

Securities Regulation Daily Regulation Tracker



CFTC Proposed Rule Comment Calendar

Proposal Name	Release No.	Federal Register Citation	Description	Comments Due	Comments Submitted
			There are currently no CFTC proposals with upcoming comment deadlines.		

Last updated: 3/13/2023

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CFTC Final Rule Effective Dates

Final Rule Name	Release No.	Federal Register Citation	Description	Effective Date	Compliance Date
17 CFR Part 23 Reporting, Recordkeeping, Daily Trading Records, and Swap Documentation Requirements for Swap Dealers and Major Swap Participants; Corrections	RIN 3038- [] (Pre-Print Version approved 1/24/2023)	88 FR 8752	The CFTC adopted a final rule to make non-substantive technical corrections to the CFTC's regulations for swap dealers and major swap participants in Part 23. The technical corrections conform Part 23 to the amendments the Commission approved in September 2020 for Part 45 of the CFTC's regulations. The corrections also address other minor errors in Part 23.	March 13, 2023	N/A
17 CFR Part 50 Clearing Requirement Determination Under Section 2(h) of the Commodity Exchange Act for Interest Rate Swaps to Account for the Transition from LIBOR and Other IBORs to Alternative Reference Rates	RIN 3038-AF18	87 FR 52182	The CFTC adopted a final rule that revises CFTC Regulations 50.4 and 50.26 to account for newer overnight, nearly risk-free reference rates that have begun to replace LIBOR, which is being phased out years after a global manipulation scandal. Prior to January 2022, LIBOR consisted of five currencies with seven tenors, resulting in 35 individual LIBOR rates that now either are no longer published or are no longer representative of markets.	September 23, 2022, except for amendatory instructions 3 and 5, which are effective July 1, 2023.	Specific compliance dates are discussed in the Supplementary Information.