U.S. Securities and Exchange Commission

Supreme Power Capital Management Ltd.

U.S. SECURITIES AND EXCHANGE COMMISSION

Litigation Release No. 26535 / April 23, 2026

Securities and Exchange Commission v. Supreme Power Capital Management Ltd., No. 1:25-cv-09505-AKH (S.D.N.Y. filed Nov. 13, 2025)

SEC Obtains Final Judgment against Investment Adviser Charged with Making Misrepresentations in SEC Filing

On April 20, 2026, the U.S. District Court for the Southern District of New York entered a final judgment by default against purported investment adviser Supreme Power Capital Management Ltd. in connection with previously filed charges (https://www.sec.gov/enforcement-litigation/litigation-releases/lr-26416) for making material misrepresentations and unsubstantiated statements in a form filed with the SEC.

The SEC's complaint (https://www.sec.gov/files/litigation/complaints/2025/comp26416-supreme-power-capital-management-ltd.pdf), filed on November 13, 2025, alleged that in its December 2023 Form ADV, Supreme Power represented that it is an Exempt Reporting Adviser (a category of private fund advisers that are not required to register with the SEC); that it is a public company operating from office space in New York City; that it manages $10 million in assets in the United States; that it advises a private fund; and that a separate registered investment adviser (RIA) reports information about the private fund on its own Form ADV. Contrary to Supreme Power's representations, the complaint alleged that the real estate manager of the New York office space had no knowledge of Supreme Power or its purported Chief Executive Officer and Chief Operating Officer, and the separate RIA had not reported information about the

purported private fund. The complaint also alleged that the Commission had not found any reporting of information about the private fund on other filings with the SEC, and that a search of the Commission's public company database yielded no information on Supreme Power. Additionally, the SEC alleged that Supreme Power failed to respond to a request by Commission attorneys to provide records to substantiate the information on its Form ADV.

The final judgment permanently enjoins Supreme Power from future violations of Sections 204(a) and 207 of the Investment Advisers Act of 1940, and permanently enjoins Supreme Power, its owners, and its executive officers from filing a Form ADV as an Exempt Reporting Adviser. In addition, the judgment orders Supreme Power to pay a civil penalty of $1,182,254.

The SEC's litigation was conducted by Alexandra Lavin, Xinyue Angela Lin, David London, Sarah McAteer, Ryan Murphy, Michele Perillo, and Dahlia Rin of the SEC's Boston Regional Office. The SEC appreciates the assistance of the Financial Industry Regulatory Authority.

RESOURCES

- **Default Judgment**