Comments on Proposed Rule: Amendments to Exemptions from the Proxy Rules for Proxy Voting Advice

[Release No. 34-87457; File No. S7-22-19]

Comments have been received from individuals and entities using the following Letter Type A: 3

<u>A: 3</u>	
Jan. 31, 2020	Louise Davidson, Chief Executive Officer, Australian Council of Superannuation Investors (ACSI)
Jan. 31, 2020	<u>Daniel Savickas, Regulatory Policy Manager, and Luke Hogg, Foundation</u> <u>Program Coordinator, FreedomWorks Foundation</u>
Jan. 31, 2020	Shawn T. Wooden, Connecticut State Treasurer
Jan. 31, 2020	Stakeholders Empowerment Service (SES)
Jan. 31, 2020	Carolyn Marshall
Jan. 31, 2020	Eric Miller, President, Rideau Potomac Strategy Group
Jan. 31, 2020	Larry McKinney, Negem & Worthington
Jan. 31, 2020	Steven Jeck
Jan. 31, 2020	Dwayne Leslie, Chairman, Phase V Pharmaceuticals, Inc.
Jan. 31, 2020	Nell Minow, Vice Chair, ValueEdge Advisors
Jan. 30, 2020	Elisha Morris
Jan. 30, 2020	Rev. John R. Long, Interfaith Peace Network of Western New York
Jan. 30, 2020	Richard Stensrud, Executive Director, School Employees Retirement System of Ohio
Jan. 30, 2020	Mandi Martin
Jan. 30, 2020	Jonathan Chanis, New Tide Asset Management, LLC
Jan. 30, 2020	Charles Sauer, President, Market Institute
Jan. 30, 2020	Kevin Thomas, Chief Executive Officer, Shareholder Association for Research and Education (SHARE)
Jan. 30, 2020	James Stuart, Managing Director, Cameron Stuart Associates Ltd.
Jan. 30, 2020	Elizabeth Halliday
Jan. 30, 2020	Jude Scumaci
Jan. 30, 2020	Delaney Greig, Director, Sustainable Investing, Addenda Capital
Jan. 30, 2020	Kenneth A. Bertsch, Executive Director, and Jeffrey P. Mahoney, General Counsel, Council of Institutional Investors
Jan. 30, 2020	Joshua Newman
Jan. 30, 2020	Tao Li, Ph.D., Assistant Professor of Finance, University of Florida
Jan. 30, 2020	Sister Teresa George, Treasurer, Daughters of Charity, Province of St. Louise

Jan. 30, 2020 Michael Ellis, CEO, Inherent Group Jan. 30, 2020 Susan Johnston Jan. 30, 2020 Diane Martin Jan. 30, 2020 Kenneth Cook Jan. 30, 2020 Pablo Berrutti, Senior Investment Specialist, Stewart Investors Jan. 29, 2020 Claire Deroche, Social Justice Coordinator, Unitarian Universalist Congregation at Shelter Rock Jan. 29, 2020 Molly Gochman, Founder and President, Stardust Jan. 29, 2020 Adrian Piscu Jan. 29, 2020 Milliam J. Stromberg, President and CEO, T. Rowe Price Jan. 29, 2020 William J. Stromberg, President and CEO, T. Rowe Price Jan. 29, 2020 Guillermo Aguilar Jan. 29, 2020 Kevin T. Wolford Jan. 29, 2020 Bob Gay Jan. 29, 2020 Bob Gay Jan. 29, 2020 Bob Gay Jan. 29, 2020 Guillelmo Notarbartolo di Villarosa, Managing Director, Anya Capital Jan. 29, 2020 Guillelmo Notarbartolo di Villarosa, Managing Director, Anya Capital Jan. 29, 2020 Jan. 29, 2020 Alian W. Moskowitz, Transformative Wealth Management. LLC Jan. 29, 2020 Jane Zambrano Jan. 29, 2020 Robert A. Moss, Former President, RAM Management Group Jan. 29, 2020 Michael T. Fahey Jan. 29, 2020 Michael T. Fahey Jan. 29, 2020 Robert A. Moss, Former President, RAM Management Group Jan. 29, 2020 Robert A. Moss, Former President, RAM Management Group Jan. 29, 2020 Michael T. Fahey Jan. 28, 2020 Michael T. Fahey Jan. 28, 2020 Robert A. Moss, Former President, RAM Management Group Jan. 28, 2020 Robert A. Moss, Former President, RAM Management Group Jan. 28, 2020 Robert A. Moss, Former President, RAM Management Group Jan. 28, 2020 Robert A. Moss, Former President, RAM Management Group Jan. 28, 2020 Robert A. Moss, Former President, RAM Management Group Jan. 28, 2020 Robert A. Moss, Former President/CEO, Longview Chamber of Commerce Jan. 28, 2020 Aborah Ford Jan. 28, 2020 Robert A. Moss, Former President/CEO, Longview Chamber of Commerce Jan. 28, 2020 Robert A. Moss Former Fresident, First Baptist Church of South Bend Jan. 28, 2020 Robert South Bend	Jan. 30, 2020	John C. Coates, Professor of Law and Economics, Harvard Law School and Barbara Roper, Consumer Federation of America
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Jan. 28, 2020	Andrew W. Knox, Managing Partner, Chelsea Partners
Jan. 28, 2020	Nicole Systrom, Founder, Sutro Energy Group
Jan. 28, 2020	Shawn Portwood
Jan. 28, 2020	LE McGeachy, Shareholder
Jan. 28, 2020	Joseph V. Amato, President and Chief Investment Officer, Neuberger Berman
Jan. 28, 2020	Giorgiana Notarbartolo
Jan. 28, 2020	Jean-Phillipe Renaut, CEO, Æquo, Shareholder engagement services, et al.
Jan. 28, 2020	Michael Megill, Senior Wealth Advisor, Summit Financial, LLC
Jan. 28, 2020	Francis X. Sherman, Executive Director, Seventh Generation Interfaith Coalition for Responsible Investment
Jan. 28, 2020	Timothy W. O'Brien, Former Partner, Heidrick & Struggles International
Jan. 27, 2020	Mark Godfrey
Jan. 27, 2020	<u>Charles Lazzini</u>
Jan. 27, 2020	Thomas Harrsion
Jan. 27, 2020	Dolores Zuniga Hildago
Jan. 27, 2020	Daniel Lee
Jan. 27, 2020	Jean Hayes Cottington
Jan. 27, 2020	Diandra Soobiah, Head of Responsible Investment, NEST - National Employment Savings Trust
Jan. 27, 2020	Heidi Stam, Member, SEC Investor Advisory Committee
Jan. 27, 2020	Mike Bridges, President, International Brotherhood Of Electrical Workers
Jan. 27, 2020	<u>Thomas McCaney, Associate Director, Corporate Social Responsibility, Sisters of St. Francis of Philadelphia</u>
Jan. 27, 2020	Ike Brannon, Senior Fellow, Jack Kemp Foundation
Jan. 27, 2020	Mark A. Bloomfield, President and CEO, American Council for Capital Formation
Jan. 27, 2020	Clifton A. Pemble, President and CEO, Garmin International, Inc.
Jan. 27, 2020	Sister Judy Byron, OP, Program Director & NWCRI Director, Intercommunity Peace & Justice Center
Jan. 27, 2020	Mark Preston, CEO, Skagen Conscience Capital
Jan. 27, 2020	Stephen Holmes, Member, SEC Investor Advisory Committee
Jan. 26, 2020	Burchell Wilson, Consulting Economist, Freshwater Economics
Jan. 26, 2020	Sister Nora M. Nash, OSF, Director, Corporate Social Responsibility, Sisters of St Francis of Philadelphia
Jan. 26, 2020	Keith Adams
Jan. 25, 2020	Sandra Moore
Jan. 24, 2020	Sandra Newell

Jan. 24, 2020	Lisha Graham-McCormack
Jan. 24, 2020	Harrie Schippers, President and CFO, PACCAR
Jan. 24, 2020	Barbara McCracken, Mount St. Scholastica Benedictine Sisters
Jan. 24, 2020	SEC Investor Advisory Committee
Jan. 24, 2020	Janet Goen
Jan. 24, 2020	Andrew Struttmann, Owner and Partner, Saratoga Strategies
Jan. 24, 2020	Bradley S. Freeberg, Esq.
Jan. 24, 2020	Karen Watson, CFA, AIF®, Chief Investment Officer, Congregation of St. Joseph
Jan. 23, 2020	Jason Robinson
Jan. 23, 2020	Dennis E. Nixon, President, International Bancshares Corporation
Jan. 23, 2020	Simon Fréchet, Chair, Pension Investment Association of Canada
Jan. 23, 2020	Ryan K.
Jan. 23, 2020	Vincent Szilagvi
Jan. 23, 2020	<u>Colleen Morse</u>
Jan. 23, 2020	Mary Brigid Clingman, Promoter of Justice, Dominican Sisters Grand Rapids
Jan. 22, 2020	Steven Carter
Jan. 22, 2020	J.W. Verret, Associate Professor of Law, George Mason University Antonin Scalia Law School
Jan. 22, 2020	Jeffrey A. Ernico
Jan. 22, 2020	M. Jean Sliwinski, Provincial Sustainability Coordinator, Felician Sisters of North America, Inc.
Jan. 22, 2020	Louis Fusco
Jan. 22, 2020	Ellen Knapp
Jan. 22, 2020	James McRitchie, Shareholder Advocate / Publisher, Corporate Governance (CorpGov.net)
Jan. 22, 2020	Allan I. Grafman, President and CEO, All Media Ventures
Jan. 22, 2020	Theresa Whitmarsh, Executive Director, Washington State Investment Board
Jan. 22, 2020	Paul J. Phelan, Practice Leader and Principal, Early, Cassidy & Schilling, LLC
Jan. 22, 2020	Delbert Q. Wilber, President, DQW Holdings, LLC
Jan. 21, 2020	Leanne D. Wheeler
Jan. 21, 2020	Michael Murray
Jan. 21, 2020	John W. Geissinger, CFA, Chief Investment Officer, Christian Brothers Investment Services, Inc.
Jan. 21, 2020	Teresa Barger, Co-Founder & CEO, Cartica Management LLC
Jan. 21, 2020	Russell Heller, Associate Consultant, Bain & Company
	Gary Wolfram, William Simon Professor of Economics, Hillsdale College

Jan. 20, 2020	W. B. McKeown
Jan. 20, 2020	Wendell Minnick, Executive Chairman, Align Capital Holdings
Jan. 20, 2020	Eric Schlecht
Jan. 20, 2020	Tom Capone
Jan. 20, 2020	Lynnette C. Fallon, EVP HR/Legal and General Counsel, Axcelis Technologies, Inc.
Jan. 19, 2020	Kevin J. Carr
Jan. 18, 2020	Mark Mitchell
Jan. 18, 2020	Janna Six, Executive Director, The Prentice Foundation
Jan. 18, 2020	Ann W. Woll
Jan. 18, 2020	Jack Smith
Jan. 18, 2020	Nell Minow
Jan. 18, 2020	Sophia Skoda, Finance Director, East Bay Municipal Utility District
Jan. 17, 2020	Nicholas Arky, CenterPoint Insurance Group
Jan. 17, 2020	Darlene Jacobs, Treasurer, Maryknoll Sisters of St. Dominic
Jan. 17, 2020	<u>Daniel Laddin and Eric Hosken, Partners, Compensation Advisory Partners</u> (CAP)
Jan. 17, 2020	Michelle Hermanson
Jan. 17, 2020	<u>Daniel M. Gallagher</u>
Jan. 17, 2020	Darl E. Easton, Investor
Jan. 17, 2020	Benjamin Zycher, PhD, Resident Scholar, American Enterprise Institute
Jan. 16, 2020	Peter Ferket, Chief Investment Officer, Robeco
Jan. 16, 2020	Ciara C. Torres-Spelliscy, Professor of Law, Stetson University College of Law
Jan. 16, 2020	John Erlandson
Jan. 16, 2020	John M. Fluke, Chairman, Fluke Capital Management, LP
Jan. 16, 2020	<u>Dan Jamieson</u>
Jan. 16, 2020	Walter Lipton
Jan. 16, 2020	Michael W. Frerichs, Illinois State Treasurer
Jan. 15, 2020	John Cahill
Jan. 15, 2020	Mark Bender
Jan. 15, 2020	Al Murray
Jan. 15, 2020	Todd Petrillo
Jan. 15, 2020	Hank Torbert, President, Alta Max, LLC
Jan. 15, 2020	Donald Kasych
Jan. 15, 2020	Anat Admati, George G.C. Parker Professor of Finance and Economics, Stanford Graduate School of Business, and Luigi Zingales, Professor of Entrepreneurship and Finance, University of Chicago Booth School of

Business

	Business
Jan. 15, 2020	Brett Malin
Jan. 14, 2020	Steve McCullough
Jan. 14, 2020	Ann McCullough
Jan. 14, 2020	Nancy Bauroth
Jan. 14, 2020	Micah J. Mollric
Jan. 14, 2020	Bob Magee
Jan. 14, 2020	Brennan Clegg
Jan. 14, 2020	Stephanie Lipton
Jan. 14, 2020	Beth McMillen
Jan. 14, 2020	Brian Rooks
Jan. 14, 2020	Anna Carr
Jan. 13, 2020	Brita Horn
Jan. 13, 2020	Claire Emmons
Jan. 13, 2020	Bryan J. Morin
Jan. 13, 2020	Jamie Morin
Jan. 13, 2020	Sofia Lock
Jan. 13, 2020	Joshua Hicks
Jan. 13, 2020	Faith L. Lowe
Jan. 12, 2020	Roy Hartstein
Jan. 11, 2020	Dominic C. Clark
Jan. 11, 2020	Manoja Weerakoon
Jan. 11, 2020	Chris and Kathleen Snow
Jan. 10, 2020	Jill Schonteich
Jan. 10, 2020	Elaine Davia, Congregation Leader, Sisters of Bon Secours
Jan. 10, 2020	Barbara Turner
Jan. 10, 2020	Talal Debs, Former Tutorial Fellow and Research Associate, Centre for Philosophy of Natural and Social Science, The London School of Economics and Political Science
Jan. 10, 2020	Rudy and Beverly Warrington
Jan. 9, 2020	Corey Penny
Jan. 9, 2020	Marissa Lambert
Jan. 9, 2020	Marcelline Koch, Office of Justice, Dominican Sisters of Springfield, Illinois
Jan. 9, 2020	Shauna Farries
Jan. 9, 2020	Gregory E. Lau, Former Executive Director, General Motors Corporation
Jan. 9, 2020	Susan Franklin

Jan. 8, 2020	<u>Tim Martin</u>
Jan. 8, 2020	Joshua Day
Jan. 8, 2020	Suanne Estatico
Jan. 8, 2020	Noelle Murray
Jan. 8, 2020	<u>Carolin Thurm</u>
Jan. 8, 2020	Carol J. Wilson, Broker/Realtor/Owner, Wilson & Associates Real Estate Services
Jan. 8, 2020	Kraig Day
Jan. 8, 2020	Jared Whitley
Jan. 7, 2020	Cindy Cronin
Jan. 7, 2020	Andrew Struttmann
Jan. 7, 2020	Elizabeth Strickland
Jan. 7, 2020	Jeff Kraniq
Jan. 7, 2020	Melissa F. Sharpley
Jan. 7, 2020	Nichol Garzon-Mitchell, Senior Vice President, General Counsel, Glass Lewis
Jan. 7, 2020	Melissa Sharpley
Jan. 7, 2020	Mikka Day
Jan. 7, 2020	Catherine Sharpley
Jan. 7, 2020	Deborah Grimes
Jan. 7, 2020	Bonnie Purcell, San Juan Scool District
Jan. 7, 2020	Carolyn Day
Jan. 7, 2020	Truitt Lee Purcell
Jan. 7, 2020	Morgan Nielson
Jan. 6, 2020	<u>Lisa Lehman</u>
Jan. 6, 2020	Rep. Bryan Steil, et al., U.S. House of Representatives
Jan. 6, 2020	Elizabeth Hanauer
Jan. 6, 2020	Logan Albright, Capital Policy Analytics
Jan. 6, 2020	Emily Nesse
Jan. 6, 2020	<u>Liliana Williams</u>
Jan. 6, 2020	Sanford Lewis, Director, Shareholder Rights Group
Jan. 6, 2020	Lars Dijkstra, Chief Investment Officer, and Eszter Vitorino, Senior Responsible Investment Advisor, Kempen Capital Management
Jan. 6, 2020	Matthew Illian, CFP, VestedIn, LLC
Jan. 5, 2020	Lucas R. Nesse
Jan. 5, 2020	Bud Cawyer
Jan. 5, 2020	Cheryl Fisher

Jan. 5, 2020	Thomas T. Terramani
Jan. 5, 2020	Gouthum Karadi
Jan. 4, 2020	John Sealey, Provincial Assistant, Social and International Ministries, Jesuits USA Midwest Province
Jan. 4, 2020	Greg Collins
Jan. 4, 2020	Sunny O'Malley
Jan. 4, 2020	Carol Redhead
Jan. 4, 2020	Elijah Day
Jan. 4, 2020	Deborah and Wayne Doyle
Jan. 4, 2020	Kris Tamirisa
Jan. 4, 2020	Steven F. Stroh
Jan. 4, 2020	<u>Daniel Baumann</u>
Jan. 3, 2020	Holly A. Testa, Director, Shareowner Engagement, First Affirmative Financial Network
Jan. 3, 2020	Robert Schmidt
Jan. 3, 2020	John Walsh
Jan. 3, 2020	Bruce Beddoe
Jan. 3, 2020	Luan Jenifer, President, Miller Howard Investments
Jan. 3, 2020	Christopher Headley
Jan. 3, 2020	Russell Neal
Jan. 3, 2020	Kim Darrow
Jan. 3, 2020	Craig Rosenberg, President, ProxyVote Plus LLC
Jan. 3, 2020	Wayne Van Norman
Jan. 3, 2020	Kathleen Amboy
Jan. 3, 2020	Marvin Belk
Jan. 3, 2020	Dennis A. Smith
Jan. 3, 2020	Terry Touchstone
Jan. 3, 2020	Justin Ozeroff, Financial Advisor, AIG
Jan. 3, 2020	Stephen Libin
Jan. 3, 2020	Carroll Cawyer
Jan. 3, 2020	Ryan Perez
Jan. 3, 2020	Patrick O'Hara, Senior Responsible Investment Analyst, Universities Superannuation Scheme Ltd
Jan. 2, 2020	Mike Bondar
Jan. 2, 2020	Sarah Wilson, CEO, Minerva Analytics
Jan. 2, 2020	Lewis Gloster
Jan. 2, 2020	Ken Viney

Jan. 2, 2020	Jim Gilmore
Jan. 2, 2020	<u>Vicki Rothman</u>
Jan. 2, 2020	Roger Minassian
Jan. 2, 2020	Phil Feeney
Jan. 2, 2020	Brian Holbrook
Jan. 2, 2020	Jeffrey Smith
Jan. 2, 2020	Mary Morin
Jan. 2, 2020	Bill Kiefer
Jan. 1, 2020	John Kiefer
Dec. 30, 2019	Anthony Berardo
Dec. 30, 2019	Tanja Kemp
Dec. 30, 2019	Nathan Martin
Dec. 28, 2019	Akilah Graham
Dec. 28, 2019	James McRitchie, Shareholder Advocate/Publisher, Corporate Governance (CorpGov.net)
Dec. 27, 2020	Michelina and David Roth, Owners, Gorge Sustainable Investing
Dec. 27, 2019	Larry Zeiler
Dec. 27, 2019	Daniel Henry
Dec. 26, 2020	<u>Lindsay Votto</u>
Dec. 26, 2019	Sister Ruth Battaglia, CSA, Justice, Peace, Integrity of Creation Coordinator, Congregation of Sisters of St. Agnes
Dec. 26, 2019	Leah Carlomusto
Dec. 26, 2019	Brett Beaubien
Dec. 26, 2019	<u>Carlos Zambrano</u>
Dec. 26, 2019	Konstantinos Sergakis, Professor of Capital Markets Law and Corporate Governance, University of Glasgow
Dec. 24, 2019	Derek Smith
Dec. 24, 2019	Chesea Linsley, Staff Attorney, As You Sow
Dec. 23, 2019	Nancy Burke
Dec. 23, 2019	Paul J. Foley, Partner, Akerman LLP
Dec. 23, 2019	Edward DiFiglia
Dec. 21, 2019	Kirstan Borne
Dec. 21, 2019	Chris Chiari
Dec. 20, 2019	Richard Hines
Dec. 20, 2019	Dr. Joseph Ramharack, MD
Dec. 20, 2019	Linda Cahill
Dec. 20, 2019	Ron Baselj

Dec. 20, 2019	Amando Bravo
Dec. 20, 2019	Aderajew "Eddie" Yeshiwas
Dec. 20, 2019	Frank Robinson
Dec. 20, 2019	Bernard S. Sharfman, Chairman, Advisory Council, Main Street Investors Coalition
Dec. 19, 2019	Floyd Trujillo
Dec. 19, 2019	Jackie Mikus
Dec. 19, 2019	Debra Pratt
Dec. 19, 2019	Dana Schwartz, Schwartz Physical Therapy
Dec. 19, 2019	Nicholas Napolitano, Maryland and USA Northeast Province of the Society, Assistant for Social Ministries
Dec. 18, 2019	Dean Guccione, Battalion Chief, Retired, Beverly Hills Fire Department
Dec. 18, 2019	Latasha Currie
Dec. 18, 2019	Rita Ferrandino, Founding Partner, Arc Capital Development
Dec. 18, 2019	Gina Countryman
Dec. 18, 2019	Blaine Townsend, Director, Sustainable, Responsible and Impact Investing, Bailard, Inc.
Dec. 17, 2019	<u>Dean DePiero</u>
Dec. 17, 2019	Brigid Newman
Dec. 17, 2019	Ken Kleve, COO, Maestrosoft
Dec. 17, 2019	Colby Davis
Dec. 17, 2019	Tina Rusch
Dec. 17, 2019	Abby Maxman, President and CEO, OXFAM
Dec. 17, 2019	<u>Chris Cummiskey</u>
Dec. 16, 2019	Valerie Johnson
Dec. 16, 2019	Joseph P. Kalt, Senior Economist, and Adel Turki, Senior Managing Director, Compass Lexecon
Dec. 16, 2019	Torsten Jochem, Associate Professor of Finance, University of Amsterdam, and Anjana Rajamani, Erasmus University Rotterdam
Dec. 16, 2019	Jacob Bishop
Dec. 15, 2019	Michael Ball
Dec. 15, 2019	Brooke Kukay
Dec. 15, 2019	Margaret Weber, Corporate Responsibility Director, Congregation of St. Basil
Dec. 14, 2019	Michael Bassett
Dec. 14, 2019	Lynda Redfern
Dec. 13, 2019	Carl Koebel
Dec. 13, 2019	Adrienne Hines
Dec. 13, 2019	Steve Clayton

Dec. 13, 2019	Denice Arehart
Dec. 13, 2019	Graham L. Copley, Former Board Member, Macquarie Securities USA
Dec. 12, 2019	John A. Herrick, Principal, Herrick Solutions
Dec. 12, 2019	Ronald A Lawrence, President, California Police Chiefs Association
Dec. 12, 2019	Wayne Winegarden, Ph.D., Sr. Fellow, Business and Economics, Pacific Research Institute
Dec. 12, 2019	Matthew Narolewski
Dec. 12, 2019	Nansen Malin
Dec. 12, 2019	Fiona Reynolds, Chief Executive Officer, Principles for Responsible Investment
Dec. 12, 2019	Neda Clark, Chief Compliance Officer, ARGA Investment Management
Dec. 11, 2019	<u>Lynda Simonds</u>
Dec. 11, 2019	Richard Egger
Dec. 11, 2019	Judith Sinnwell, Authorized Agent, Sisters of St. Frances of Dubuque
Dec. 11, 2019	Karen Beson
Dec. 11, 2019	Margriet Stavast-Groothuis, Senior Advisor Responsible Investment, PGGM Investments, et al.
Dec. 10, 2019	Jon Lance Zellers
Dec. 10, 2019	Janet Carp
Dec. 10, 2019	Janie and Jim Goettl
Dec. 10, 2019	<u>Victor Porras</u>
Dec. 10, 2019	Kevin Garcia
Dec. 10, 2019	Eileen Massie
Dec. 10, 2019	William Davis
Dec. 10, 2019	Marie Reed
Dec. 10, 2019	Bloomberg Articles
Dec. 20, 2019	<u>Linda Cahill</u>
Dec. 9, 2019	Margaret Mary Kimmins, Congregational Minister, Franciscan Sisters of Allegany
Dec. 9, 2019	Richard D. Brower, Former Vice Chairman, New York City Fire Pension Funds
Dec. 9, 2019	Robert Wotypka, Corporate Responsibility agent, The Province of Saint Joseph of the Capuchin Order
Dec. 9, 2019	Anonymous
Dec. 6, 2019	Robin Rossenfeld, Attorney, Mediator, Arbitrator, R3 Mediation, LLC
Dec. 6, 2019	Ken Blackwell
Dec. 6, 2019	Sue Ernster, Vice President & Treasurer, Franciscan Sisters of Perpetual Adoration
Dec. 5, 2019	Rev. Joseph P. La Mar, M.M., Assistant CFO, Maryknoll Fathers and Brothers

Dec. 5, 2019	Duane Roberts, Director of Equities, Dana Investment Advisors
Dec. 3, 2019	Lorin Silverman, Group Representative, SC Group
Dec. 2, 2019	Ted Penton, Secretary, Office of Justice and Ecology, Jesuit Conference of Canada and the United States
Dec. 2, 2019	<u>Craiq Melcher</u>
Nov. 29, 2019	Shaun Snyder, Executive Director, National Association of State Treasurers
Nov. 28, 2019	Paul Finch, Chair, Board of Trustees, BC Target Benefit Pension Plan
Nov. 27, 2019	W. Andrew Mims, Partner and Trustee, Loring, Wolcott and Coolidge
Nov. 27, 2019	<u>Pat Miguel Tomaino, Director of Socially Responsible Investing, Zevin Asset Management</u>
Nov. 27, 2019	Brandon Rees, Deputy Director, Corporations and Capital Markets, AFL-CIO
Nov. 27, 2019	Clem Geraghty, Ardevora Asset Management LLP
Nov. 26, 2019	Rose Marie Stallbaumer, Treasurer, Mount St. Scholastica
Nov. 26, 2019	Peter Waite, Executive Director, Pension Investment Association of Canada
Nov. 26, 2019	Sister Sandra Sherman, O.S.U., President, Ursuline Convent of the Sacred Heart
Nov. 25, 2019	Linda Pleiman, Congregational Treasurer, Sisters of the Precious Blood
Nov. 25, 2019	Kevin Thomas, CEO, Shareholder Association for Research Education
Nov. 25, 2019	Naoki Matsuoka, Osaka City Law Office
Nov. 22, 2019	Kenneth A. Bertsch, Executive Director, Council of Institutional Investors
Nov. 22, 2019	John Kiefer
Nov. 21, 2019	Teri Hadro, President, Sisters of Charity of the Blessed Virgin Mary
Nov. 21, 2019	Sr. Barbara Kane, O.P., Justice Promoter, Dominican Sisters of Peace
Nov. 21, 2019	Brian Stoner
Nov. 21, 2019	<u>Kerrie Waring, Chief Executive Officer, International Corporate Governance</u> <u>Network</u>
Nov. 21, 2019	R.S. (Ruud) Hadders, Senior Responsible Investment Officer, ACTIAM
Nov. 20, 2019	Scott M. Stringer, New York City Comptroller
Nov. 20, 2019	Nina Wouk
Nov. 20, 2019	Thornton Prayer
Nov. 19, 2019	Carol E. Lytch, Lancaster Theological Seminary
Nov. 19, 2019	Ethel Howley, SSND, Social Responsibility Resource Person, School Sisters of Notre Dame
Nov. 19, 2019	Maureen O'Brien, Vice President and Corporate Governance Director, Segal Marco Advisors
Nov. 18, 2019	Charlotte Laurent-Ottomane, Executive Director, Thirty Percent Coalition
Nov. 18, 2019	Thomas P. DiNapoli, State Comptroller, State of New York
Nov. 18, 2019	<u>Daniel Garant, Executive Vice President & Global Head, British Columbia</u> <u>Investment Management Corporation</u>

Nov. 18, 2019	James McRitchie, Shareholder Advocate
Nov. 15, 2019	Kenneth A. Bertsch, Executive Director, Council of Institutional Investors
Nov. 14, 2019	Jeffrey P. Mahoney, General Counsel, and Kenneth A. Bertsch, Executive Director, Council of Institutional Investors
Nov. 14, 2019	Paul Rose, Professor of Law, Ohio State University
Nov. 13, 2019	Sister Darlene Jacobs, Treasurer, Maryknoll Sisters of St. Dominic, Inc.
Nov. 12, 2019	Joseph P. La Mar, Assistant CFO, Maryknoll Fathers and Brothers
Nov. 12, 2019	Josh Zinner, CEO, Interfaith Center on Corporate Responsibility
Nov. 8, 2019	Kimberly A. Genereux
Nov. 5, 2019	James McRitchie, Corporate Governance (CorpGov.net)

SEC Staff Studies and Reports

(Click here for submitted comments from the public)

Jan. 16, 2020 Memorandum from the Division of Economic and Risk Analysis regarding data analysis of additional definitive proxy materials filed by registrants in response to proxy voting advice

Meetings with SEC Officials

(Click here for submitted comments from the public)	
Jan. 17, 2020	Memorandum from the Division of Corporation Finance regarding a January 15, 2020, meeting with representatives of the Council of Institutional Investors
Dec. 26, 2019	Memorandum from the Office of Commissioner Allison Herren Lee regarding a December 19, 2019, meeting with representatives of the Center for Political Accountability
Dec. 26, 2019	Memorandum from the Office of Commissioner Allison Herren Lee regarding a December 12, 2019, meeting with representatives of the Financial Accountability and Corporate Transparency (FACT) Coalition
Dec. 26, 2019	Memorandum from the Office of Commissioner Allison Herren Lee regarding a December 11, 2019, meeting with representatives of the Council for Investor Rights and Corporate Accountability (CIRCA)
Dec. 26, 2019	Memorandum from the Office of Commissioner Allison Herren Lee regarding a November 18, 2019, meeting with representatives of Ceres Investor Network
Dec. 26, 2019	Memorandum from the Office of Commissioner Allison Herren Lee regarding a November 6, 2019, meeting with representatives of the New York City Comptroller's Office
Dec. 18, 2019	Memorandum from the Office of Commissioner Elad L. Roisman regarding a December 13, 2019, meeting with representatives of the Society for Corporate Governance
Dec. 18, 2019	Memorandum from the Office of Commissioner Elad L. Roisman regarding a December 5, 2019, meeting with representatives of Goldman Sachs
Dec. 16, 2019	Memorandum from the Office of the Chairman regarding a December 13, 2019, meeting with representatives of the Society for Corporate Governance
Dec. 13, 2019	Memorandum from the Office of Commissioner Elad L. Roisman regarding a

	December 12, 2019, meeting with representatives of the Council for Investor Rights and Corporate Accountability (CIRCA)
Dec. 12, 2019	Memorandum from the Office of the Chairman regarding a December 11, 2019, meeting with representatives of the Council for Investor Rights and Corporate Accountability (CIRCA)
Dec. 11, 2019	Memorandum from the Office of the Chairman regarding a December 10, 2019, meeting with representatives of the Council of Institutional Investors
Dec. 4, 2019	Memorandum from the Office of the Chairman regarding a December 3, 2019, meeting with former Senator Phil Gramm and Michael Solon
Nov. 26, 2019	Memorandum from the Office of the Investor Advocate regarding a November 6, 2019, meeting with representatives of the Council of Institutional Investors
Nov. 25, 2019	Memorandum from the Office of the Chairman regarding a November 22, 2019, meeting with representatives of the Alternative Investment Management Association Ltd.
Nov. 22, 2019	Memorandum from the Office of Commissioner Hester M. Peirce regarding a November 6, 2019, meeting with representatives of the Council of Institutional Investors
Nov. 18, 2019	Memorandum from the Division of Corporation Finance regarding a November 7, 2019, meeting with representatives of the Council of Institutional Investors
Nov. 14, 2019	Memorandum from the Office of Commissioner Allison Herren Lee regarding a November 7, 2019, meeting with representatives of the Council of Institutional Investors
Nov. 8, 2019	Memorandum from the Office of Commissioner Elad L. Roisman regarding a November 6, 2019, meeting with representatives of the Investor Advisory Committee Executive Committee