

**U.S. District Court
District of Columbia (Washington, DC)
CIVIL DOCKET FOR CASE #: 1:23-cv-01599-ABJ**

SECURITIES AND EXCHANGE COMMISSION v. BINANCE HOLDINGS LIMITED et al
Assigned to: Judge Amy Berman Jackson
Referred to: Magistrate Judge Zia M. Faruqui (Settlement)
Cause: 15:78u(d) Securities Exchange Act

Date Filed: 06/05/2023
Jury Demand: Plaintiff
Nature of Suit: 850 Securities/Commodities
Jurisdiction: U.S. Government Plaintiff

| Date Filed | # | Docket Text |
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| 06/05/2023 | 1 | COMPLAINT against BAM MANAGEMENT US HOLDINGS INC., BAM TRADING SERVICES LIMITED, BINANCE HOLDINGS LIMITED, CHANGPENG ZHAO with Jury Demand (Fee Status:Filing Fee Waived) filed by SECURITIES AND EXCHANGE COMMISSION. (Attachments: # 1 Civil Cover Sheet, # 2 Summons Binance Holdings Limited, # 3 Summons BAM Trading Services Inc., # 4 Summons BAM Management US Holdings Ltd., # 5 Summons Changpeng Zhao)(Scarlato, Matthew) (Entered: 06/05/2023) |
| 06/05/2023 | 2 | NOTICE of Appearance by Jennifer L. Farer on behalf of SECURITIES AND EXCHANGE COMMISSION (Farer, Jennifer) (Entered: 06/05/2023) |
| 06/06/2023 | | NOTICE OF ERROR re 1 Complaint; emailed to scarlatom@sec.gov, cc'd 4 associated attorneys -- The PDF file you docketed contained errors: 1. Noncompliance with LCvR 5.1(c). Please file an errata correcting the initiating pleading to include the name & full residence address of each party using the event Errata., 2. COMPLIANCE DEADLINE is by close of business today. This case will not proceed any further until all errors are satisfied. (zsl,) (Entered: 06/06/2023) |
| 06/06/2023 | 3 | ERRATA by SECURITIES AND EXCHANGE COMMISSION re 1 Complaint,. (Attachments: # 1 Exhibit A)(Scarlato, Matthew) (Entered: 06/06/2023) |
| 06/06/2023 | 4 | MOTION for Temporary Restraining Order by SECURITIES AND EXCHANGE COMMISSION. (Attachments: # 1 Text of Proposed Order, # 2 Exhibit A, # 3 Notice to Counsel/Party)(Scarlato, Matthew) (Entered: 06/06/2023) |
| 06/06/2023 | 5 | NOTICE of Appearance by Daniel W. Nelson on behalf of BINANCE HOLDINGS LIMITED (Nelson, Daniel) (Entered: 06/06/2023) |
| 06/06/2023 | 6 | NOTICE of Appearance by Jason J. Mendro on behalf of BINANCE HOLDINGS LIMITED (Mendro, Jason) (Entered: 06/06/2023) |
| 06/06/2023 | 7 | MOTION for Leave to Appear Pro Hac Vice :Attorney Name- Mary Beth Maloney, Filing fee \$ 100, receipt number ADCDC-10120605. Fee Status: Fee Paid. by BINANCE HOLDINGS LIMITED. (Attachments: # 1 Declaration Declaration of Mary Beth Maloney, # 2 Exhibit Proposed Order for Mary Beth Maloney pro hac vice)(Nelson, Daniel) (Entered: 06/06/2023) |
| 06/06/2023 | 8 | MOTION for Leave to File Excess Pages by SECURITIES AND EXCHANGE COMMISSION. (Attachments: # 1 Text of Proposed Order, # 2 Exhibit Proposed |

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| | | Memorandum In Support of Motion for Emergency Relief)(Scarlato, Matthew) (Entered: 06/06/2023) |
| 06/06/2023 | 9 | MOTION for Leave to Appear Pro Hac Vice :Attorney Name- Michael D. Celio, Filing fee \$ 100, receipt number ADCDC-10120725. Fee Status: Fee Paid. by BINANCE HOLDINGS LIMITED. (Attachments: # 1 Declaration Declaration of Michael D. Celio, # 2 Exhibit Certificate of Good Standing for Michael D. Celio, # 3 Exhibit Proposed Order for Pro Hac Vice)(Nelson, Daniel) (Entered: 06/06/2023) |
| 06/06/2023 | 10 | MOTION to Amend/Correct 7 MOTION for Leave to Appear Pro Hac Vice :Attorney Name- Mary Beth Maloney, Filing fee \$ 100, receipt number ADCDC-10120605. Fee Status: Fee Paid. by BINANCE HOLDINGS LIMITED. (Attachments: # 1 Declaration Declaration of Mary Beth Maloney, # 2 Exhibit Certificate of Good Standing, # 3 Exhibit Proposed Order for Mary Beth Maloney Pro Hac Vice)(Nelson, Daniel) (Entered: 06/06/2023) |
| 06/06/2023 | 11 | MOTION for Leave to Appear Pro Hac Vice :Attorney Name- Stephanie L. Brooker, Filing fee \$ 100, receipt number ADCDC-10120878. Fee Status: Fee Paid. by BINANCE HOLDINGS LIMITED. (Attachments: # 1 Declaration of Stephanie L. Brooker, # 2 Exhibit Certificate of Good Standing, # 3 Exhibit Proposed Order for Stephanie L. Brooker Pro Hac Vice)(Nelson, Daniel) (Entered: 06/06/2023) |
| 06/06/2023 | 12 | DECLARATION by SECURITIES AND EXCHANGE COMMISSION re 8 MOTION for Leave to File Excess Pages filed by SECURITIES AND EXCHANGE COMMISSION. (Attachments: # 1 Exhibit A-1, # 2 Exhibit A-2, # 3 Exhibit A-3, # 4 Exhibit A-4, # 5 Exhibit A-5, # 6 Exhibit A-6, # 7 Exhibit A-7, # 8 Exhibit A-8, # 9 Exhibit A-9, # 10 Exhibit A-10, # 11 Exhibit A-11, # 12 Exhibit A-12, # 13 Exhibit A-13, # 14 Exhibit A-14, # 15 Exhibit A-15)(Scarlato, Matthew) (Entered: 06/06/2023) |
| 06/06/2023 | 13 | DECLARATION by SECURITIES AND EXCHANGE COMMISSION re 8 MOTION for Leave to File Excess Pages filed by SECURITIES AND EXCHANGE COMMISSION. (Attachments: # 1 Exhibit A-16, # 2 Exhibit A-17, # 3 Exhibit A-18, # 4 Exhibit A-19, # 5 Exhibit A-20, # 6 Exhibit A-21, # 7 Exhibit A-22)(Scarlato, Matthew) (Entered: 06/06/2023) |
| 06/06/2023 | 14 | DECLARATION by SECURITIES AND EXCHANGE COMMISSION re 8 MOTION for Leave to File Excess Pages filed by SECURITIES AND EXCHANGE COMMISSION. (Attachments: # 1 Exhibit A--23.1, # 2 Exhibit A-23.2)(Scarlato, Matthew) (Entered: 06/06/2023) |
| 06/06/2023 | 15 | DECLARATION by SECURITIES AND EXCHANGE COMMISSION re 8 MOTION for Leave to File Excess Pages filed by SECURITIES AND EXCHANGE COMMISSION. (Attachments: # 1 Exhibit A-23.3, # 2 Exhibit A-24)(Scarlato, Matthew) (Entered: 06/06/2023) |
| 06/06/2023 | 16 | DECLARATION by SECURITIES AND EXCHANGE COMMISSION re 8 MOTION for Leave to File Excess Pages filed by SECURITIES AND EXCHANGE COMMISSION. (Attachments: # 1 Exhibit A-25, # 2 Exhibit A-26, # 3 Exhibit A-27, # 4 Exhibit A-28, # 5 Exhibit A-29, # 6 Exhibit A-30, # 7 Exhibit A-31, # 8 Exhibit A-32)(Scarlato, Matthew) (Entered: 06/06/2023) |
| 06/06/2023 | 17 | DECLARATION by SECURITIES AND EXCHANGE COMMISSION re 8 MOTION for Leave to File Excess Pages filed by SECURITIES AND EXCHANGE COMMISSION. (Attachments: # 1 Exhibit A-33, # 2 Exhibit A-34, # 3 Exhibit A-35, # 4 Exhibit A-36, # 5 Exhibit A-37, # 6 Exhibit A-38, # 7 Exhibit A-39, # 8 Exhibit A-40, # 9 Exhibit A-41, # 10 Exhibit A-42, # 11 Exhibit A-43, # 12 Exhibit A-44, # 13 Exhibit A-45, # 14 Exhibit A-46, # 15 Exhibit A-47, # 16 Exhibit A-48)(Scarlato, Matthew) (Entered: 06/06/2023) |

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| 06/06/2023 | 18 | DECLARATION by SECURITIES AND EXCHANGE COMMISSION re 8 MOTION for Leave to File Excess Pages filed by SECURITIES AND EXCHANGE COMMISSION. (Attachments: # 1 Exhibit A-49, # 2 Exhibit A-50, # 3 Exhibit A-51, # 4 Exhibit A-52, # 5 Exhibit A-53, # 6 Exhibit A-54, # 7 Exhibit A-55, # 8 Exhibit A-56, # 9 Exhibit A-57, # 10 Exhibit A-58, # 11 Exhibit A-59, # 12 Exhibit A-60, # 13 Exhibit A-61, # 14 Exhibit A-62, # 15 Exhibit A-63, # 16 Exhibit A-64)(Scarlato, Matthew) (Entered: 06/06/2023) |
| 06/06/2023 | 19 | DECLARATION by SECURITIES AND EXCHANGE COMMISSION re 8 MOTION for Leave to File Excess Pages filed by SECURITIES AND EXCHANGE COMMISSION. (Attachments: # 1 Exhibit A-65, # 2 Exhibit A-66, # 3 Exhibit A-67, # 4 Exhibit A-68, # 5 Exhibit A-69, # 6 Exhibit A-70, # 7 Exhibit A-71, # 8 Exhibit A-72, # 9 Exhibit A-73, # 10 Exhibit A-74, # 11 Exhibit A-75, # 12 Exhibit A-76, # 13 Exhibit A-77, # 14 Exhibit A-78, # 15 Exhibit A-79, # 16 Exhibit A-80)(Scarlato, Matthew) (Entered: 06/06/2023) |
| 06/06/2023 | 20 | DECLARATION by SECURITIES AND EXCHANGE COMMISSION re 8 MOTION for Leave to File Excess Pages filed by SECURITIES AND EXCHANGE COMMISSION. (Attachments: # 1 Exhibit A-81, # 2 Exhibit A-82, # 3 Exhibit A-83, # 4 Exhibit A-84, # 5 Exhibit A-85, # 6 Exhibit A-86, # 7 Exhibit A-87, # 8 Exhibit A-88, # 9 Exhibit A-89) (Scarlato, Matthew) (Entered: 06/07/2023) |
| 06/07/2023 | 21 | DECLARATION of Sachin Verma with Attachments by SECURITIES AND EXCHANGE COMMISSION re 8 MOTION for Leave to File Excess Pages filed by SECURITIES AND EXCHANGE COMMISSION. (Scarlato, Matthew) (Entered: 06/07/2023) |
| 06/07/2023 | 22 | DECLARATION of Sachin Verma (Ex. C) by SECURITIES AND EXCHANGE COMMISSION re 8 MOTION for Leave to File Excess Pages filed by SECURITIES AND EXCHANGE COMMISSION. (Scarlato, Matthew) (Entered: 06/07/2023) |
| 06/07/2023 | | Case Assigned to Judge Amy Berman Jackson. (zsl) (Entered: 06/07/2023) |
| 06/07/2023 | 23 | SUMMONS (4) Issued Electronically as to All Defendants. (Attachment: # 1 Notice and Consent)(zsl) (Entered: 06/07/2023) |
| 06/07/2023 | | MINUTE ORDER granting 8 Motion for Leave to File Excess Pages. The Clerk of the Court is directed to file [8-2] the memorandum of law in support of 4 plaintiff's emergency motion for a temporary restraining order. It is further ORDERED that defendants may file a response of equal length. Counsel should take note of Local Civil Rule 5.1(d), which requires that footnotes appear in 12-pt. font. SO ORDERED. Signed by Judge Amy Berman Jackson on 6/7/2023. (lcabj1) (Entered: 06/07/2023) |
| 06/07/2023 | 24 | AMENDED MOTION for Leave to Appear Pro Hac Vice :Attorney Name- Michael D. Celio, Filing fee \$ 100, receipt number ADCDC-10120725. Fee Status: Fee Paid. by BINANCE HOLDINGS LIMITED. (Attachments: # 1 Declaration of Michael D. Celio, # 2 Exhibit Certificate of Good Standing, # 3 Exhibit Proposed Order for Michael D. Celio for Pro Haca Vice)(Nelson, Daniel) Modified event on 6/7/2023 (znmw). (Entered: 06/07/2023) |
| 06/07/2023 | | MINUTE ORDER granting 7 9 10 11 24 Motions for Leave of Mary Beth Maloney, Michael D. Celio, and Stephanie L. Brooker to Appear Pro Hac Vice and Motions to Amend/Correct only upon condition that the lawyers admitted, or at least one member of the lawyers' firm, undergo CM/ECF training, obtain a CM/ECF username and password, and agree to file papers electronically. No court papers will be mailed to any lawyer. Counsel should register for e-filing via PACER and file a notice of appearance pursuant to LCvR 83.6(a) Click for instructions Signed by Judge Amy Berman Jackson on 6/7/2023. (lcabj1) (Entered: 06/07/2023) |
| 06/07/2023 | 25 | NOTICE of Appearance by Jorge G. Teneiro on behalf of SECURITIES AND |

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| | | EXCHANGE COMMISSION (Teneiro, Jorge) (Entered: 06/07/2023) |
| 06/07/2023 | | Set/Reset Hearing: Telephonic Scheduling Conference Call is set for 6/7/2023 at 5:00 PM before Judge Amy Berman Jackson. Counsel have been provided with the dial up number and pass code needed to connect. (jth) (Entered: 06/07/2023) |
| 06/07/2023 | | Minute Entry for proceedings held before Judge Amy Berman Jackson: Telephonic Scheduling Conference Call held on 6/7/2023. Defendants' Response to the 4 Motion for Temporary Restraining Order is due by 5:00 PM on 6/12/2023; A Hearing on the [4 Motion is set for 6/13/2023 at 2:00 PM in Courtroom 3 In Person before Judge Amy Berman Jackson. (Court Reporter: Janice Dickman). (jth) Modified on 6/8/2023 to reflect that the response is due by 5:00 PM on 6/12/2023 (jth). (Entered: 06/07/2023) |
| 06/07/2023 | 26 | MEMORANDUM in Support re 4 MOTION for Temporary Restraining Order filed by SECURITIES AND EXCHANGE COMMISSION by SECURITIES AND EXCHANGE COMMISSION. (znmw) (Entered: 06/08/2023) |
| 06/08/2023 | 27 | NOTICE of Filing Index Exhibits by SECURITIES AND EXCHANGE COMMISSION re 26 Memorandum (Attachments: # 1 Attachment A - Memorandum Exhibit Index)(Scarlato, Matthew) (Entered: 06/08/2023) |
| 06/08/2023 | 28 | NOTICE of Appearance by David A. Nasse on behalf of SECURITIES AND EXCHANGE COMMISSION (Nasse, David) (Entered: 06/08/2023) |
| 06/08/2023 | 29 | ERRATA by SECURITIES AND EXCHANGE COMMISSION re 26 Memorandum. (Attachments: # 1 Errata Attachment A, # 2 Attachment B - Corrected Memorandum, # 3 Attachment C - Redlined Corrected Memorandum, # 4 Attachment D - Exhibit A-83A) (Scarlato, Matthew) (Entered: 06/08/2023) |
| 06/09/2023 | | MINUTE ORDER. In light of 29 plaintiff's Errata, the Clerk of Court is directed to file on the docket [29-2] as SEC's Corrected Memorandum of Law in Support of its Emergency Motion for a Temporary Restraining Order Freezing Assets, Granting Other Relief, and Order to Show Cause Why Relief Should Not Continue. The Clerk of Court is further directed to file on the docket [29-4] as SEC's Corrected Exhibit A-83A. Signed by Judge Amy Berman Jackson on 6/9/23. (DMK) (Entered: 06/09/2023) |
| 06/09/2023 | 56 | MEMORANDUM (CORRECTED) in Support re 4 MOTION for Temporary Restraining Order filed by SECURITIES AND EXCHANGE COMMISSION by SECURITIES AND EXCHANGE COMMISSION. (Attachments: # 1 Correct Exhibit A-83A)(znmw) (Entered: 06/13/2023) |
| 06/12/2023 | 30 | NOTICE of Appearance by Andrew M. Leblanc on behalf of BAM MANAGEMENT US HOLDINGS INC., BAM TRADING SERVICES INC. (Leblanc, Andrew) (Entered: 06/12/2023) |
| 06/12/2023 | 31 | MOTION for Leave to Appear Pro Hac Vice :Attorney Name- George S. Canellos, Filing fee \$ 100, receipt number ADCDC-10131386. Fee Status: Fee Paid. by BAM MANAGEMENT US HOLDINGS INC., BAM TRADING SERVICES INC.. (Attachments: # 1 Declaration of George S. Canellos, # 2 Exhibit 2 - Certificate of Good Standing, # 3 Exhibit 3 - Proposed Order)(Leblanc, Andrew) (Entered: 06/12/2023) |
| 06/12/2023 | 32 | MOTION for Leave to Appear Pro Hac Vice :Attorney Name- Adam J. Fee, Filing fee \$ 100, receipt number ADCDC-10131489. Fee Status: Fee Paid. by BAM MANAGEMENT US HOLDINGS INC., BAM TRADING SERVICES INC.. (Attachments: # 1 Declaration of Adam J. Fee, # 2 Exhibit 2 - Certificate of Good Standing, # 3 Exhibit 3 - Proposed Order)(Leblanc, Andrew) (Entered: 06/12/2023) |
| 06/12/2023 | 33 | MOTION for Leave to Appear Pro Hac Vice :Attorney Name- Matthew J. Laroche, Filing fee \$ 100, receipt number ADCDC-10131534. Fee Status: Fee Paid. by BAM |

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| | | MANAGEMENT US HOLDINGS INC., BAM TRADING SERVICES INC.. (Attachments: # 1 Declaration of Matthew J. Laroche, # 2 Exhibit 2 - Certificate of Good Standing, # 3 Exhibit 3 - Proposed Order)(Leblanc, Andrew) (Entered: 06/12/2023) |
| 06/12/2023 | 34 | NOTICE of Appearance by Abid Riaz Qureshi on behalf of CHANGPENG ZHAO (Qureshi, Abid) (Entered: 06/12/2023) |
| 06/12/2023 | | MINUTE ORDER granting 31 32 33 Motions for Leave of George S. Canellos, Adam J. Fee, and Matthew J. Laroche to Appear Pro Hac Vice only upon condition that the lawyers admitted, or at least one member of the lawyers' firm, undergo CM/ECF training, obtain a CM/ECF username and password, and agree to file papers electronically. No court papers will be mailed to any lawyer. Counsel should register for e-filing via PACER and file a notice of appearance pursuant to LCvR 83.6(a) Click for instructions. Signed by Judge Amy Berman Jackson on 6/12/23. (DMK) (Entered: 06/12/2023) |
| 06/12/2023 | 35 | NOTICE of Appearance by Matthew T. Martens on behalf of BAM MANAGEMENT US HOLDINGS INC., BAM TRADING SERVICES INC. (Martens, Matthew) (Entered: 06/12/2023) |
| 06/12/2023 | 36 | NOTICE of Appearance by John Emmett Murphy on behalf of SECURITIES AND EXCHANGE COMMISSION (Murphy, John) (Entered: 06/12/2023) |
| 06/12/2023 | 37 | MOTION for Leave to Appear Pro Hac Vice :Attorney Name- Matthew Beville, Filing fee \$ 100, receipt number ADCDC-10133310. Fee Status: Fee Paid. by BAM MANAGEMENT US HOLDINGS INC., BAM TRADING SERVICES INC.. (Attachments: # 1 Declaration of Matthew Beville, # 2 Certificate of Good Standing, # 3 Text of Proposed Order)(Martens, Matthew) (Entered: 06/12/2023) |
| 06/12/2023 | 38 | MOTION for Leave to Appear Pro Hac Vice :Attorney Name- William McLucas, Filing fee \$ 100, receipt number ADCDC-10133358. Fee Status: Fee Paid. by BAM MANAGEMENT US HOLDINGS INC., BAM TRADING SERVICES INC.. (Attachments: # 1 Declaration of William McLucas, # 2 Certificate of Good Standing, # 3 Text of Proposed Order)(Martens, Matthew) (Entered: 06/12/2023) |
| 06/12/2023 | 39 | MOTION for Leave to Appear Pro Hac Vice :Attorney Name- Tiffany J. Smith, Filing fee \$ 100, receipt number ADCDC-10133372. Fee Status: Fee Paid. by BAM MANAGEMENT US HOLDINGS INC., BAM TRADING SERVICES INC.. (Attachments: # 1 Declaration of Tiffany J. Smith, # 2 Certificate of Good Standing, # 3 Text of Proposed Order)(Martens, Matthew) (Entered: 06/12/2023) |
| 06/12/2023 | 40 | Memorandum in opposition to re 4 Motion for TRO filed by BAM MANAGEMENT US HOLDINGS INC., BAM TRADING SERVICES INC.. (Attachments: # 1 Text of Proposed Order)(Leblanc, Andrew) (Entered: 06/12/2023) |
| 06/12/2023 | 41 | DECLARATION of Adam J. Fee by BAM MANAGEMENT US HOLDINGS INC., BAM TRADING SERVICES INC. re 40 Memorandum in Opposition filed by BAM TRADING SERVICES INC., BAM MANAGEMENT US HOLDINGS INC.. (Attachments: # 1 Exhibit 1, # 2 Exhibit 2, # 3 Exhibit 3, # 4 Exhibit 4, # 5 Exhibit 5, # 6 Exhibit 6, # 7 Exhibit 7, # 8 Exhibit 8, # 9 Exhibit 9, # 10 Exhibit 10, # 11 Exhibit 11)(Leblanc, Andrew) (Entered: 06/12/2023) |
| 06/12/2023 | 42 | DECLARATION of Erik Kellogg by BAM MANAGEMENT US HOLDINGS INC., BAM TRADING SERVICES INC. re 40 Memorandum in Opposition filed by BAM TRADING SERVICES INC., BAM MANAGEMENT US HOLDINGS INC.. (Leblanc, Andrew) (Entered: 06/12/2023) |
| 06/12/2023 | 43 | Memorandum in opposition to re 4 Motion for TRO <i>JOINT MEMORANDUM OF LAW ON BEHALF OF DEFENDANTS BINANCE HOLDINGS LIMITED AND CHANGPENG</i> |

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| | | <i>ZHAO IN OPPOSITION TO PLAINTIFF'S EMERGENCY MOTION FOR A TEMPORARY RESTRAINING ORDER</i> filed by BINANCE HOLDINGS LIMITED. (Nelson, Daniel) (Entered: 06/12/2023) |
| 06/12/2023 | 44 | DECLARATION of <i>Sara Sisenwein</i> by BAM MANAGEMENT US HOLDINGS INC., BAM TRADING SERVICES INC. re 40 Memorandum in Opposition filed by BAM TRADING SERVICES INC., BAM MANAGEMENT US HOLDINGS INC.. (Leblanc, Andrew) (Entered: 06/12/2023) |
| 06/12/2023 | 45 | DECLARATION of <i>Jeffrey H. Harris in Support of Opposition</i> by BINANCE HOLDINGS LIMITED re 4 MOTION for Temporary Restraining Order filed by SECURITIES AND EXCHANGE COMMISSION. (Nelson, Daniel) (Entered: 06/12/2023) |
| 06/12/2023 | | MINUTE ORDER granting 37 38 39 Motions for Leave of Matthew Beville, William McLucas, and Tiffany J. Smith to Appear Pro Hac Vice only upon condition that the lawyers admitted, or at least one member of the lawyers' firm, undergo CM/ECF training, obtain a CM/ECF username and password, and agree to file papers electronically. No court papers will be mailed to any lawyer. Counsel should register for e-filing via PACER and file a notice of appearance pursuant to LCvR 83.6(a) Click for instructions. Signed by Judge Amy Berman Jackson on 6/12/23.(DMK) (Entered: 06/12/2023) |
| 06/12/2023 | 46 | DECLARATION of <i>J. Gregory Eastman in Support of Opposition</i> by BINANCE HOLDINGS LIMITED re 4 MOTION for Temporary Restraining Order filed by SECURITIES AND EXCHANGE COMMISSION. (Nelson, Daniel) (Entered: 06/12/2023) |
| 06/12/2023 | 47 | MOTION for Leave to Appear Pro Hac Vice :Attorney Name- Douglas K. Yatter, Filing fee \$ 100, receipt number ADCDC-10133846. Fee Status: Fee Paid. by CHANGPENG ZHAO. (Attachments: # 1 Exhibit A - Declaration of Douglas K. Yatter, # 2 Exhibit B - Proposed Order, # 3 Exhibit C - Certificate of Good Standing)(Qureshi, Abid) (Entered: 06/12/2023) |
| 06/12/2023 | 48 | MOTION for Leave to Appear Pro Hac Vice :Attorney Name- Benjamin Naftalis, Filing fee \$ 100, receipt number ADCDC-10133853. Fee Status: Fee Paid. by CHANGPENG ZHAO. (Attachments: # 1 Exhibit A - Declaration of Benjamin Naftalis, # 2 Exhibit B - Proposed Order, # 3 Exhibit C - Certificate of Good Standing)(Qureshi, Abid) (Entered: 06/12/2023) |
| 06/12/2023 | 49 | NOTICE of Appearance by William R. Baker, III on behalf of CHANGPENG ZHAO (Baker, William) (Entered: 06/12/2023) |
| 06/12/2023 | 50 | MOTION for Leave to Appear Pro Hac Vice :Attorney Name- Melanie M. Blunschi, Filing fee \$ 100, receipt number ADCDC-10133867. Fee Status: Fee Paid. by CHANGPENG ZHAO. (Attachments: # 1 Exhibit A - Declaration of Melanie M. Blunschi, # 2 Exhibit B - Proposed Order, # 3 Exhibit C - Certificate of Good Standing)(Qureshi, Abid) (Entered: 06/12/2023) |
| 06/12/2023 | 51 | MOTION for Leave to Appear Pro Hac Vice :Attorney Name- Heather A. Waller, Filing fee \$ 100, receipt number ADCDC-10133871. Fee Status: Fee Paid. by CHANGPENG ZHAO. (Attachments: # 1 Exhibit A - Declaration of Heather A. Waller, # 2 Exhibit B - Proposed Order, # 3 Exhibit C - Certificate of Good Standing)(Qureshi, Abid) (Entered: 06/12/2023) |
| 06/12/2023 | 52 | NOTICE of Appearance by Michael E. Bern on behalf of CHANGPENG ZHAO (Bern, Michael) (Entered: 06/12/2023) |

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| 06/12/2023 | 53 | DECLARATION of <i>Mary Beth Maloney in Support of Opposition</i> by BINANCE HOLDINGS LIMITED re 4 MOTION for Temporary Restraining Order filed by SECURITIES AND EXCHANGE COMMISSION. (Attachments: # 1 Exhibit 1 -10, # 2 Exhibit 11 - 20, # 3 Exhibit 21 - 30, # 4 Exhibit 31 - 40, # 5 Exhibit 41 - 50, # 6 Exhibit 51 - 60, # 7 Exhibit 61 - 70, # 8 Exhibit 71 - 80, # 9 Exhibit 81 - 90, # 10 Exhibit 91 - 102) (Nelson, Daniel) (Entered: 06/12/2023) |
| 06/12/2023 | 54 | NOTICE of Proposed Order by BINANCE HOLDINGS LIMITED re 43 Memorandum in Opposition, (Nelson, Daniel) (Entered: 06/12/2023) |
| 06/12/2023 | 55 | NOTICE of filing of <i>Index of Exhibits</i> by BINANCE HOLDINGS LIMITED re 53 Declaration, (Nelson, Daniel) (Entered: 06/12/2023) |
| 06/13/2023 | | NOTICE OF ERROR re 41 Declaration; emailed to aleblanc@milbank.com, cc'd 36 associated attorneys -- The PDF file you docketed contained errors: 1. Please note the following for future filings; do not refile document , 2. In future: Declarations should not be filed separately; Declarations and all exhibits should be attached to the entry of the main document (motion, opposition, etc.) (znmw,) (Entered: 06/13/2023) |
| 06/13/2023 | | MINUTE ORDER. The defendants must inform the Court by 1:00 p.m. today of the differences, if any, between the terms of the consent decree [41-8] proposed by and acceptable to defendants BAM Trading Services Inc. and BAM Management US Holdings, Inc. and the proposed consent decree 54 submitted by and acceptable to defendants Binance Holdings Limited and Changpeng Zhao 54 . If there are any differences, identifying them may be accomplished with a chart or redline document. Also, the SEC must inform the Court by 1:00 p.m. today of exactly what changes need to be made to the BAM defendants' proposal [41-8] to allay the SEC's concerns regarding assets in the United States and render the order acceptable to the government as a means to govern the conduct of the parties pending the resolution of the case on the merits in lieu of the SEC's proposed TRO. This information would be most effectively presented with a redline document. No additional argument or explication may be submitted by any party at this time. SO ORDERED. Signed by Judge Amy Berman Jackson on 6/13/23. (DMK) (Entered: 06/13/2023) |
| 06/13/2023 | 57 | NOTICE of Proposed Order by SECURITIES AND EXCHANGE COMMISSION (Attachments: # 1 Exhibit A- Redline Comparison of Proposed Order, # 2 Exhibit B- SEC Proposed Order)(Nasse, David) (Entered: 06/13/2023) |
| 06/13/2023 | 58 | NOTICE of Filing of <i>Redlined Version of Proposed Order</i> by BAM MANAGEMENT US HOLDINGS INC., BAM TRADING SERVICES INC. (Attachments: # 1 Text of Proposed Order (Redlined Version))(Martens, Matthew) (Entered: 06/13/2023) |
| 06/13/2023 | 59 | NOTICE of Appearance by Mary Beth Maloney on behalf of BINANCE HOLDINGS LIMITED (Maloney, Mary Beth) (Entered: 06/13/2023) |
| 06/13/2023 | 60 | NOTICE of Appearance by Michael D. Celio on behalf of BINANCE HOLDINGS LIMITED (Celio, Michael) (Entered: 06/13/2023) |
| 06/13/2023 | | MINUTE ORDER granting 47 48 50 51 Motions for Leave of Douglas K. Yatter, Benjamin Naftalis, Melanie M. Blunsch, and Heather A. Waller to Appear Pro Hac Vice only upon condition that the lawyers admitted, or at least one member of the lawyers' firm, undergo CM/ECF training, obtain a CM/ECF username and password, and agree to file papers electronically. No court papers will be mailed to any lawyer. Counsel should register for e-filing via PACER and file a notice of appearance pursuant to LCvR 83.6(a) Click for instructions . Signed by Judge Amy Berman Jackson on 6/13/23. (DMK) (Entered: 06/13/2023) |

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| 06/13/2023 | 61 | NOTICE of Appearance by Stephanie Lauren Brooker on behalf of BINANCE HOLDINGS LIMITED (Brooker, Stephanie) (Entered: 06/13/2023) |
| 06/13/2023 | | Minute Entry for Proceedings held before Judge Amy Berman Jackson: Motion Hearing held on 6/13/2023 re: Plaintiff's 4 Motion for Temporary Restraining Order. The 4 Motion for Temporary Restraining Order was Heard and Taken Under Advisement. Order to be Issued by the Court. (Court Reporter: Janice Dickman). (jth) (Entered: 06/13/2023) |
| 06/13/2023 | | MINUTE ORDER. It is ORDERED that this matter is referred forthwith to Magistrate Judge Faruqui for purpose of mediating the parties' negotiation of an interim consent decree that would remain in force pending the resolution of the case on the merits. The parties should await further instructions from the Magistrate Judge's chambers on scheduling. It is FURTHER ORDERED that the BAM defendants must file their list of ordinary course expenses by June 14, 2023 at 9:00 a.m. Finally, it is FURTHER ORDERED that the parties file a status report regarding their efforts to reach an interim consent decree by June 15, 2023 at 5:00 p.m., if a proposed consent decree is not filed before then. SO ORDERED. Signed by Judge Amy Berman Jackson on 6/13/23. (DMK) (Entered: 06/13/2023) |
| 06/13/2023 | | MINUTE ORDER: Whereas Judge Jackson has ordered that the parties appear before the undersigned for a mediation; it is hereby ORDERED that a Mediation Conference shall occur on June 14, 2023 at 02:45 PM ET via VTC before Magistrate Judge Zia M. Faruqui. The parties shall contact Faruqui_Chambers@dcd.uscourts.gov to receive the dial-in information. Signed by Magistrate Judge Zia M. Faruqui on 06/13/2023. (Faruqui, Zia) (Entered: 06/13/2023) |
| 06/14/2023 | | RESOLVED...NOTICE of Provisional/Government Not Certified Status re 61 NOTICE of Appearance by Stephanie Lauren Brooker on behalf of BINANCE HOLDINGS LIMITED (Brooker, Stephanie). Your attorney renewal/government certification has not been received. As a result, your membership with the U.S. District & Bankruptcy Courts for the District of Columbia is not in good standing, and you are not permitted to file. Pursuant to Local Civil Rule 83.9, you must immediately correct your membership status by following the appropriate instructions on this page of our website: https://www.dcd.uscourts.gov/attorney-renewal . Please be advised that the presiding judge in this case has been notified that you are currently not in good standing to file in this court. Renewal Due by 6/21/2023. (zbaj) Modified on 6/16/2023 (zbaj). (Entered: 06/14/2023) |
| 06/14/2023 | 62 | NOTICE of Filing of Ordinary Course Expenses by BAM MANAGEMENT US HOLDINGS INC., BAM TRADING SERVICES INC. (Attachments: # 1 Exhibit 1 - List of Ordinary Course Expenses)(Leblanc, Andrew) (Entered: 06/14/2023) |
| 06/14/2023 | 63 | NOTICE of Appearance by George Canellos on behalf of BAM MANAGEMENT US HOLDINGS INC., BAM TRADING SERVICES INC. (Canellos, George) (Entered: 06/14/2023) |
| 06/14/2023 | 64 | NOTICE of Appearance by Adam Fee on behalf of BAM MANAGEMENT US HOLDINGS INC., BAM TRADING SERVICES INC. (Fee, Adam) (Entered: 06/14/2023) |
| 06/14/2023 | 65 | NOTICE of Appearance by Matthew Laroche on behalf of BAM MANAGEMENT US HOLDINGS INC., BAM TRADING SERVICES INC. (Laroche, Matthew) (Entered: 06/14/2023) |
| 06/14/2023 | | MINUTE ORDER REFERRING CASE for Mediation to Magistrate Judge Zia Faruqui. SEE MINUTE ORDER dated 6/13/2023. SO ORDERED by Judge Amy Berman Jackson |

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| | | on 6/14/2023. (lsj) (Entered: 06/14/2023) |
| 06/14/2023 | | Minute Entry for proceedings held before Magistrate Judge Zia M. Faruqui: Mediation Conference held on 6/14/2023. (lcoh) (Entered: 06/14/2023) |
| 06/14/2023 | | MINUTE ORDER: The mediation adjourned at 6:00pm ET on June 14, 2023. No TRO or asset freeze has been imposed. The decision is still pending on the SEC's requests. Mediation to resume at 11:00am ET on June 15, 2023 via VTC. Signed by Magistrate Judge Zia M. Faruqui on 06/14/2023. (Faruqui, Zia) (Entered: 06/14/2023) |
| 06/14/2023 | | CASE DIRECTLY REFERRED to Magistrate Judge Zia M. Faruqui for mediation. (zsl) (Entered: 06/15/2023) |
| 06/15/2023 | 66 | MOTION for Leave to Appear Pro Hac Vice :Attorney Name- M. Kendall Day, Filing fee \$ 100, receipt number ADCDC-10143004. Fee Status: Fee Paid. by BINANCE HOLDINGS LIMITED. (Attachments: # 1 Declaration of M. Kendall Day in Support, # 2 Exhibit Certificate of Good Standing, # 3 Text of Proposed Order for admission of M. Kendall Day Pro Hac Vice)(Nelson, Daniel) (Entered: 06/15/2023) |
| 06/15/2023 | 67 | MOTION for Leave to Appear Pro Hac Vice :Attorney Name- Richard W. Grime, Filing fee \$ 100, receipt number ADCDC-10143069. Fee Status: Fee Paid. by BINANCE HOLDINGS LIMITED. (Attachments: # 1 Declaration of Richard W. Grime in Support, # 2 Exhibit Certificate of Good Standing, # 3 Text of Proposed Order for admission of Richard W. Grime Pro Hac Vice)(Nelson, Daniel) (Entered: 06/15/2023) |
| 06/15/2023 | 68 | Joint STATUS REPORT by SECURITIES AND EXCHANGE COMMISSION. (Scarlato, Matthew) (Entered: 06/15/2023) |
| 06/16/2023 | 69 | <p>TRANSCRIPT OF PROCEEDINGS before Judge Amy Berman Jackson held on June 13, 2023; Page Numbers: 1-89. Date of Issuance :June 16, 2023. Court Reporter: Janice Dickman, Telephone number: 202-354-3267, Transcripts may be ordered by submitting the Transcript Order Form</p> <p>For the first 90 days after this filing date, the transcript may be viewed at the courthouse at a public terminal or purchased from the court reporter referenced above. After 90 days, the transcript may be accessed via PACER. Other transcript formats, (multi-page, condensed, CD or ASCII) may be purchased from the court reporter.</p> <p>NOTICE RE REDACTION OF TRANSCRIPTS: The parties have twenty-one days to file with the court and the court reporter any request to redact personal identifiers from this transcript. If no such requests are filed, the transcript will be made available to the public via PACER without redaction after 90 days. The policy, which includes the five personal identifiers specifically covered, is located on our website at www.dcd.uscourts.gov.</p> <p>Redaction Request due 7/7/2023. Redacted Transcript Deadline set for 7/17/2023. Release of Transcript Restriction set for 9/14/2023.(Dickman, Janice) (Entered: 06/16/2023)</p> |
| 06/16/2023 | 70 | NOTICE of Proposed Order by SECURITIES AND EXCHANGE COMMISSION (Attachments: # 1 Text of Proposed Order Stipulation and Consent Order)(Nasse, David) (Entered: 06/16/2023) |
| 06/17/2023 | 71 | CONSENT ORDER, entered pursuant to the parties' stipulation 70 , governing the conduct of the parties pending the resolution of this case on the merits. See order for details. Signed by Judge Amy Berman Jackson on 6/17/23. (DMK) (Entered: 06/17/2023) |
| 06/17/2023 | | MINUTE ORDER. The parties are ORDERED file a joint status report on June 23, 2023 proposing a schedule for further proceedings or setting forth the parties' individual |

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| | | proposals if they cannot agree on a joint proposal. SO ORDERED. Signed by Judge Amy Berman Jackson on 6/17/23. (DMK) (Entered: 06/17/2023) |
| 06/20/2023 | 72 | WAIVER OF SERVICE. BINANCE HOLDINGS LIMITED waiver sent on 6/6/2023, answer due 9/4/2023. (Scarlato, Matthew) (Entered: 06/20/2023) |
| 06/20/2023 | 73 | WAIVER OF SERVICE. CHANGPENG ZHAO waiver sent on 6/6/2023, answer due 9/4/2023. (Scarlato, Matthew) (Main Document 73 replaced on 6/21/2023) (znmw). (Entered: 06/20/2023) |
| 06/21/2023 | 74 | MOTION for Order <i>Directing Counsel for Plaintiff to Comply with Applicable Rules of Conduct</i> by BAM MANAGEMENT US HOLDINGS INC., BAM TRADING SERVICES INC.. (Attachments: # 1 Declaration of Adam J. Fee, # 2 Exhibit 1 - Transcript, # 3 Exhibit 2 - SEC Press Release, # 4 Text of Proposed Order)(Canellos, George) (Entered: 06/21/2023) |
| 06/21/2023 | 75 | NOTICE of Appearance by Tiffany J. Smith on behalf of BAM MANAGEMENT US HOLDINGS INC., BAM TRADING SERVICES INC. (Smith, Tiffany) (Entered: 06/21/2023) |
| 06/21/2023 | 76 | NOTICE of Appearance by William R. McLucas on behalf of BAM MANAGEMENT US HOLDINGS INC., BAM TRADING SERVICES INC. (McLucas, William) (Entered: 06/21/2023) |
| 06/21/2023 | 77 | NOTICE of Appearance by Matthew Beville on behalf of BAM MANAGEMENT US HOLDINGS INC., BAM TRADING SERVICES INC. (Beville, Matthew) (Entered: 06/21/2023) |
| 06/21/2023 | 78 | WAIVER OF SERVICE. BAM MANAGEMENT US HOLDINGS INC. waiver sent on 6/6/2023, answer due 8/5/2023. (Scarlato, Matthew) (Entered: 06/21/2023) |
| 06/21/2023 | 79 | WAIVER OF SERVICE. BAM TRADING SERVICES INC. waiver sent on 6/6/2023, answer due 8/5/2023. (Scarlato, Matthew) (Entered: 06/21/2023) |
| 06/21/2023 | | MINUTE ORDER granting 66 67 Motions for Leave of M. Kendall Day and Richard W. Grime to Appear Pro Hac Vice only upon condition that the lawyers admitted, or at least one member of the lawyers' firm, undergo CM/ECF training, obtain a CM/ECF username and password, and agree to file papers electronically. No court papers will be mailed to any lawyer. Motion for Leave to Appear Pro Hac Vice Counsel should register for e-filing via PACER and file a notice of appearance pursuant to LCvR 83.6(a) Click for instructions . Signed by Judge Amy Berman Jackson on 6/21/23. (DMK) (Entered: 06/21/2023) |
| 06/22/2023 | 80 | NOTICE of Appearance by Richard W. Grime on behalf of BINANCE HOLDINGS LIMITED (Grime, Richard) (Entered: 06/22/2023) |
| 06/22/2023 | 81 | NOTICE of Appearance by M. Kendall Day on behalf of BINANCE HOLDINGS LIMITED (Day, M.) (Entered: 06/22/2023) |
| 06/23/2023 | 82 | NOTICE of Appearance by Douglas Yatter on behalf of CHANGPENG ZHAO (Yatter, Douglas) (Entered: 06/23/2023) |
| 06/23/2023 | 83 | NOTICE of Appearance by Benjamin Naftalis on behalf of CHANGPENG ZHAO (Naftalis, Benjamin) (Entered: 06/23/2023) |
| 06/23/2023 | 84 | NOTICE of Appearance by Eric Solomon Volkman on behalf of CHANGPENG ZHAO (Volkman, Eric) (Entered: 06/23/2023) |
| 06/23/2023 | 85 | NOTICE of Appearance by Heather A. Waller on behalf of CHANGPENG ZHAO (Waller, |

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| | | Heather) (Entered: 06/23/2023) |
| 06/23/2023 | 86 | NOTICE of Appearance by Melanie Blunski on behalf of CHANGPENG ZHAO (Blunski, Melanie) (Entered: 06/23/2023) |
| 06/23/2023 | 87 | Joint STATUS REPORT by SECURITIES AND EXCHANGE COMMISSION. (Murphy, John) (Entered: 06/23/2023) |
| 06/26/2023 | | Set/Reset Hearings: Telephonic Scheduling Conference Call is set for 6/26/2023 at 11:45 AM before Judge Amy Berman Jackson. Counsel for the parties have been provided with the dial up information needed to connect the call. (jth) (Entered: 06/26/2023) |
| 06/26/2023 | 88 | ORDER. Defendants' responsive pleadings are due September 21, 2023; plaintiff's oppositions are due November 7, 2023; and any replies are due December 12, 2023. See order for details. Signed by Judge Amy Berman Jackson on 6/26/23. (DMK) (Entered: 06/26/2023) |
| 06/26/2023 | | MINUTE ORDER denying 74 defendants' motion for order. While all of the lawyers in this case should adhere to their ethical obligations at all times, it is not apparent that Court intervention to reiterate that point is needed at this time, or that it is necessary or appropriate for the Court to get involved in wordsmithing the parties' press releases. Nor is it clear that the agency's public relations efforts to date will materially affect proceedings in this case. SO ORDERED. Signed by Judge Amy Berman Jackson on 6/26/23. (DMK) (Entered: 06/26/2023) |
| 06/26/2023 | | Minute Entry for proceedings held before Judge Amy Berman Jackson: Telephone Scheduling Conference Call held on 6/26/2023. (scheduling Order to be issued by the Court). (Court Reporter: Janice Dickman). (jth) (Entered: 06/27/2023) |

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