

U.S. District Court
Southern District of New York (Foley Square)
CIVIL DOCKET FOR CASE #: 1:23-cv-04738-KPF

Securities and Exchange Commission v. Coinbase, Inc. et al
Assigned to: Judge Katherine Polk Failla
Cause: 15:78m(a) Securities Exchange Act

Date Filed: 06/06/2023
Jury Demand: Plaintiff
Nature of Suit: 850 Securities/Commodities
Jurisdiction: U.S. Government Plaintiff

Date Filed	#	Docket Text
06/06/2023	1	COMPLAINT against COINBASE GLOBAL, INC., COINBASE, INC. Document filed by U.S. Securities & Exchange Commission..(Tenreiro, Jorge) (Entered: 06/06/2023)
06/06/2023	2	FILING ERROR - PDF ERROR - CIVIL COVER SHEET filed..(Tenreiro, Jorge) Modified on 6/7/2023 (jgo). (Entered: 06/06/2023)
06/06/2023	3	NOTICE OF APPEARANCE by Ladan Fazlollahi Stewart on behalf of U.S. Securities & Exchange Commission..(Stewart, Ladan) (Entered: 06/06/2023)
06/06/2023	4	NOTICE OF APPEARANCE by Peter Mancuso on behalf of U.S. Securities & Exchange Commission..(Mancuso, Peter) (Entered: 06/06/2023)
06/06/2023	5	NOTICE OF APPEARANCE by Ben Ninan Kuruvilla on behalf of U.S. Securities & Exchange Commission..(Kuruvilla, Ben) (Entered: 06/06/2023)
06/06/2023	6	NOTICE OF APPEARANCE by William D Savitt on behalf of COINBASE GLOBAL, INC., COINBASE, INC..(Savitt, William) (Entered: 06/06/2023)
06/06/2023	7	NOTICE OF APPEARANCE by Kevin S. Schwartz on behalf of COINBASE GLOBAL, INC., COINBASE, INC..(Schwartz, Kevin) (Entered: 06/06/2023)
06/06/2023	8	NOTICE OF APPEARANCE by Sarah Kathleen Eddy on behalf of COINBASE GLOBAL, INC., COINBASE, INC..(Eddy, Sarah) (Entered: 06/06/2023)
06/06/2023	9	NOTICE OF APPEARANCE by Adam Michael Gogolak on behalf of COINBASE GLOBAL, INC., COINBASE, INC..(Gogolak, Adam) (Entered: 06/06/2023)
06/06/2023	10	NOTICE OF APPEARANCE by Steven Robert Peikin on behalf of COINBASE GLOBAL, INC., COINBASE, INC..(Peikin, Steven) (Entered: 06/06/2023)
06/06/2023	11	NOTICE OF APPEARANCE by Kathleen Suzanne McArthur on behalf of COINBASE GLOBAL, INC., COINBASE, INC..(McArthur, Kathleen) (Entered: 06/06/2023)
06/06/2023	12	NOTICE OF APPEARANCE by James McDonald on behalf of COINBASE GLOBAL, INC., COINBASE, INC..(McDonald, James) (Entered: 06/06/2023)
06/06/2023	13	NOTICE OF APPEARANCE by Julia A Malkina on behalf of COINBASE GLOBAL, INC., COINBASE, INC..(Malkina, Julia) (Entered: 06/06/2023)
06/06/2023	14	NOTICE OF APPEARANCE by Olivia Chalos on behalf of COINBASE GLOBAL, INC., COINBASE, INC..(Chalos, Olivia) (Entered: 06/06/2023)

06/06/2023	15	MOTION for Nicholas C. Margida to Appear Pro Hac Vice . Motion and supporting papers to be reviewed by Clerk's Office staff. Document filed by U.S. Securities & Exchange Commission. (Attachments: # 1 Affidavit Affidavit of Nicholas C. Margida, # 2 Exhibit DC Bar Cert. of Good Standing, # 3 Exhibit VA S. Ct. Cert. of Good Standing, # 4 Text of Proposed Order Proposed Order).(Margida, Nicholas) (Entered: 06/06/2023)
06/06/2023		>>>NOTICE REGARDING PRO HAC VICE MOTION. Regarding Document No. 15 MOTION for Nicholas C. Margida to Appear Pro Hac Vice . Motion and supporting papers to be reviewed by Clerk's Office staff.. The document has been reviewed and there are no deficiencies. (dsh) (Entered: 06/06/2023)
06/07/2023		***NOTICE TO ATTORNEY REGARDING DEFICIENT CIVIL COVER SHEET. Notice to attorney Jorge Gerardo Tenreiro to RE-FILE Document No. 2 Civil Cover Sheet. The filing is deficient for the following reason(s): the PDF attached to the docket entry for the civil cover sheet is not correct; party name on the PDF case caption must match as it appears on the pleading caption. Re-file the document using the event type Civil Cover Sheet found under the event list Other Documents and attach the correct PDF. Use civil cover sheet issued by S.D.N.Y. dated October 1, 2020. The S.D.N.Y. Civil Cover Sheet dated October 1, 2020 is located at http://nysd.uscourts.gov/file/forms/civil-cover-sheet.. (jgo) (Entered: 06/07/2023)
06/07/2023		CASE OPENING INITIAL ASSIGNMENT NOTICE: The above-entitled action is assigned to Judge Jennifer H. Rearden. Please download and review the Individual Practices of the assigned District Judge, located at https://nysd.uscourts.gov/judges/district-judges . Attorneys are responsible for providing courtesy copies to judges where their Individual Practices require such. Please download and review the ECF Rules and Instructions, located at https://nysd.uscourts.gov/rules/ecf-related-instructions.. (jgo) (Entered: 06/07/2023)
06/07/2023		Magistrate Judge James L. Cott is so designated. Pursuant to 28 U.S.C. Section 636(c) and Fed. R. Civ. P. 73(b)(1) parties are notified that they may consent to proceed before a United States Magistrate Judge. Parties who wish to consent may access the necessary form at the following link: https://nysd.uscourts.gov/sites/default/files/2018-06/AO-3.pdf . (jgo) (Entered: 06/07/2023)
06/07/2023		Case Designated ECF. (jgo) (Entered: 06/07/2023)
06/07/2023		***NOTICE TO ATTORNEY REGARDING PARTY MODIFICATION. Notice to attorney Jorge Gerardo Tenreiro. The party information for the following party/parties has been modified: U.S. Securities & Exchange Commission; COINBASE, INC; COINBASE GLOBAL, INC., The information for the party/parties has been modified for the following reason/reasons: party name contained a typographical error; party name was entered in all caps;. (jgo) Modified on 6/7/2023 (jgo). (Entered: 06/07/2023)
06/07/2023	16	CIVIL COVER SHEET filed..(Tenreiro, Jorge) (Entered: 06/07/2023)
06/07/2023	17	WAIVER OF SERVICE RETURNED EXECUTED. Coinbase Global, Inc. waiver sent on 6/7/2023, answer due 8/7/2023. Document filed by Coinbase Global, Inc...(Peikin, Steven) (Entered: 06/07/2023)
06/07/2023	18	WAIVER OF SERVICE RETURNED EXECUTED. Coinbase, Inc. waiver sent on 6/7/2023, answer due 8/7/2023. Document filed by Coinbase, Inc...(Peikin, Steven) (Entered: 06/07/2023)
06/12/2023	19	ORDER granting 15 Motion for Nicholas C. Margida to Appear Pro Hac Vice (HEREBY ORDERED by Judge Jennifer H. Rearden)(Text Only Order) (kwi) (Entered: 06/12/2023)

06/14/2023		NOTICE OF CASE REASSIGNMENT to Judge Katherine Polk Failla. Judge Jennifer H. Rearden is no longer assigned to the case. (sgz) (Entered: 06/14/2023)
06/22/2023	20	NOTICE OF INITIAL PRETRIAL CONFERENCE: The conference will be held telephonically. At the scheduled date and time, the parties are to call (888) 363-4749 and enter access code 5123533. As further set forth by this Order. SO ORDERED. Initial Conference set for 8/24/2023 at 11:30 AM before Judge Katherine Polk Failla. (Signed by Judge Katherine Polk Failla on 6/22/2023) (tg) (Entered: 06/22/2023)
06/28/2023	21	RULE 7.1 CORPORATE DISCLOSURE STATEMENT. No Corporate Parent. Document filed by Coinbase Global, Inc., Coinbase, Inc...(Savitt, William) (Entered: 06/28/2023)
06/28/2023	22	ANSWER to 1 Complaint. Document filed by Coinbase Global, Inc., Coinbase, Inc...(Savitt, William) (Entered: 06/28/2023)
06/28/2023	23	LETTER MOTION for Leave to File Motion for Judgement on the Pleadings addressed to Judge Katherine Polk Failla from William D. Savitt dated June 28, 2023. Document filed by Coinbase Global, Inc., Coinbase, Inc...(Savitt, William) (Entered: 06/28/2023)
06/29/2023	24	CONSENT LETTER MOTION for Extension of Time to File Response/Reply to <i>Defendants' Pre-Motion Submission</i> addressed to Judge Katherine Polk Failla from Nicholas Margida dated June 29, 2023. Document filed by Securities and Exchange Commission..(Margida, Nicholas) (Entered: 06/29/2023)
06/29/2023	25	ORDER granting 24 Letter Motion for Extension of Time to File Response/Reply re 24 CONSENT LETTER MOTION for Extension of Time to File Response/Reply to <i>Defendants' Pre-Motion Submission</i> addressed to Judge Katherine Polk Failla from Nicholas Margida dated June 29, 2023. Application GRANTED. Additionally, the initial pretrial conference currently scheduled for August 24, 2023, is hereby converted to a pre-motion conference and is rescheduled to July 13, 2023, at 10:00 a.m. The parties are ORDERED to appear at that time in Courtroom 618 of the Thurgood Marshall Courthouse, 40 Foley Square, New York, New York. The Clerk of Court is directed to terminate the pending motion at et number 24. SO ORDERED. (Signed by Judge Katherine Polk Failla on 6/29/2023) (tg) (Entered: 06/29/2023)
06/29/2023		Set/Reset Hearings: Pre-Motion Conference set for 7/13/2023 at 10:00 AM in Courtroom 618, 40 Centre Street, New York, NY 10007 before Judge Katherine Polk Failla. (tg) (Entered: 06/29/2023)

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