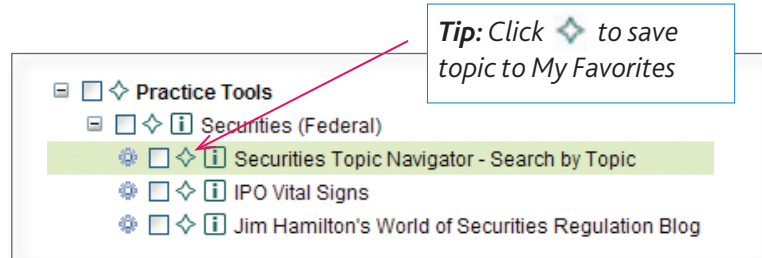


Securities Topic Navigator

Topic Navigator for Securities presents CCH and Aspen Publishers material through a topically-organized map. You can use the map to locate a particular topic of interest (either via browse or search) and then link directly to pertinent resources available within your IntelliConnect subscription contents.

How to Get There

1. From the Browse Tree, find and click **Practice Tools** (last item listed)
2. Locate and click **Securities (Federal)** to expand the menu
3. Click **Securities Topic Navigator**



Publications . . . Link to material from the following publications:



- *Business Judgment Rule* by Stephen A. Radin
- *CCH Federal Securities Law Reporter*
- *Corporate Finance and the Securities Laws* by Johnson and McLaughlin
- *Delaware Law of Corporations and Business Organizations* by Balotti and Finkelstein
- *Folk on the Delaware General Corporation Law* by Welch, Turezyn and Saunders
- *Insights* by Amy L. Goodman
- *Mergers, Acquisitions, and Buyouts* by Ginsburg and Levin
- *A Practical Guide to SEC Proxy and Compensation Rules* edited by Goodman, Olson and Fontenot
- *Raising Capital: Private Placement Forms & Techniques* by Brown and Max
- *Regulation of Corporate Disclosure* by J. Robert Brown, Jr.
- *Regulation of Securities: SEC Answer Book* by Steven Mark Levy
- SEC Compliance and Disclosure Interpretations
- SEC No-Action Letters
- SECnet—Significant SEC Filings
- *Securities Regulation* by Loss, Seligman and Paredes
- *U.S. Regulation of the International Securities and Derivatives Markets* by Greene, Beller, Rosen, Silverman, Braverman & Sperber

Browsing the Topic Navigator

Browse to a specific topic of interest and link directly to pertinent resources.

1. Click a **Topic** of interest to expand.
2. Click a desired **subtopic** to display publications with related documents.
3. Click a **publication** name to display related documents.

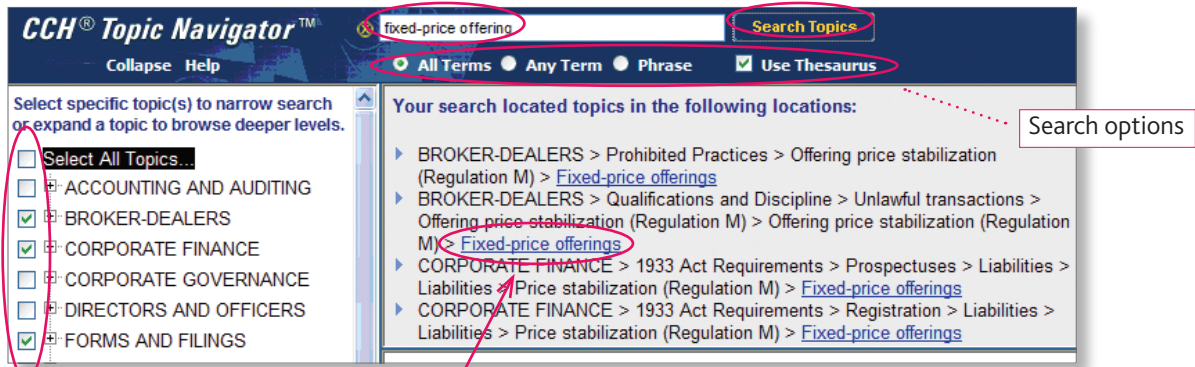
The screenshot shows the 'Electronic communications with customers' page. On the left, a tree view is expanded to 'BROKER-DEALERS' > 'Communication with clients'. The main content area shows a list of documents from 'Federal Securities Law Reporter [15]' and 'Corporate Finance and the Securities Laws - Johnson & McLaughlin [5]'. Documents include 'Electronic Delivery of Prospectus...', '"Online" Offerings...', '"Deal Sites"...', 'Information on the Company's Website', and 'Electronic Delivery of Required Documents...'. Each document has a green checkmark icon and a code (e.g., §1.05[C][3][I]).

Icons indicate whether the document is  or is not  available in your subscription

4. Click a document link to access the full-text of a document within IntelliConnect.

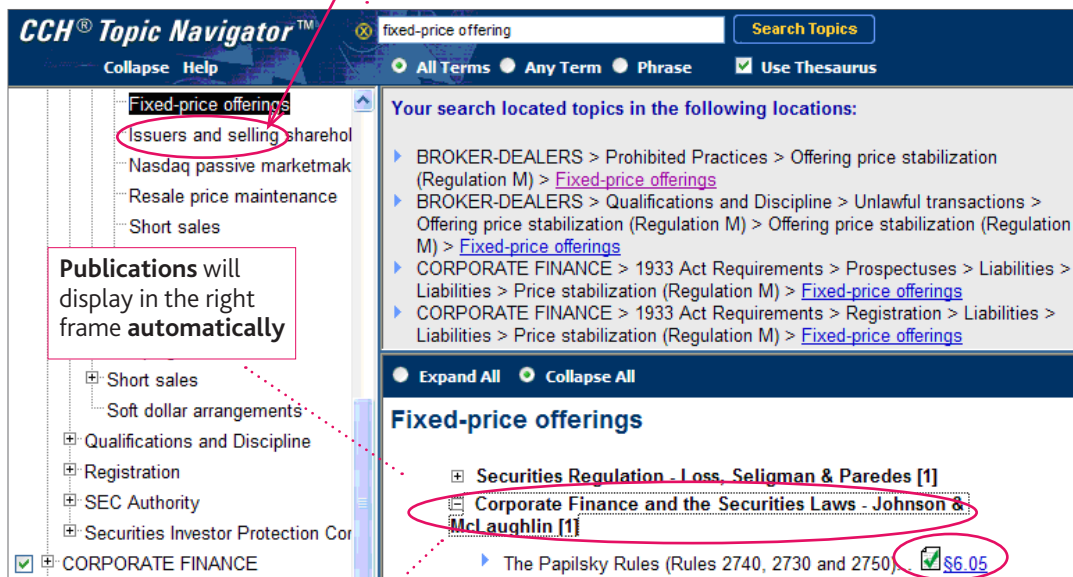
Search for a specific topic of interest and link directly to pertinent resources.

1. Check **Select All Topics** or choose **Specific Topics**
2. Type your search term, e.g., **fixed-price offering**
3. Click **Search Topics** and view resulting list of topics displayed in the right panel.



4. Click a topic link in the search results to expand the Browse pane at that topic's location.
Note: if a topic has **subtopics**, click subtopic to display publications.

Click to clear your search



5. Click a **publication** name to display related documents
6. Click a **document** link to access the full-text of a document within your IntelliConnect subscription contents

Need Help? Click **Help** or