured vehicles both in Japan and the United States.

Conspiracy to Restrain Trade

7. From at least as early as August 2005 and continuing until at least December 2008, the exact dates being unknown to the United States, Defendant and his co-conspirators participated in a combination and conspiracy to suppress and eliminate competition in the automotive parts industry by agreeing to rig bids for, and to fix, stabilize, and maintain the prices

of, ECUs sold to Toyota in the United States and elsewhere. The combination and conspiracy engaged in by Defendant and his co-conspirators was in unreasonable restraint of interstate and foreign trade and commerce in violation of the Sherman Antitrust Act, 15 U.S.C. § 1.

8. The charged combination and conspiracy consisted of a continuing agreement, understanding and concert of action among Defendant and his co-conspirators, the substantial terms of which were to rig bids for, and to fix, stabilize, and maintain the prices of, ECUs sold to Toyota in the United States and elsewhere.

Manner and Means of the Conspiracy

9. For the purpose of forming and carrying out the charged combination and conspiracy, Defendant and coconspirators did those things that they combined and conspired to do, including, among other things:

- a. participating in meetings, conversations, and communications in the United States and Japan to discuss the bids and price quotations to be submitted to Toyota in the United States and elsewhere;
- b. agreeing, during those meetings, conversations, and communications, on bids and price quotations to be submitted to Toyota in the United States and elsewhere;
- c. agreeing, during those meetings, conversations, and communications, to allocate the supply of ECUs sold to Toyota in the United States and elsewhere;
- d. submitting bids, price quotations, and price adjustments to Toyota in the United States and elsewhere in accordance with the agreements reached;
- e. selling ECUs to Toyota in the United States and elsewhere at collusive and noncompetitive prices;

- f. accepting payment for ECUs sold to Toyota in the United States and elsewhere at collusive and noncompetitive prices;
- g. engaging in meetings, conversations, and communications in the United States and elsewhere for the purpose of monitoring and enforcing adherence to the agreed-upon bid-rigging and price-fixing scheme; and
- h. employing measures to keep their conduct secret, including but not limited to, using code names.

Trade and Commerce

10. During the period covered by this Count, DENSO and its co-conspirators sold to Toyota, located in the various states in the United States, substantial quantities of ECUs shipped from outside the United States and from other states in a continuous and uninterrupted flow of interstate and foreign commerce. In addition, substantial quantities of equipment and supplies necessary to the production and distribution of ECUs by DENSO and its co-conspirators, as well as payments for ECUs sold by DENSO and its co-conspirators, traveled in interstate and foreign commerce. The business activities of Defendant and his co-conspirators in connection with the production and sale of ECUs that were the subject of the charged conspiracy were within the flow of, and substantially affected, interstate and foreign trade and commerce.

Jurisdiction and Venue

11. The combination and conspiracy charged in this Count was carried out, at least in part, within the Eastern District of Michigan within the five years preceding the filing of this Information.

**COUNT TWO
CONSPIRACY TO RESTRAIN TRADE
(15 U.S.C. § 1)**

THE UNITED STATES, ACTING THROUGH ITS ATTORNEYS, CHARGES:

Defendant and Co-Conspirators

12. Each and every allegation contained in paragraphs 1 through 3 of Count One of this Information is realleged and reasserted here as if fully set forth in this Count.

Background of the Offense

13. During the period covered by this Count, Defendant and his co-conspirators supplied heater control panels (“HCPs”) to Toyota Motor Corporation and Toyota Motor Engineering & Manufacturing North America, Inc. (collectively “Toyota”) for installation in vehicles manufactured and/or sold in the United States and elsewhere.

14. During the period covered by this Count, DENSO and its co-conspirators manufactured HCPs (a) in the United States for installation in vehicles manufactured and sold in the United States, (b) in Japan for export to the United States and installation in vehicles manufactured and sold in the United States, and (c) in Japan for installation in vehicles manufactured in Japan for export to and sale in the United States.

15. HCPs are located in the center console of an automobile and control the temperature of the interior environment of a vehicle. When purchasing HCPs, Toyota issues RFQs to automotive parts suppliers on a model-by-model basis for model specific parts. Automotive parts suppliers submit quotations, or bids, to Toyota in response to RFQs, and Toyota awards the business to the selected automotive parts supplier for the lifespan of the model, which is usually four to six years. Typically, the bidding process for a particular model

begins approximately three years prior to the start of production. Toyota procures parts for U.S.-manufactured vehicles both in Japan and the United States.

Conspiracy to Restrain Trade

16. From at least as early as July 2005 and continuing until at least December 2008, the exact dates being unknown to the United States, Defendant and his co-conspirators participated in a combination and conspiracy to suppress and eliminate competition in the automotive parts industry by agreeing to rig bids for, and to fix, stabilize, and maintain the prices of, HCPs sold to Toyota in the United States and elsewhere. The combination and conspiracy engaged in by Defendant and his co-conspirators was in unreasonable restraint of interstate and foreign trade and commerce in violation of the Sherman Antitrust Act, 15 U.S.C. § 1.

17. The charged combination and conspiracy consisted of a continuing agreement, understanding and concert of action among Defendant and his co-conspirators, the substantial terms of which were to rig bids for, and to fix, stabilize, and maintain the prices of, HCPs sold to Toyota in the United States and elsewhere.

Manner and Means of the Conspiracy

18. For the purpose of forming and carrying out the charged combination and conspiracy, Defendant and coconspirators did those things that they combined and conspired to do, including, among other things:

- a. participating in meetings, conversations, and communications in the United States and Japan to discuss the bids and price quotations to be submitted to Toyota in the United States and elsewhere;
- b. agreeing, during those meetings, conversations, and communications, on bids and price quotations to be submitted to Toyota in the United States and elsewhere;

- c. agreeing, during those meetings, conversations, and communications, to allocate the supply of HCPs sold to an automobile manufacturer in the United States and elsewhere on a model-by-model basis;
- d. submitting bids, price quotations, and price adjustments to Toyota in the United States and elsewhere in accordance with the agreements reached;
- e. selling HCPs to Toyota in the United States and elsewhere at collusive and noncompetitive prices;
- f. accepting payment for HCPs sold to Toyota in the United States and elsewhere at collusive and noncompetitive prices;
- g. engaging in meetings, conversations, and communications in the United States and elsewhere for the purpose of monitoring and enforcing adherence to the agreed-upon bid-rigging and price-fixing scheme; and
- h. employing measures to keep their conduct secret, including but not limited to, using code names and destroying documents relating to the conspiracy.

Trade and Commerce

19. During the period covered by this Count, DENSO and its co-conspirators sold to Toyota, located in the various states in the United States, substantial quantities of HCPs shipped from outside the United States and from other states in a continuous and uninterrupted flow of interstate and foreign commerce. In addition, substantial quantities of equipment and supplies necessary to the production and distribution of HCPs by DENSO and its co-conspirators, as well as payments for HCPs sold by DENSO and its co-conspirators, traveled in interstate and foreign commerce. The business activities of Defendant and his co-conspirators in connection with the

production and sale of HCPs that were the subject of the charged conspiracy were within the flow of, and substantially affected, interstate and foreign trade and commerce.

Jurisdiction and Venue

20. The combination and conspiracy charged in this Information was carried out, at least in part, within the Eastern District of Michigan within the five years preceding the filing of this Count.

ALL IN VIOLATION OF TITLE 15, UNITED STATES CODE, SECTION 1.

Dated: _____

s/ Scott D. Hammond
Scott D. Hammond
Acting Assistant Attorney General
Antitrust Division
United States Department of Justice

s/ Lisa M. Phelan
Lisa M. Phelan
Chief, National Criminal Enforcement Section
Antitrust Division
United States Department of Justice

s/ Marvin N. Price, Jr.
Marvin N. Price, Jr.
Director of Criminal Enforcement
Antitrust Division
United States Department of Justice

s/ Kathryn Hellings
Kathryn Hellings
Asst. Chief, National Criminal Enforcement Section
Antitrust Division
United States Department of Justice

s/ Eric M. Meiring
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ORIGINAL

(Companion Case information MUST be completed by AUSA and initialed)

United States District Court
Eastern District of Michigan**Criminal Case Cover**Case: 2:13-cr-20382
Judge: Hood, Denise Page
MJ: Grand, David R.
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NOTE: It is the responsibility of the Assistant U.S. Attorney signing this form to complete

Reassignment/Recusal Information

This matter was opened in the USAO prior to August 15, 2008 []

Companion Case Information

Companion Case Number: 2:12-cr-20063

This may be a companion case based upon LCrR 57.10 (b)(4)¹:

Judge Assigned: Hon. George Careem Steeh

☒ Yes☐ NoAUSA's Initials: *ER*

Case Title: USA v. YUJI SUZUKI

County where offense occurred : Oakland

Check One:

☒ Felony☐ Misdemeanor☐ Petty☐ Indictment/ ☒ Information --- no prior complaint.☐ Indictment/ ☐ Information --- based upon prior complaint [Case number:]☐ Indictment/ ☐ Information --- based upon LCrR 57.10 (d) [Complete Superseding section below].**Superseding Case Information**

Superseding to Case No: _____

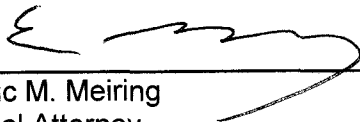
Judge: _____

- ☐ Original case was terminated; no additional charges or defendants.
- ☐ Corrects errors; no additional charges or defendants.
- ☐ Involves, for plea purposes, different charges or adds counts.
- ☐ Embraces same subject matter but adds the additional defendants or charges below:
- | <u>Defendant name</u> | <u>Charges</u> | <u>Prior Complaint (if applicable)</u> |
|-----------------------|----------------|--|
| | | |

Please take notice that the below listed Assistant United States Attorney is the attorney of record for the above captioned case.

May 17, 2013

Date


 Eric M. Meiring
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¹ Companion cases are matters in which it appears that (1) substantially similar evidence will be offered at trial, (2) the same or related parties are present, and the cases arise out of the same transaction or occurrence. Cases may be companion cases even though one of them may have already been terminated.