

U.S. District Court
District of Columbia (Washington, DC)
CIVIL DOCKET FOR CASE #: 1:23-cv-01599-ABJ-ZMF

SECURITIES AND EXCHANGE COMMISSION v.
BINANCE HOLDINGS LIMITED et al
Assigned to: Judge Amy Berman Jackson
Referred to: Magistrate Judge Zia M. Faruqui
Cause: 15:78u(d) Securities Exchange Act

Date Filed: 06/05/2023
Jury Demand: Plaintiff
Nature of Suit: 850
Securities/Commodities
Jurisdiction: U.S. Government Plaintiff

Date Filed	#	Docket Text
06/05/2023	<u>1</u>	COMPLAINT against BAM MANAGEMENT US HOLDINGS INC., BAM TRADING SERVICES LIMITED, BINANCE HOLDINGS LIMITED, CHANGPENG ZHAO with Jury Demand (Fee Status:Filing Fee Waived) filed by SECURITIES AND EXCHANGE COMMISSION. (Attachments: # <u>1</u> Civil Cover Sheet, # <u>2</u> Summons Binance Holdings Limited, # <u>3</u> Summons BAM Trading Services Inc., # <u>4</u> Summons BAM Management US Holdings Ltd., # <u>5</u> Summons Changpeng Zhao)(Scarlato, Matthew) (Entered: 06/05/2023)
06/05/2023	<u>2</u>	NOTICE of Appearance by Jennifer L. Farer on behalf of SECURITIES AND EXCHANGE COMMISSION (Farer, Jennifer) (Entered: 06/05/2023)
06/06/2023		NOTICE OF ERROR re <u>1</u> Complaint; emailed to scarlatom@sec.gov, cc'd 4 associated attorneys -- The PDF file you docketed contained errors: 1. Noncompliance with LCvR 5.1(c). Please file an errata correcting the initiating pleading to include the name & full residence address of each party using the event Errata., 2. COMPLIANCE DEADLINE is by close of business today. This case will not proceed any further until all errors are satisfied. (zsl,) (Entered: 06/06/2023)
06/06/2023	<u>3</u>	ERRATA by SECURITIES AND EXCHANGE COMMISSION re <u>1</u> Complaint,. (Attachments: # <u>1</u> Exhibit A)(Scarlato, Matthew) (Entered: 06/06/2023)
06/06/2023	<u>4</u>	MOTION for Temporary Restraining Order by SECURITIES AND EXCHANGE COMMISSION. (Attachments: # <u>1</u> Text of Proposed Order, # <u>2</u> Exhibit A, # <u>3</u> Notice to Counsel/Party)(Scarlato, Matthew) (Entered: 06/06/2023)
06/06/2023	<u>5</u>	NOTICE of Appearance by Daniel W. Nelson on behalf of BINANCE HOLDINGS LIMITED (Nelson, Daniel) (Entered: 06/06/2023)
06/06/2023	<u>6</u>	NOTICE of Appearance by Jason J. Mendro on behalf of BINANCE HOLDINGS LIMITED (Mendro, Jason) (Entered: 06/06/2023)
06/06/2023	<u>7</u>	MOTION for Leave to Appear Pro Hac Vice :Attorney Name- Mary Beth Maloney, Filing fee \$ 100, receipt number ADCDC-10120605. Fee Status: Fee Paid. by BINANCE HOLDINGS LIMITED. (Attachments: # <u>1</u> Declaration Declaration of Mary Beth Maloney, # <u>2</u> Exhibit Proposed Order for Mary Beth Maloney pro hac vice)(Nelson, Daniel) (Entered: 06/06/2023)
06/06/2023	<u>8</u>	MOTION for Leave to File Excess Pages by SECURITIES AND EXCHANGE COMMISSION. (Attachments: # <u>1</u> Text of Proposed Order, # <u>2</u> Exhibit Proposed Memorandum In Support of Motion for Emergency Relief)(Scarlato, Matthew) (Entered: 06/06/2023)
06/06/2023	<u>9</u>	MOTION for Leave to Appear Pro Hac Vice :Attorney Name- Michael D. Celio, Filing fee \$ 100, receipt number ADCDC-10120725. Fee Status: Fee Paid. by BINANCE HOLDINGS LIMITED. (Attachments: # <u>1</u> Declaration Declaration of Michael D. Celio, # <u>2</u> Exhibit Certificate of Good Standing for Michael D. Celio, # <u>3</u> Exhibit Proposed Order for Pro Hac Vice)(Nelson, Daniel) (Entered: 06/06/2023)
06/06/2023	<u>10</u>	MOTION to Amend/Correct <u>7</u> MOTION for Leave to Appear Pro Hac Vice :Attorney Name- Mary Beth Maloney, Filing fee \$ 100, receipt number ADCDC-10120605. Fee Status: Fee Paid. by BINANCE HOLDINGS LIMITED. (Attachments: # <u>1</u>

		Declaration Declaration of Mary Beth Maloney, # <u>2</u> Exhibit Certificate of Good Standing, # <u>3</u> Exhibit Proposed Order for Mary Beth Maloney Pro Hac Vice)(Nelson, Daniel) (Entered: 06/06/2023)
06/06/2023	<u>11</u>	MOTION for Leave to Appear Pro Hac Vice :Attorney Name– Stephanie L. Brooker, Filing fee \$ 100, receipt number ADCDC–10120878. Fee Status: Fee Paid. by BINANCE HOLDINGS LIMITED. (Attachments: # <u>1</u> Declaration of Stephanie L. Brooker, # <u>2</u> Exhibit Certificate of Good Standing, # <u>3</u> Exhibit Proposed Order for Stephanie L. Brooker Pro Hac Vice)(Nelson, Daniel) (Entered: 06/06/2023)
06/06/2023	<u>12</u>	DECLARATION by SECURITIES AND EXCHANGE COMMISSION re <u>8</u> MOTION for Leave to File Excess Pages filed by SECURITIES AND EXCHANGE COMMISSION. (Attachments: # <u>1</u> Exhibit A–1, # <u>2</u> Exhibit A–2, # <u>3</u> Exhibit A–3, # <u>4</u> Exhibit A–4, # <u>5</u> Exhibit A–5, # <u>6</u> Exhibit A–6, # <u>7</u> Exhibit A–7, # <u>8</u> Exhibit A–8, # <u>9</u> Exhibit A–9, # <u>10</u> Exhibit A–10, # <u>11</u> Exhibit A–11, # <u>12</u> Exhibit A–12, # <u>13</u> Exhibit A–13, # <u>14</u> Exhibit A–14, # <u>15</u> Exhibit A–15)(Scarlato, Matthew) (Entered: 06/06/2023)
06/06/2023	<u>13</u>	DECLARATION by SECURITIES AND EXCHANGE COMMISSION re <u>8</u> MOTION for Leave to File Excess Pages filed by SECURITIES AND EXCHANGE COMMISSION. (Attachments: # <u>1</u> Exhibit A–16, # <u>2</u> Exhibit A–17, # <u>3</u> Exhibit A–18, # <u>4</u> Exhibit A–19, # <u>5</u> Exhibit A–20, # <u>6</u> Exhibit A–21, # <u>7</u> Exhibit A–22)(Scarlato, Matthew) (Entered: 06/06/2023)
06/06/2023	<u>14</u>	DECLARATION by SECURITIES AND EXCHANGE COMMISSION re <u>8</u> MOTION for Leave to File Excess Pages filed by SECURITIES AND EXCHANGE COMMISSION. (Attachments: # <u>1</u> Exhibit A–23.1, # <u>2</u> Exhibit A–23.2)(Scarlato, Matthew) (Entered: 06/06/2023)
06/06/2023	<u>15</u>	DECLARATION by SECURITIES AND EXCHANGE COMMISSION re <u>8</u> MOTION for Leave to File Excess Pages filed by SECURITIES AND EXCHANGE COMMISSION. (Attachments: # <u>1</u> Exhibit A–23.3, # <u>2</u> Exhibit A–24)(Scarlato, Matthew) (Entered: 06/06/2023)
06/06/2023	<u>16</u>	DECLARATION by SECURITIES AND EXCHANGE COMMISSION re <u>8</u> MOTION for Leave to File Excess Pages filed by SECURITIES AND EXCHANGE COMMISSION. (Attachments: # <u>1</u> Exhibit A–25, # <u>2</u> Exhibit A–26, # <u>3</u> Exhibit A–27, # <u>4</u> Exhibit A–28, # <u>5</u> Exhibit A–29, # <u>6</u> Exhibit A–30, # <u>7</u> Exhibit A–31, # <u>8</u> Exhibit A–32)(Scarlato, Matthew) (Entered: 06/06/2023)
06/06/2023	<u>17</u>	DECLARATION by SECURITIES AND EXCHANGE COMMISSION re <u>8</u> MOTION for Leave to File Excess Pages filed by SECURITIES AND EXCHANGE COMMISSION. (Attachments: # <u>1</u> Exhibit A–33, # <u>2</u> Exhibit A–34, # <u>3</u> Exhibit A–35, # <u>4</u> Exhibit A–36, # <u>5</u> Exhibit A–37, # <u>6</u> Exhibit A–38, # <u>7</u> Exhibit A–39, # <u>8</u> Exhibit A–40, # <u>9</u> Exhibit A–41, # <u>10</u> Exhibit A–42, # <u>11</u> Exhibit A–43, # <u>12</u> Exhibit A–44, # <u>13</u> Exhibit A–45, # <u>14</u> Exhibit A–46, # <u>15</u> Exhibit A–47, # <u>16</u> Exhibit A–48)(Scarlato, Matthew) (Entered: 06/06/2023)
06/06/2023	<u>18</u>	DECLARATION by SECURITIES AND EXCHANGE COMMISSION re <u>8</u> MOTION for Leave to File Excess Pages filed by SECURITIES AND EXCHANGE COMMISSION. (Attachments: # <u>1</u> Exhibit A–49, # <u>2</u> Exhibit A–50, # <u>3</u> Exhibit A–51, # <u>4</u> Exhibit A–52, # <u>5</u> Exhibit A–53, # <u>6</u> Exhibit A–54, # <u>7</u> Exhibit A–55, # <u>8</u> Exhibit A–56, # <u>9</u> Exhibit A–57, # <u>10</u> Exhibit A–58, # <u>11</u> Exhibit A–59, # <u>12</u> Exhibit A–60, # <u>13</u> Exhibit A–61, # <u>14</u> Exhibit A–62, # <u>15</u> Exhibit A–63, # <u>16</u> Exhibit A–64)(Scarlato, Matthew) (Entered: 06/06/2023)
06/06/2023	<u>19</u>	DECLARATION by SECURITIES AND EXCHANGE COMMISSION re <u>8</u> MOTION for Leave to File Excess Pages filed by SECURITIES AND EXCHANGE COMMISSION. (Attachments: # <u>1</u> Exhibit A–65, # <u>2</u> Exhibit A–66, # <u>3</u> Exhibit A–67, # <u>4</u> Exhibit A–68, # <u>5</u> Exhibit A–69, # <u>6</u> Exhibit A–70, # <u>7</u> Exhibit A–71, # <u>8</u> Exhibit A–72, # <u>9</u> Exhibit A–73, # <u>10</u> Exhibit A–74, # <u>11</u> Exhibit A–75, # <u>12</u> Exhibit A–76, # <u>13</u> Exhibit A–77, # <u>14</u> Exhibit A–78, # <u>15</u> Exhibit A–79, # <u>16</u> Exhibit A–80)(Scarlato, Matthew) (Entered: 06/06/2023)
06/06/2023	<u>20</u>	DECLARATION by SECURITIES AND EXCHANGE COMMISSION re <u>8</u> MOTION for Leave to File Excess Pages filed by SECURITIES AND EXCHANGE COMMISSION. (Attachments: # <u>1</u> Exhibit A–81, # <u>2</u> Exhibit A–82, # <u>3</u> Exhibit

		A-83, # <u>4</u> Exhibit A-84, # <u>5</u> Exhibit A-85, # <u>6</u> Exhibit A-86, # <u>7</u> Exhibit A-87, # <u>8</u> Exhibit A-88, # <u>9</u> Exhibit A-89)(Scarlato, Matthew) (Entered: 06/07/2023)
06/07/2023	<u>21</u>	DECLARATION of Sachin Verma with Attachments by SECURITIES AND EXCHANGE COMMISSION re <u>8</u> MOTION for Leave to File Excess Pages filed by SECURITIES AND EXCHANGE COMMISSION. (Scarlato, Matthew) (Entered: 06/07/2023)
06/07/2023	<u>22</u>	DECLARATION of Sachin Verma (Ex. C) by SECURITIES AND EXCHANGE COMMISSION re <u>8</u> MOTION for Leave to File Excess Pages filed by SECURITIES AND EXCHANGE COMMISSION. (Scarlato, Matthew) (Entered: 06/07/2023)
06/07/2023		Case Assigned to Judge Amy Berman Jackson. (zsl) (Entered: 06/07/2023)
06/07/2023	<u>23</u>	SUMMONS (4) Issued Electronically as to All Defendants. (Attachment: # <u>1</u> Notice and Consent)(zsl) (Entered: 06/07/2023)
06/07/2023		MINUTE ORDER granting <u>8</u> Motion for Leave to File Excess Pages. The Clerk of the Court is directed to file [8-2] the memorandum of law in support of <u>4</u> plaintiff's emergency motion for a temporary restraining order. It is further ORDERED that defendants may file a response of equal length. Counsel should take note of Local Civil Rule 5.1(d), which requires that footnotes appear in 12-pt. font. SO ORDERED. Signed by Judge Amy Berman Jackson on 6/7/2023. (lcabj1) (Entered: 06/07/2023)
06/07/2023	<u>24</u>	AMENDED MOTION for Leave to Appear Pro Hac Vice :Attorney Name- Michael D. Celio, Filing fee \$ 100, receipt number ADCDC-10120725. Fee Status: Fee Paid. by BINANCE HOLDINGS LIMITED. (Attachments: # <u>1</u> Declaration of Michael D. Celio, # <u>2</u> Exhibit Certificate of Good Standing, # <u>3</u> Exhibit Proposed Order for Michael D. Celio for Pro Hac Vice)(Nelson, Daniel) Modified event on 6/7/2023 (znmw). (Entered: 06/07/2023)
06/07/2023		MINUTE ORDER granting <u>7</u> <u>9</u> <u>10</u> <u>11</u> <u>24</u> Motions for Leave of Mary Beth Maloney, Michael D. Celio, and Stephanie L. Brooker to Appear Pro Hac Vice and Motions to Amend/Correct only upon condition that the lawyers admitted, or at least one member of the lawyers' firm, undergo CM/ECF training, obtain a CM/ECF username and password, and agree to file papers electronically. No court papers will be mailed to any lawyer. Counsel should register for e-filing via PACER and file a notice of appearance pursuant to LCvR 83.6(a) Click for instructions Signed by Judge Amy Berman Jackson on 6/7/2023. (lcabj1) (Entered: 06/07/2023)
06/07/2023	<u>25</u>	NOTICE of Appearance by Jorge G. Teneiro on behalf of SECURITIES AND EXCHANGE COMMISSION (Teneiro, Jorge) (Entered: 06/07/2023)
06/07/2023		Set/Reset Hearing: Telephonic Scheduling Conference Call is set for 6/7/2023 at 5:00 PM before Judge Amy Berman Jackson. Counsel have been provided with the dial up number and pass code needed to connect. (jth) (Entered: 06/07/2023)
06/07/2023		Minute Entry for proceedings held before Judge Amy Berman Jackson: Telephonic Scheduling Conference Call held on 6/7/2023. Defendants' Response to the <u>4</u> Motion for Temporary Restraining Order is due by 5:00 PM on 6/12/2023; A Hearing on the [<u>4</u> Motion is set for 6/13/2023 at 2:00 PM in Courtroom 3 In Person before Judge Amy Berman Jackson. (Court Reporter: Janice Dickman). (jth) Modified on 6/8/2023 to reflect that the response is due by 5:00 PM on 6/12/2023 (jth). (Entered: 06/07/2023)
06/07/2023	<u>26</u>	MEMORANDUM in Support re <u>4</u> MOTION for Temporary Restraining Order filed by SECURITIES AND EXCHANGE COMMISSION by SECURITIES AND EXCHANGE COMMISSION. (znmw) (Entered: 06/08/2023)
06/08/2023	<u>27</u>	NOTICE of Filing Index Exhibits by SECURITIES AND EXCHANGE COMMISSION re <u>26</u> Memorandum (Attachments: # <u>1</u> Attachment A - Memorandum Exhibit Index)(Scarlato, Matthew) (Entered: 06/08/2023)
06/08/2023	<u>28</u>	NOTICE of Appearance by David A. Nasse on behalf of SECURITIES AND EXCHANGE COMMISSION (Nasse, David) (Entered: 06/08/2023)
06/08/2023	<u>29</u>	ERRATA by SECURITIES AND EXCHANGE COMMISSION re <u>26</u> Memorandum. (Attachments: # <u>1</u> Errata Attachment A, # <u>2</u> Attachment B - Corrected Memorandum, # <u>3</u> Attachment C - Redlined Corrected Memorandum, # <u>4</u> Attachment D - Exhibit

		A-83A)(Scarlato, Matthew) (Entered: 06/08/2023)
06/09/2023		MINUTE ORDER. In light of <u>29</u> plaintiff's Errata, the Clerk of Court is directed to file on the docket [29-2] as SEC's Corrected Memorandum of Law in Support of its Emergency Motion for a Temporary Restraining Order Freezing Assets, Granting Other Relief, and Order to Show Cause Why Relief Should Not Continue. The Clerk of Court is further directed to file on the docket [29-4] as SEC's Corrected Exhibit A-83A. Signed by Judge Amy Berman Jackson on 6/9/23. (DMK) (Entered: 06/09/2023)
06/09/2023	<u>56</u>	MEMORANDUM (CORRECTED) in Support re <u>4</u> MOTION for Temporary Restraining Order filed by SECURITIES AND EXCHANGE COMMISSION by SECURITIES AND EXCHANGE COMMISSION. (Attachments: # <u>1</u> Correct Exhibit A-83A)(znmw) (Entered: 06/13/2023)
06/12/2023	<u>30</u>	NOTICE of Appearance by Andrew M. Leblanc on behalf of BAM MANAGEMENT US HOLDINGS INC., BAM TRADING SERVICES INC. (Leblanc, Andrew) (Entered: 06/12/2023)
06/12/2023	<u>31</u>	MOTION for Leave to Appear Pro Hac Vice :Attorney Name- George S. Canellos, Filing fee \$ 100, receipt number ADCDC-10131386. Fee Status: Fee Paid. by BAM MANAGEMENT US HOLDINGS INC., BAM TRADING SERVICES INC.. (Attachments: # <u>1</u> Declaration of George S. Canellos, # <u>2</u> Exhibit 2 - Certificate of Good Standing, # <u>3</u> Exhibit 3 - Proposed Order)(Leblanc, Andrew) (Entered: 06/12/2023)
06/12/2023	<u>32</u>	MOTION for Leave to Appear Pro Hac Vice :Attorney Name- Adam J. Fee, Filing fee \$ 100, receipt number ADCDC-10131489. Fee Status: Fee Paid. by BAM MANAGEMENT US HOLDINGS INC., BAM TRADING SERVICES INC.. (Attachments: # <u>1</u> Declaration of Adam J. Fee, # <u>2</u> Exhibit 2 - Certificate of Good Standing, # <u>3</u> Exhibit 3 - Proposed Order)(Leblanc, Andrew) (Entered: 06/12/2023)
06/12/2023	<u>33</u>	MOTION for Leave to Appear Pro Hac Vice :Attorney Name- Matthew J. Laroche, Filing fee \$ 100, receipt number ADCDC-10131534. Fee Status: Fee Paid. by BAM MANAGEMENT US HOLDINGS INC., BAM TRADING SERVICES INC.. (Attachments: # <u>1</u> Declaration of Matthew J. Laroche, # <u>2</u> Exhibit 2 - Certificate of Good Standing, # <u>3</u> Exhibit 3 - Proposed Order)(Leblanc, Andrew) (Entered: 06/12/2023)
06/12/2023	<u>34</u>	NOTICE of Appearance by Abid Riaz Qureshi on behalf of CHANGPENG ZHAO (Qureshi, Abid) (Entered: 06/12/2023)
06/12/2023		MINUTE ORDER granting <u>31</u> <u>32</u> <u>33</u> Motions for Leave of George S. Canellos, Adam J. Fee, and Matthew J. Laroche to Appear Pro Hac Vice only upon condition that the lawyers admitted, or at least one member of the lawyers' firm, undergo CM/ECF training, obtain a CM/ECF username and password, and agree to file papers electronically. No court papers will be mailed to any lawyer. Counsel should register for e-filing via PACER and file a notice of appearance pursuant to LCvR 83.6(a) Click for instructions . Signed by Judge Amy Berman Jackson on 6/12/23. (DMK) (Entered: 06/12/2023)
06/12/2023	<u>35</u>	NOTICE of Appearance by Matthew T. Martens on behalf of BAM MANAGEMENT US HOLDINGS INC., BAM TRADING SERVICES INC. (Martens, Matthew) (Entered: 06/12/2023)
06/12/2023	<u>36</u>	NOTICE of Appearance by John Emmett Murphy on behalf of SECURITIES AND EXCHANGE COMMISSION (Murphy, John) (Entered: 06/12/2023)
06/12/2023	<u>37</u>	MOTION for Leave to Appear Pro Hac Vice :Attorney Name- Matthew Beville, Filing fee \$ 100, receipt number ADCDC-10133310. Fee Status: Fee Paid. by BAM MANAGEMENT US HOLDINGS INC., BAM TRADING SERVICES INC.. (Attachments: # <u>1</u> Declaration of Matthew Beville, # <u>2</u> Certificate of Good Standing, # <u>3</u> Text of Proposed Order)(Martens, Matthew) (Entered: 06/12/2023)
06/12/2023	<u>38</u>	MOTION for Leave to Appear Pro Hac Vice :Attorney Name- William McLucas, Filing fee \$ 100, receipt number ADCDC-10133358. Fee Status: Fee Paid. by BAM MANAGEMENT US HOLDINGS INC., BAM TRADING SERVICES INC.. (Attachments: # <u>1</u> Declaration of William McLucas, # <u>2</u> Certificate of Good Standing,

		# <u>3</u> Text of Proposed Order)(Martens, Matthew) (Entered: 06/12/2023)
06/12/2023	<u>39</u>	MOTION for Leave to Appear Pro Hac Vice :Attorney Name– Tiffany J. Smith, Filing fee \$ 100, receipt number ADCDC–10133372. Fee Status: Fee Paid. by BAM MANAGEMENT US HOLDINGS INC., BAM TRADING SERVICES INC.. (Attachments: # <u>1</u> Declaration of Tiffany J. Smith, # <u>2</u> Certificate of Good Standing, # <u>3</u> Text of Proposed Order)(Martens, Matthew) (Entered: 06/12/2023)
06/12/2023	<u>40</u>	Memorandum in opposition to re <u>4</u> Motion for TRO filed by BAM MANAGEMENT US HOLDINGS INC., BAM TRADING SERVICES INC.. (Attachments: # <u>1</u> Text of Proposed Order)(Leblanc, Andrew) (Entered: 06/12/2023)
06/12/2023	<u>41</u>	DECLARATION of Adam J. Fee by BAM MANAGEMENT US HOLDINGS INC., BAM TRADING SERVICES INC. re <u>40</u> Memorandum in Opposition filed by BAM TRADING SERVICES INC., BAM MANAGEMENT US HOLDINGS INC.. (Attachments: # <u>1</u> Exhibit 1, # <u>2</u> Exhibit 2, # <u>3</u> Exhibit 3, # <u>4</u> Exhibit 4, # <u>5</u> Exhibit 5, # <u>6</u> Exhibit 6, # <u>7</u> Exhibit 7, # <u>8</u> Exhibit 8, # <u>9</u> Exhibit 9, # <u>10</u> Exhibit 10, # <u>11</u> Exhibit 11)(Leblanc, Andrew) (Entered: 06/12/2023)
06/12/2023	<u>42</u>	DECLARATION of Erik Kellogg by BAM MANAGEMENT US HOLDINGS INC., BAM TRADING SERVICES INC. re <u>40</u> Memorandum in Opposition filed by BAM TRADING SERVICES INC., BAM MANAGEMENT US HOLDINGS INC.. (Leblanc, Andrew) (Entered: 06/12/2023)
06/12/2023	<u>43</u>	Memorandum in opposition to re <u>4</u> Motion for TRO <i>JOINT MEMORANDUM OF LAW ON BEHALF OF DEFENDANTS BINANCE HOLDINGS LIMITED AND CHANGPENG ZHAO IN OPPOSITION TO PLAINTIFF'S EMERGENCY MOTION FOR A TEMPORARY RESTRAINING ORDER</i> filed by BINANCE HOLDINGS LIMITED. (Nelson, Daniel) (Entered: 06/12/2023)
06/12/2023	<u>44</u>	DECLARATION of Sara Sisenwein by BAM MANAGEMENT US HOLDINGS INC., BAM TRADING SERVICES INC. re <u>40</u> Memorandum in Opposition filed by BAM TRADING SERVICES INC., BAM MANAGEMENT US HOLDINGS INC.. (Leblanc, Andrew) (Entered: 06/12/2023)
06/12/2023	<u>45</u>	DECLARATION of Jeffrey H. Harris in Support of Opposition by BINANCE HOLDINGS LIMITED re <u>4</u> MOTION for Temporary Restraining Order filed by SECURITIES AND EXCHANGE COMMISSION. (Nelson, Daniel) (Entered: 06/12/2023)
06/12/2023		MINUTE ORDER granting <u>37</u> <u>38</u> <u>39</u> Motions for Leave of Matthew Beville, William McLucas, and Tiffany J. Smith to Appear Pro Hac Vice only upon condition that the lawyers admitted, or at least one member of the lawyers' firm, undergo CM/ECF training, obtain a CM/ECF username and password, and agree to file papers electronically. No court papers will be mailed to any lawyer. Counsel should register for e-filing via PACER and file a notice of appearance pursuant to LCvR 83.6(a) Click for instructions. Signed by Judge Amy Berman Jackson on 6/12/23.(DMK) (Entered: 06/12/2023)
06/12/2023	<u>46</u>	DECLARATION of J. Gregory Eastman in Support of Opposition by BINANCE HOLDINGS LIMITED re <u>4</u> MOTION for Temporary Restraining Order filed by SECURITIES AND EXCHANGE COMMISSION. (Nelson, Daniel) (Entered: 06/12/2023)
06/12/2023	<u>47</u>	MOTION for Leave to Appear Pro Hac Vice :Attorney Name– Douglas K. Yatter, Filing fee \$ 100, receipt number ADCDC–10133846. Fee Status: Fee Paid. by CHANGPENG ZHAO. (Attachments: # <u>1</u> Exhibit A – Declaration of Douglas K. Yatter, # <u>2</u> Exhibit B – Proposed Order, # <u>3</u> Exhibit C – Certificate of Good Standing)(Qureshi, Abid) (Entered: 06/12/2023)
06/12/2023	<u>48</u>	MOTION for Leave to Appear Pro Hac Vice :Attorney Name– Benjamin Naftalis, Filing fee \$ 100, receipt number ADCDC–10133853. Fee Status: Fee Paid. by CHANGPENG ZHAO. (Attachments: # <u>1</u> Exhibit A – Declaration of Benjamin Naftalis, # <u>2</u> Exhibit B – Proposed Order, # <u>3</u> Exhibit C – Certificate of Good Standing)(Qureshi, Abid) (Entered: 06/12/2023)
06/12/2023	<u>49</u>	NOTICE of Appearance by William R. Baker, III on behalf of CHANGPENG ZHAO (Baker, William) (Entered: 06/12/2023)

06/12/2023	<u>50</u>	MOTION for Leave to Appear Pro Hac Vice :Attorney Name– Melanie M. Blunski, Filing fee \$ 100, receipt number ADCDC–10133867. Fee Status: Fee Paid. by CHANGPENG ZHAO. (Attachments: # <u>1</u> Exhibit A – Declaration of Melanie M. Blunski, # <u>2</u> Exhibit B – Proposed Order, # <u>3</u> Exhibit C – Certificate of Good Standing)(Qureshi, Abid) (Entered: 06/12/2023)
06/12/2023	<u>51</u>	MOTION for Leave to Appear Pro Hac Vice :Attorney Name– Heather A. Waller, Filing fee \$ 100, receipt number ADCDC–10133871. Fee Status: Fee Paid. by CHANGPENG ZHAO. (Attachments: # <u>1</u> Exhibit A – Declaration of Heather A. Waller, # <u>2</u> Exhibit B – Proposed Order, # <u>3</u> Exhibit C – Certificate of Good Standing)(Qureshi, Abid) (Entered: 06/12/2023)
06/12/2023	<u>52</u>	NOTICE of Appearance by Michael E. Bern on behalf of CHANGPENG ZHAO (Bern, Michael) (Entered: 06/12/2023)
06/12/2023	<u>53</u>	DECLARATION of <i>Mary Beth Maloney in Support of Opposition</i> by BINANCE HOLDINGS LIMITED re <u>4</u> MOTION for Temporary Restraining Order filed by SECURITIES AND EXCHANGE COMMISSION. (Attachments: # <u>1</u> Exhibit 1 – 10, # <u>2</u> Exhibit 11 – 20, # <u>3</u> Exhibit 21 – 30, # <u>4</u> Exhibit 31 – 40, # <u>5</u> Exhibit 41 – 50, # <u>6</u> Exhibit 51 – 60, # <u>7</u> Exhibit 61 – 70, # <u>8</u> Exhibit 71 – 80, # <u>9</u> Exhibit 81 – 90, # <u>10</u> Exhibit 91 – 102)(Nelson, Daniel) (Entered: 06/12/2023)
06/12/2023	<u>54</u>	NOTICE of Proposed Order by BINANCE HOLDINGS LIMITED re <u>43</u> Memorandum in Opposition, (Nelson, Daniel) (Entered: 06/12/2023)
06/12/2023	<u>55</u>	NOTICE of filing of <i>Index of Exhibits</i> by BINANCE HOLDINGS LIMITED re <u>53</u> Declaration, (Nelson, Daniel) (Entered: 06/12/2023)
06/13/2023		NOTICE OF ERROR re <u>41</u> Declaration; emailed to aleblanc@milbank.com, cc'd 36 associated attorneys -- The PDF file you docketed contained errors: 1. Please note the following for future filings; do not refile document , 2. In future: Declarations should not be filed separately; Declarations and all exhibits should be attached to the entry of the main document (motion, opposition, etc.) (znmw,) (Entered: 06/13/2023)
06/13/2023		MINUTE ORDER. The defendants must inform the Court by 1:00 p.m. today of the differences, if any, between the terms of the consent decree [41–8] proposed by and acceptable to defendants BAM Trading Services Inc. and BAM Management US Holdings, Inc. and the proposed consent decree <u>54</u> submitted by and acceptable to defendants Binance Holdings Limited and Changpeng Zhao <u>54</u> . If there are any differences, identifying them may be accomplished with a chart or redline document. Also, the SEC must inform the Court by 1:00 p.m. today of exactly what changes need to be made to the BAM defendants' proposal [41–8] to allay the SEC's concerns regarding assets in the United States and render the order acceptable to the government as a means to govern the conduct of the parties pending the resolution of the case on the merits in lieu of the SEC's proposed TRO. This information would be most effectively presented with a redline document. No additional argument or explication may be submitted by any party at this time. SO ORDERED. Signed by Judge Amy Berman Jackson on 6/13/23. (DMK) (Entered: 06/13/2023)
06/13/2023	<u>57</u>	NOTICE of Proposed Order by SECURITIES AND EXCHANGE COMMISSION (Attachments: # <u>1</u> Exhibit A– Redline Comparison of Proposed Order, # <u>2</u> Exhibit B– SEC Proposed Order)(Nasse, David) (Entered: 06/13/2023)
06/13/2023	<u>58</u>	NOTICE of Filing of <i>Redlined Version of Proposed Order</i> by BAM MANAGEMENT US HOLDINGS INC., BAM TRADING SERVICES INC. (Attachments: # <u>1</u> Text of Proposed Order (Redlined Version))(Martens, Matthew) (Entered: 06/13/2023)
06/13/2023	<u>59</u>	NOTICE of Appearance by Mary Beth Maloney on behalf of BINANCE HOLDINGS LIMITED (Maloney, Mary Beth) (Entered: 06/13/2023)
06/13/2023	<u>60</u>	NOTICE of Appearance by Michael D. Celio on behalf of BINANCE HOLDINGS LIMITED (Celio, Michael) (Entered: 06/13/2023)
06/13/2023		MINUTE ORDER granting <u>47</u> <u>48</u> <u>50</u> <u>51</u> Motions for Leave of Douglas K. Yatter, Benjamin Naftalis, Melanie M. Blunski, and Heather A. Waller to Appear Pro Hac Vice only upon condition that the lawyers admitted, or at least one member of the lawyers' firm, undergo CM/ECF training, obtain a CM/ECF username and password, and agree to file papers electronically. No court papers will be mailed to any lawyer.

		Counsel should register for e-filing via PACER and file a notice of appearance pursuant to LCvR 83.6(a) Click for instructions . Signed by Judge Amy Berman Jackson on 6/13/23. (DMK) (Entered: 06/13/2023)
06/13/2023	<u>61</u>	NOTICE of Appearance by Stephanie Lauren Brooker on behalf of BINANCE HOLDINGS LIMITED (Brooker, Stephanie) (Entered: 06/13/2023)
06/13/2023		Minute Entry for Proceedings held before Judge Amy Berman Jackson: Motion Hearing held on 6/13/2023 re: Plaintiff's <u>4</u> Motion for Temporary Restraining Order. The <u>4</u> Motion for Temporary Restraining Order was Heard and Taken Under Advisement. Order to be Issued by the Court. (Court Reporter: Janice Dickman). (jth) (Entered: 06/13/2023)
06/13/2023		MINUTE ORDER. It is ORDERED that this matter is referred forthwith to Magistrate Judge Faruqui for purpose of mediating the parties' negotiation of an interim consent decree that would remain in force pending the resolution of the case on the merits. The parties should await further instructions from the Magistrate Judge's chambers on scheduling. It is FURTHER ORDERED that the BAM defendants must file their list of ordinary course expenses by June 14, 2023 at 9:00 a.m. Finally, it is FURTHER ORDERED that the parties file a status report regarding their efforts to reach an interim consent decree by June 15, 2023 at 5:00 p.m., if a proposed consent decree is not filed before then. SO ORDERED. Signed by Judge Amy Berman Jackson on 6/13/23. (DMK) (Entered: 06/13/2023)
06/13/2023		MINUTE ORDER: Whereas Judge Jackson has ordered that the parties appear before the undersigned for a mediation; it is hereby ORDERED that a Mediation Conference shall occur on June 14, 2023 at 02:45 PM ET via VTC before Magistrate Judge Zia M. Faruqui. The parties shall contact Faruqui_Chambers@dcd.uscourts.gov to receive the dial-in information. Signed by Magistrate Judge Zia M. Faruqui on 06/13/2023. (Faruqui, Zia) (Entered: 06/13/2023)
06/14/2023		RESOLVED...NOTICE of Provisional/Government Not Certified Status re <u>61</u> NOTICE of Appearance by Stephanie Lauren Brooker on behalf of BINANCE HOLDINGS LIMITED (Brooker, Stephanie). Your attorney renewal/government certification has not been received. As a result, your membership with the U.S. District & Bankruptcy Courts for the District of Columbia is not in good standing, and you are not permitted to file. Pursuant to Local Civil Rule 83.9, you must immediately correct your membership status by following the appropriate instructions on this page of our website: https://www.dcd.uscourts.gov/attorney-renewal . Please be advised that the presiding judge in this case has been notified that you are currently not in good standing to file in this court. Renewal Due by 6/21/2023. (zbaj) Modified on 6/16/2023 (zbaj). (Entered: 06/14/2023)
06/14/2023	<u>62</u>	NOTICE of Filing of Ordinary Course Expenses by BAM MANAGEMENT US HOLDINGS INC., BAM TRADING SERVICES INC. (Attachments: # <u>1</u> Exhibit 1 – List of Ordinary Course Expenses)(Leblanc, Andrew) (Entered: 06/14/2023)
06/14/2023	<u>63</u>	NOTICE of Appearance by George Canellos on behalf of BAM MANAGEMENT US HOLDINGS INC., BAM TRADING SERVICES INC. (Canellos, George) (Entered: 06/14/2023)
06/14/2023	<u>64</u>	NOTICE of Appearance by Adam Fee on behalf of BAM MANAGEMENT US HOLDINGS INC., BAM TRADING SERVICES INC. (Fee, Adam) (Entered: 06/14/2023)
06/14/2023	<u>65</u>	NOTICE of Appearance by Matthew Laroche on behalf of BAM MANAGEMENT US HOLDINGS INC., BAM TRADING SERVICES INC. (Laroche, Matthew) (Entered: 06/14/2023)
06/14/2023		MINUTE ORDER REFERRING CASE for Mediation to Magistrate Judge Zia Faruqui. SEE MINUTE ORDER dated 6/13/2023. SO ORDERED by Judge Amy Berman Jackson on 6/14/2023. (lsj) (Entered: 06/14/2023)

06/14/2023		Minute Entry for proceedings held before Magistrate Judge Zia M. Faruqui: Mediation Conference held on 6/14/2023. (lcoh) (Entered: 06/14/2023)
06/14/2023		MINUTE ORDER: The mediation adjourned at 6:00pm ET on June 14, 2023. No TRO or asset freeze has been imposed. The decision is still pending on the SEC's requests. Mediation to resume at 11:00am ET on June 15, 2023 via VTC. Signed by Magistrate Judge Zia M. Faruqui on 06/14/2023. (Faruqui, Zia) (Entered: 06/14/2023)
06/14/2023		CASE DIRECTLY REFERRED to Magistrate Judge Zia M. Faruqui for mediation. (zsl) (Entered: 06/15/2023)
06/15/2023	<u>66</u>	MOTION for Leave to Appear Pro Hac Vice :Attorney Name– M. Kendall Day, Filing fee \$ 100, receipt number ADCDC–10143004. Fee Status: Fee Paid. by BINANCE HOLDINGS LIMITED. (Attachments: # <u>1</u> Declaration of M. Kendall Day in Support, # <u>2</u> Exhibit Certificate of Good Standing, # <u>3</u> Text of Proposed Order for admission of M. Kendall Day Pro Hac Vice)(Nelson, Daniel) (Entered: 06/15/2023)
06/15/2023	<u>67</u>	MOTION for Leave to Appear Pro Hac Vice :Attorney Name– Richard W. Grime, Filing fee \$ 100, receipt number ADCDC–10143069. Fee Status: Fee Paid. by BINANCE HOLDINGS LIMITED. (Attachments: # <u>1</u> Declaration of Richard W. Grime in Support, # <u>2</u> Exhibit Certificate of Good Standing, # <u>3</u> Text of Proposed Order for admission of Richard W. Grime Pro Hac Vice)(Nelson, Daniel) (Entered: 06/15/2023)
06/15/2023	<u>68</u>	Joint STATUS REPORT by SECURITIES AND EXCHANGE COMMISSION. (Scarlato, Matthew) (Entered: 06/15/2023)
06/16/2023	<u>69</u>	TRANSCRIPT OF PROCEEDINGS before Judge Amy Berman Jackson held on June 13, 2023; Page Numbers: 1–89. Date of Issuance :June 16, 2023. Court Reporter: Janice Dickman, Telephone number: 202–354–3267, Transcripts may be ordered by submitting the Transcript Order Form For the first 90 days after this filing date, the transcript may be viewed at the courthouse at a public terminal or purchased from the court reporter referenced above. After 90 days, the transcript may be accessed via PACER. Other transcript formats, (multi–page, condensed, CD or ASCII) may be purchased from the court reporter. NOTICE RE REDACTION OF TRANSCRIPTS: The parties have twenty–one days to file with the court and the court reporter any request to redact personal identifiers from this transcript. If no such requests are filed, the transcript will be made available to the public via PACER without redaction after 90 days. The policy, which includes the five personal identifiers specifically covered, is located on our website at www.dcd.uscourts.gov . Redaction Request due 7/7/2023. Redacted Transcript Deadline set for 7/17/2023. Release of Transcript Restriction set for 9/14/2023.(Dickman, Janice) (Entered: 06/16/2023)
06/16/2023	<u>70</u>	NOTICE of Proposed Order by SECURITIES AND EXCHANGE COMMISSION (Attachments: # <u>1</u> Text of Proposed Order Stipulation and Consent Order)(Nasse, David) (Entered: 06/16/2023)
06/17/2023	<u>71</u>	CONSENT ORDER, entered pursuant to the parties' stipulation <u>70</u> , governing the conduct of the parties pending the resolution of this case on the merits. See order for details. Signed by Judge Amy Berman Jackson on 6/17/23. (DMK) (Entered: 06/17/2023)
06/17/2023		MINUTE ORDER. The parties are ORDERED file a joint status report on June 23, 2023 proposing a schedule for further proceedings or setting forth the parties' individual proposals if they cannot agree on a joint proposal. SO ORDERED. Signed by Judge Amy Berman Jackson on 6/17/23. (DMK) (Entered: 06/17/2023)
06/20/2023	<u>72</u>	WAIVER OF SERVICE. BINANCE HOLDINGS LIMITED waiver sent on 6/6/2023, answer due 9/4/2023. (Scarlato, Matthew) (Entered: 06/20/2023)
06/20/2023	<u>73</u>	WAIVER OF SERVICE. CHANGPENG ZHAO waiver sent on 6/6/2023, answer due 9/4/2023. (Scarlato, Matthew) (Main Document 73 replaced on 6/21/2023) (znmw). (Entered: 06/20/2023)

06/21/2023	<u>74</u>	MOTION for Order <i>Directing Counsel for Plaintiff to Comply with Applicable Rules of Conduct</i> by BAM MANAGEMENT US HOLDINGS INC., BAM TRADING SERVICES INC.. (Attachments: # <u>1</u> Declaration of Adam J. Fee, # <u>2</u> Exhibit 1 – Transcript, # <u>3</u> Exhibit 2 – SEC Press Release, # <u>4</u> Text of Proposed Order)(Canellos, George) (Entered: 06/21/2023)
06/21/2023	<u>75</u>	NOTICE of Appearance by Tiffany J. Smith on behalf of BAM MANAGEMENT US HOLDINGS INC., BAM TRADING SERVICES INC. (Smith, Tiffany) (Entered: 06/21/2023)
06/21/2023	<u>76</u>	NOTICE of Appearance by William R. McLucas on behalf of BAM MANAGEMENT US HOLDINGS INC., BAM TRADING SERVICES INC. (McLucas, William) (Entered: 06/21/2023)
06/21/2023	<u>77</u>	NOTICE of Appearance by Matthew Beville on behalf of BAM MANAGEMENT US HOLDINGS INC., BAM TRADING SERVICES INC. (Beville, Matthew) (Entered: 06/21/2023)
06/21/2023	<u>78</u>	WAIVER OF SERVICE. BAM MANAGEMENT US HOLDINGS INC. waiver sent on 6/6/2023, answer due 8/5/2023. (Scarlato, Matthew) (Entered: 06/21/2023)
06/21/2023	<u>79</u>	WAIVER OF SERVICE. BAM TRADING SERVICES INC. waiver sent on 6/6/2023, answer due 8/5/2023. (Scarlato, Matthew) (Entered: 06/21/2023)
06/21/2023		MINUTE ORDER granting <u>66</u> <u>67</u> Motions for Leave of M. Kendall Day and Richard W. Grime to Appear Pro Hac Vice only upon condition that the lawyers admitted, or at least one member of the lawyers' firm, undergo CM/ECF training, obtain a CM/ECF username and password, and agree to file papers electronically. No court papers will be mailed to any lawyer. Motion for Leave to Appear Pro Hac Vice Counsel should register for e-filing via PACER and file a notice of appearance pursuant to LCvR 83.6(a) Click for instructions . Signed by Judge Amy Berman Jackson on 6/21/23. (DMK) (Entered: 06/21/2023)
06/22/2023	<u>80</u>	NOTICE of Appearance by Richard W. Grime on behalf of BINANCE HOLDINGS LIMITED (Grime, Richard) (Entered: 06/22/2023)
06/22/2023	<u>81</u>	NOTICE of Appearance by M. Kendall Day on behalf of BINANCE HOLDINGS LIMITED (Day, M.) (Entered: 06/22/2023)
06/23/2023	<u>82</u>	NOTICE of Appearance by Douglas Yatter on behalf of CHANGPENG ZHAO (Yatter, Douglas) (Entered: 06/23/2023)
06/23/2023	<u>83</u>	NOTICE of Appearance by Benjamin Naftalis on behalf of CHANGPENG ZHAO (Naftalis, Benjamin) (Entered: 06/23/2023)
06/23/2023	<u>84</u>	NOTICE of Appearance by Eric Solomon Volkman on behalf of CHANGPENG ZHAO (Volkman, Eric) (Entered: 06/23/2023)
06/23/2023	<u>85</u>	NOTICE of Appearance by Heather A. Waller on behalf of CHANGPENG ZHAO (Waller, Heather) (Entered: 06/23/2023)
06/23/2023	<u>86</u>	NOTICE of Appearance by Melanie Blunski on behalf of CHANGPENG ZHAO (Blunski, Melanie) (Entered: 06/23/2023)
06/23/2023	<u>87</u>	Joint STATUS REPORT by SECURITIES AND EXCHANGE COMMISSION. (Murphy, John) (Entered: 06/23/2023)
06/26/2023		Set/Reset Hearings: Telephonic Scheduling Conference Call is set for 6/26/2023 at 11:45 AM before Judge Amy Berman Jackson. Counsel for the parties have been provided with the dial up information needed to connect the call. (jth) (Entered: 06/26/2023)
06/26/2023	<u>88</u>	ORDER. Defendants' responsive pleadings are due September 21, 2023; plaintiff's oppositions are due November 7, 2023; and any replies are due December 12, 2023. See order for details. Signed by Judge Amy Berman Jackson on 6/26/23. (DMK) (Entered: 06/26/2023)
06/26/2023		MINUTE ORDER denying <u>74</u> defendants' motion for order. While all of the lawyers in this case should adhere to their ethical obligations at all times, it is not apparent that Court intervention to reiterate that point is needed at this time, or that it is necessary or

		appropriate for the Court to get involved in wordsmithing the parties' press releases. Nor is it clear that the agency's public relations efforts to date will materially affect proceedings in this case. SO ORDERED. Signed by Judge Amy Berman Jackson on 6/26/23. (DMK) (Entered: 06/26/2023)
06/26/2023		Minute Entry for proceedings held before Judge Amy Berman Jackson: Telephone Scheduling Conference Call held on 6/26/2023. (scheduling Order to be issued by the Court). (Court Reporter: Janice Dickman). (jth) (Entered: 06/27/2023)
07/12/2023	<u>89</u>	NOTICE of Change of Address by Michael D. Celio (Celio, Michael) (Entered: 07/12/2023)
07/13/2023	<u>90</u>	MOTION to Intervene by EEON. (Attachments: # <u>1</u> Complaint, # <u>2</u> Exhibit)(zjm) (Entered: 07/14/2023)
07/27/2023	<u>91</u>	RESPONSE re <u>90</u> MOTION to Intervene filed by BINANCE HOLDINGS LIMITED, CHANGPENG ZHAO. (Attachments: # <u>1</u> Exhibit – Proposed Order)(Nelson, Daniel) Modified filers on 7/28/2023 (znmw). (Entered: 07/27/2023)
07/27/2023	<u>92</u>	RESPONSE re <u>90</u> MOTION to Intervene filed by SECURITIES AND EXCHANGE COMMISSION. (Attachments: # <u>1</u> Exhibit Proposed Order)(Murphy, John) (Entered: 07/27/2023)
07/28/2023	<u>93</u>	CERTIFICATE OF SERVICE by BINANCE HOLDINGS LIMITED re <u>91</u> Response to motion to <i>Intervene</i> . (Nelson, Daniel) (Entered: 07/28/2023)
08/03/2023		MINUTE ORDER. Pending before the Court is a motion to intervene in this SEC enforcement action filed by Eeon <u>90</u> , defendants Binance Holdings Limited and Changpeng Zhao's response <u>91</u> , and the SEC's response <u>92</u> ("SEC Opp."). Section 21(g) of the Exchange Act provides that "no action for equitable relief instituted by the Commission pursuant to the securities laws shall be consolidated or coordinated with other actions not brought by the Commission, even though such other actions may involve common questions of fact, unless such consolidation is consented to by the Commission." 15 U.S.C. § 78u(g); <i>Parklane Hosiery Co. v. Shore</i> , 439 U.S. 322, 332 n.17 (1979) (noting that 15 U.S.C. § 78u(g) prohibits the "consolidation of a private action with one brought by the SEC without its consent"); see also <i>SEC v. Qualified Pensions, Inc.</i> , 1998 WL 29496, at *2 (D.D.C. Jan. 16, 1998) ("[S]everal cases [] interpret [15 U.S.C. § 78u(g)] to bar all private cross-claims, counter-claims, and thir[d]-party claims to SEC enforcement actions."); <i>SEC v. Ripple Labs, Inc.</i> , No. 20 CIV. 10832 (AT), 2021 WL 4555352, at *2 (S.D.N.Y. Oct. 4, 2021) (holding that the statute bars claims for damages by non-SEC parties without the SEC's consent in the enforcement action to which they purport to relate). Because the SEC does not consent to Eeon's intervention, SEC Opp. at 2, the Court is bound by the statute to deny Eeon's request to join the case. In light of that ruling, it is not necessary to go further and consider whether Eeon has met the requirements of Fed. R. Civ. Proc. 24(a) or (b). Accordingly, the motion <u>90</u> is hereby DENIED. SO ORDERED. Signed by Judge Amy Berman Jackson on 8/3/23. (DMK) (Entered: 08/03/2023)
08/03/2023	<u>94</u>	MOTION for Extension of Time to <i>Serve Verified Written Accounting</i> by BAM MANAGEMENT US HOLDINGS INC., BAM TRADING SERVICES INC.. (Attachments: # <u>1</u> Text of Proposed Order)(McLucas, William) (Entered: 08/03/2023)
08/04/2023		MINUTE ORDER granting <u>94</u> defendants BAM Trading Services Inc. and BAM Management US Holdings Inc.'s Motion for Extension of Time to serve upon the SEC a verified written accounting pursuant to Section IV of the June 17, 2023 Consent Order. The accounting is due to the SEC by August 7, 2023. SO ORDERED. Signed by Judge Amy Berman Jackson on 8/4/23. (DMK) (Entered: 08/04/2023)
08/11/2023	<u>96</u>	LEAVE TO FILE DENIED– EEON – Request for Production of Documents. This document is unavailable as the Court denied its filing. Pro Se party has been notified by first class mail. "Leave to File Denied. The filer's motion to intervene was denied on August 3, 2023, and the filer is not a party to the case." Signed by Judge Amy Berman Jackson on 08/11/2023. (Attachments: # <u>1</u> Subpoena, # <u>2</u> Subpoena) (zjm) (Entered: 08/16/2023)
08/11/2023	<u>97</u>	LEAVE TO FILE DENIED– EEON– Notice & Response. This document is unavailable as the Court denied its filing. Pro Se party has been notified by first class

		mail. "Leave to File Denied. The filer's motion to intervene was denied on August 3, 2023, and the filer is not a party to the case." Signed by Judge Amy Berman Jackson on 08/11/2023. (Attachment: # <u>1</u> Response) (zjm) (Entered: 08/16/2023)
08/14/2023	<u>95</u>	MOTION for Protective Order by BAM MANAGEMENT US HOLDINGS INC., BAM TRADING SERVICES INC.. (Attachments: # <u>1</u> Declaration of Matthew J. Laroche, # <u>2</u> Exhibit 1, # <u>3</u> Exhibit 2, # <u>4</u> Exhibit 3, # <u>5</u> Exhibit 4, # <u>6</u> Exhibit 5, # <u>7</u> Exhibit 6, # <u>8</u> Exhibit 7, # <u>9</u> Exhibit 8, # <u>10</u> Exhibit 9, # <u>11</u> Text of Proposed Order)(Canellos, George) (Entered: 08/14/2023)
08/16/2023	<u>98</u>	ORDER referring <u>95</u> motion for a protective order to Magistrate Judge Faruqui for resolution pursuant to LCvR 72.2(a). See Order for details. Signed by Judge Amy Berman Jackson on 8/16/23. (DMK) (Entered: 08/16/2023)
08/16/2023		CASE DIRECTLY REFERRED to Magistrate Judge Zia M. Faruqui for <u>95</u> Motion. (zsl) (Entered: 08/23/2023)
08/21/2023	<u>100</u>	LEAVE TO FILE DENIED– EEON – Request(s) This document is unavailable as the Court denied its filing. Pro Se party has been notified by first class mail. "Leave to File Denied. The filer's motion to intervene was denied on August 3, 2023, and the filer is not a party to the case." Signed by Judge Amy Berman Jackson on 08/21/2023. (Attachments: # <u>1</u> Request for Reconsideration – Jurisdictional Challenge, # <u>2</u> Request for Reconsideration Addendum) (zjm) (Entered: 08/23/2023)
08/22/2023	<u>99</u>	MOTION for Leave to Appear Pro Hac Vice :Attorney Name– Andrew Rhys Davies, Filing fee \$ 100, receipt number ADCDC–10294413. Fee Status: Fee Paid. by BAM MANAGEMENT US HOLDINGS INC., BAM TRADING SERVICES INC.. (Attachments: # <u>1</u> Declaration of Andrew Rhys Davies, # <u>2</u> Certificate of Good Standing, # <u>3</u> Text of Proposed Order)(Martens, Matthew) (Entered: 08/22/2023)
08/23/2023	<u>101</u>	NOTICE OF WITHDRAWAL OF APPEARANCE as to BINANCE HOLDINGS LIMITED. Attorney Mary Beth Maloney terminated. (Maloney, Mary Beth) (Entered: 08/23/2023)
08/24/2023		MINUTE ORDER granting <u>99</u> Motion for Leave of Andrew Rhys Davies to Appear Pro Hac Vice only upon condition that the lawyer admitted, or at least one member of the lawyer's firm, undergo CM/ECF training, obtain a CM/ECF username and password, and agree to file papers electronically. Counsel should register for e-filing via PACER and file a notice of appearance pursuant to LCvR 83.6(a) Click for instructions . Signed by Judge Amy Berman Jackson on 8/24/23. (DMK) (Entered: 08/24/2023)
08/28/2023	<u>102</u>	SEALED MOTION FOR LEAVE TO FILE DOCUMENT UNDER SEAL filed by SECURITIES AND EXCHANGE COMMISSION (This document is SEALED and only available to authorized persons.) (Attachments: # <u>1</u> Text of Proposed Order, # <u>2</u> Exhibit A – SEC Motion, # <u>3</u> Exhibit A – Proposed Order to SEC Motion, # <u>4</u> Exhibit B – Memorandum, # <u>5</u> Declaration Of Jennifer Farer re Memorandum, # <u>6</u> Exhibit 1, # <u>7</u> Exhibit 2, # <u>8</u> Exhibit 3, # <u>9</u> Exhibit 4, # <u>10</u> Exhibit 5, # <u>11</u> Exhibit 6, # <u>12</u> Exhibit 7, # <u>13</u> Exhibit 8, # <u>14</u> Exhibit 9, # <u>15</u> Exhibit 10, # <u>16</u> Exhibit 11, # <u>17</u> Exhibit 12, # <u>18</u> Exhibit 13, # <u>19</u> Exhibit 14, # <u>20</u> Supplement 15, # <u>21</u> Exhibit 16, # <u>22</u> Exhibit 17, # <u>23</u> Exhibit 18, # <u>24</u> Exhibit 19, # <u>25</u> Exhibit 20, # <u>26</u> Exhibit 21, # <u>27</u> Exhibit 22, # <u>28</u> Exhibit 23, # <u>29</u> Exhibit 24, # <u>30</u> Exhibit 25, # <u>31</u> Exhibit 26, # <u>32</u> Exhibit 27, # <u>33</u> Exhibit 28, # <u>34</u> Exhibit 29, # <u>35</u> Exhibit 30, # <u>36</u> Exhibit 31)(Scarlato, Matthew) Modified on 10/6/2023 to unseal exhibits pursuant to Minute Order filed on 10/03/2023 (zjm). (Entered: 08/28/2023)
08/30/2023	<u>103</u>	NOTICE of Appearance by Andrew Rhys Davies on behalf of BAM MANAGEMENT US HOLDINGS INC., BAM TRADING SERVICES INC. (Davies, Andrew Rhys) (Entered: 08/30/2023)
09/05/2023	<u>104</u>	STIPULATION and Proposed Order re Motion for Protective Order and Motion to Compel Briefing Schedule by BAM MANAGEMENT US HOLDINGS INC., BAM TRADING SERVICES INC.. (Canellos, George) (Entered: 09/05/2023)
09/05/2023	<u>105</u>	ORDER amending briefing schedule regarding Defendants' Motion for Protective Order and Plaintiff's Motion to Compel pursuant to parties' joint stipulation. Signed by Magistrate Judge Zia M. Faruqui on 09/05/2023. (lcas) (Entered: 09/05/2023)

09/11/2023	<u>106</u>	Joint MOTION for Protective Order <i>Concerning Confidential Information</i> by SECURITIES AND EXCHANGE COMMISSION. (Attachments: # <u>1</u> Exhibit Proposed Protective Order)(Murphy, John) (Entered: 09/11/2023)
09/11/2023	<u>107</u>	SEALED MOTION FOR LEAVE TO FILE DOCUMENT UNDER SEAL filed by BAM MANAGEMENT US HOLDINGS INC., BAM TRADING SERVICES INC.(This document is SEALED and only available to authorized persons.) (Attachments: # <u>1</u> Text of Proposed Order, # <u>2</u> Opposition, # <u>3</u> Exhibit 1, # <u>4</u> Exhibit 2, # <u>5</u> Exhibit 3, # <u>6</u> Exhibit 4, # <u>7</u> Exhibit 5, # <u>8</u> Exhibit 6, # <u>9</u> Exhibit 7, # <u>10</u> Exhibit 8)(McLucas, William) Modified event title on 9/12/2023 (znmw). Modified on 10/6/2023 to unseal exhibits pursuant to Minute Order filed on 10/03/2023 (zjm). (Entered: 09/11/2023)
09/12/2023	<u>108</u>	Memorandum in Opposition re <u>102</u> to Motion to Compel and Reply in Further Support of BAM Defendants' Motion for a Protective Order (Redacted) filed by BAM MANAGEMENT US HOLDINGS INC., BAM TRADING SERVICES INC.. (Attachments: # <u>1</u> Declaration of Matthew Beville, # <u>2</u> Exhibit 1 (Redacted), # <u>3</u> Exhibit 2 (Redacted), # <u>4</u> Exhibit 3 (Redacted), # <u>5</u> Exhibit 4 (Redacted), # <u>6</u> Exhibit 5 (Redacted), # <u>7</u> Exhibit 6 (Redacted), # <u>8</u> Exhibit 7 (Redacted), # <u>9</u> Exhibit 8 (Redacted))(McLucas, William) Modified event title on 9/12/2023 (znmw). (Entered: 09/12/2023)
09/12/2023	109	REPLY to opposition to motion re <u>95</u> MOTION for Protective Order filed by BAM MANAGEMENT US HOLDINGS INC., BAM TRADING SERVICES INC.. (See Docket Entry <u>108</u> to view document). (znmw) (Entered: 09/12/2023)
09/13/2023		MINUTE ORDER: Hearing on Motions <u>95</u> and <u>102</u> set for 9/18/2023 at 01:00 PM in Courtroom 4 before Magistrate Judge Zia M. Faruqui. Any party that wishes to appear via videoconference may elect to do so. Signed by Magistrate Judge Zia M. Faruqui on September 13, 2023. (lcas) (Entered: 09/13/2023)
09/14/2023	<u>110</u>	MOTION to Unseal Document <u>102</u> SEALED MOTION FOR LEAVE TO FILE DOCUMENT UNDER SEAL filed by SECURITIES AND EXCHANGE COMMISSION (This document is SEALED and only available to authorized persons.) filed by SECURITIES AND EXCHANGE COMMISSION by SECURITIES AND EXCHANGE COMMISSION. (Attachments: # <u>1</u> Text of Proposed Order, # <u>2</u> Exhibit SEC Motion To Compel Exhibit 10 (Redacted), # <u>3</u> Exhibit SEC Motion to Compel Exhibit 14 (Redacted), # <u>4</u> Exhibit SEC Motion to Compel Exhibit 15 (Redacted), # <u>5</u> Exhibit SEC Motion to Compel Exhibit 16 (Redacted), # <u>6</u> Exhibit SEC Motion to Compel Exhibit 19 (Redacted), # <u>7</u> Exhibit SEC Motion to Compel Exhibit 21 (Redacted), # <u>8</u> Exhibit SEC Motion to Compel Exhibit 26 (Redacted), # <u>9</u> Exhibit SEC Memorandum in Support of Its Motion To Compel, # <u>10</u> Exhibit Declaration of Jennifer Farer in Support of SEC Motion to Compel)(Scarlato, Matthew). Added MOTION to Withdraw on 9/15/2023 (znmw). (Entered: 09/14/2023)
09/15/2023		MINUTE ORDER. Due to unforeseen circumstances, it is necessary to reschedule the hearing set for 9/18/2023 at 01:00 PM. The hearing will now take place on 9/18/2023 at 03:00 PM in Courtroom 4 before Magistrate Judge Zia M. Faruqui. Any party who wishes to appear by videoconference may still elect to do so. Signed by Magistrate Judge Zia M. Faruqui on 9/15/23. (lcas) (Entered: 09/15/2023)
09/15/2023	<u>111</u>	ORDER granting <u>102</u> Sealed Motion for Leave to File Document Under Seal; <u>110</u> Motion to Unseal. Defendants are ordered to show cause to maintain all presently sealed or redacted documents relating to the SEC's Motion to Compel [102-2] in their sealed or redacted form by 9/22/2023. See accompanying Order for more details. Signed by Magistrate Judge Zia M. Faruqui on 09/13/2023. (lcas) (Entered: 09/15/2023)
09/15/2023	<u>114</u>	MOTION to Compel by SECURITIES AND EXCHANGE COMMISSION. (Attachments: # <u>1</u> Memorandum in Support, # <u>2</u> Declaration of Jennifer Farer in Support of SEC Motion to Compel, # <u>3</u> Exhibit 1, # <u>4</u> Exhibit 2, # <u>5</u> Exhibit 3, # <u>6</u> Exhibit 4, # <u>7</u> Exhibit 5, # <u>8</u> Exhibit 6, # <u>9</u> Exhibit 7, # <u>10</u> Exhibit 8, # <u>11</u> Exhibit 9, # <u>12</u> Exhibit 10, # <u>13</u> Exhibit 11, # <u>14</u> Exhibit 12, # <u>15</u> Exhibit 13, # <u>16</u> Exhibit 14, # <u>17</u> Exhibit 15, # <u>18</u> Exhibit 16, # <u>19</u> Exhibit 19, # <u>20</u> Exhibit 21, # <u>21</u> Exhibit 22, # <u>22</u> Exhibit 26, # <u>23</u> Exhibit 27, # <u>24</u> Exhibit 28, # <u>25</u> Text of Proposed Order)(zjm) (Entered: 09/18/2023)

09/18/2023	<u>112</u>	SEALED MOTION FOR LEAVE TO FILE DOCUMENT UNDER SEAL filed by SECURITIES AND EXCHANGE COMMISSION (This document is SEALED and only available to authorized persons.) (Attachments: # <u>1</u> Text of Proposed Order, # <u>2</u> Exhibit SEC Reply in Support of Motion to Compel, # <u>3</u> Declaration of J. Emmett Murphy in Support of Motion, # <u>4</u> Exhibit A to Murphy Declaration – Zhang Deposition Transcript Excerpts, # <u>5</u> Exhibit B to Murphy Declaration – Kellogg Deposition Transcript Excerpts)(Scarlatto, Matthew) (Entered: 09/18/2023)
09/18/2023	<u>113</u>	REPLY to opposition to motion re <u>102</u> SEALED MOTION FOR LEAVE TO FILE DOCUMENT UNDER SEAL filed by SECURITIES AND EXCHANGE COMMISSION (This document is SEALED and only available to authorized persons.) filed by SECURITIES AND EXCHANGE COMMISSION. (Attachments: # <u>1</u> Declaration of J. Emmett Murphy (Redacted), # <u>2</u> Exhibit A, # <u>3</u> Exhibit B)(Murphy, John) (Entered: 09/18/2023)
09/18/2023	<u>115</u>	ORDER granting <u>112</u> Sealed Motion for Leave to File Document Under Seal. Defendants are ordered to show cause to seal or redact any documents relating to the SEC's Reply in Support of Motion to Compel [112-2] by 9/22/2023. See accompanying Order. Signed by Magistrate Judge Zia M. Faruqui on 09/18/23. (lcas) (Entered: 09/18/2023)
09/18/2023		Minute Entry for proceedings held before Magistrate Judge Zia M. Faruqui: Discovery Hearing held on 9/18/2023. Joint Status Report due by 12/10/2023 at 9:00am. Status Conference set for 12/12/2023 at 10:30 AM in Courtroom 4– In Person before Magistrate Judge Zia M. Faruqui. Court Reporter Jeff Hook. (znjb) (Entered: 09/19/2023)
09/18/2023		Set/Reset Deadlines/Hearings: Joint Status Report due by 10/10/2023 at 9:00am. Status Conference set for 10/12/2023 at 10:30 AM in Courtroom 4– In Person before Magistrate Judge Zia M. Faruqui. (znjb) (Entered: 09/19/2023)
09/21/2023	<u>116</u>	NOTICE of Appearance by Matthew Gregory on behalf of BINANCE HOLDINGS LIMITED (Gregory, Matthew) (Entered: 09/21/2023)
09/21/2023	<u>117</u>	MOTION to Dismiss by BAM MANAGEMENT US HOLDINGS INC., BAM TRADING SERVICES INC.. (Attachments: # <u>1</u> Memorandum in Support, # <u>2</u> Declaration of Matthew Beville, # <u>3</u> Exhibit 1, # <u>4</u> Exhibit 2, # <u>5</u> Exhibit 3, # <u>6</u> Exhibit 4, # <u>7</u> Exhibit 5, # <u>8</u> Text of Proposed Order)(McLucas, William) (Entered: 09/21/2023)
09/21/2023	<u>118</u>	Joint MOTION to Dismiss <i>Complaint</i> by BINANCE HOLDINGS LIMITED. (Attachments: # <u>1</u> Exhibit – Proposed Order)(Nelson, Daniel) (Entered: 09/21/2023)
09/22/2023	<u>119</u>	MOTION for Extension of Time to <i>Respond to Orders to Show Cause</i> by BAM MANAGEMENT US HOLDINGS INC., BAM TRADING SERVICES INC.. (Attachments: # <u>1</u> Text of Proposed Order)(McLucas, William) (Entered: 09/22/2023)
09/25/2023	<u>120</u>	ORDER granting <u>119</u> Motion for Extension of Time to Respond to Orders to Show Cause. Response now due 9/27/2023. Signed by Magistrate Judge Zia M. Faruqui on 09/25/2023. (lcas) (Entered: 09/25/2023)
09/26/2023	<u>121</u>	Unopposed MOTION to Continue <i>Status Conference Set for October 12, 2023</i> by BAM MANAGEMENT US HOLDINGS INC., BAM TRADING SERVICES INC.. (Attachments: # <u>1</u> Text of Proposed Order)(McLucas, William) (Entered: 09/26/2023)
09/27/2023		ORDER granting <u>121</u> Motion to Continue. The status conference is reset for 10/13/2023 at 10:30 AM in Courtroom 4 before Magistrate Judge Zia M. Faruqui. Any party may choose to appear virtually through the Zoom link that will be provided to the parties. Signed by Magistrate Judge Zia M. Faruqui on 09/27/23. (lcas) (Entered: 09/27/2023)
09/27/2023	<u>122</u>	SEALED MOTION filed by BAM MANAGEMENT US HOLDINGS INC., BAM TRADING SERVICES INC. (Attachments: # <u>1</u> Declaration of Matthew Beville, # <u>2</u> Exhibit A, # <u>3</u> Exhibit B, # <u>4</u> Exhibit C, # <u>5</u> Exhibit D, # <u>6</u> Exhibit E, # <u>7</u> Exhibit F, # <u>8</u> Text of Proposed Order)(McLucas, William) (Entered: 09/27/2023)
09/27/2023	<u>123</u>	SEALED DOCUMENT filed by BAM MANAGEMENT US HOLDINGS INC., BAM TRADING SERVICES INC. re <u>115</u> Order on Sealed Motion for Leave to File

		Document Under Seal, <u>111</u> Order on Sealed Motion for Leave to File Document Under Seal,, Order on Motion to Unseal Document,, Order on Motion to Withdraw,, Set/Reset Deadlines, (This document is SEALED and only available to authorized persons.) (Attachments: # <u>1</u> Declaration of Matthew Beville, # <u>2</u> Exhibit 1, # <u>3</u> Exhibit 2, # <u>4</u> Exhibit 3, # <u>5</u> Exhibit 4, # <u>6</u> Exhibit 5, # <u>7</u> Exhibit 6, # <u>8</u> Exhibit 7, # <u>9</u> Exhibit 8, # <u>10</u> Exhibit 9, # <u>11</u> Exhibit 10, # <u>12</u> Exhibit 11, # <u>13</u> Text of Proposed Order)(McLucas, William) (Entered: 09/27/2023)
09/28/2023	<u>124</u>	ORDER granting <u>122</u> BAM's Amended Motion to Seal. See attached Order. Based on the <u>122</u> Amended Motion, the Court finds as moot BAM's <u>107</u> Motion for Leave to File Document Under Seal. Signed by Magistrate Judge Zia M. Faruqui on 09/28/2023. (lcas) (Entered: 09/28/2023)
09/28/2023	<u>125</u>	NOTICE of Appearance by Jacob M. Roth on behalf of Circle Internet Financial LLC (Roth, Jacob) (Entered: 09/28/2023)
09/28/2023	<u>126</u>	ORDER to seal certain documents filed in support of <u>102</u> SEC's Motion to Compel and <u>112</u> SEC's Reply in Support of Motion to Compel. See attached Order for details. Signed by Magistrate Judge Zia M. Faruqui on 09/28/2023. (lcas) (Entered: 09/28/2023)
09/28/2023	<u>127</u>	NOTICE of Appearance by John Tucker Farnum on behalf of Investor Choice Advocates Network (Farnum, John) (Entered: 09/28/2023)
09/28/2023	<u>128</u>	MOTION for Leave to File <i>Amicus Curiae Brief</i> by PARADIGM OPERATIONS LP. (Attachments: # <u>1</u> Exhibit A – Proposed Amicus Brief, # <u>2</u> Exhibit B – Certificate of Disclosure – Corporate Affiliations/Financial Interests, # <u>3</u> Text of Proposed Order)(Kim, Andrew) (Entered: 09/28/2023)
09/28/2023	<u>129</u>	NOTICE of Appearance by Andrew Kim on behalf of PARADIGM OPERATIONS LP (Kim, Andrew) (Entered: 09/28/2023)
09/28/2023	<u>130</u>	MOTION for Leave to Appear Pro Hac Vice :Attorney Name– David E. Kirk, Filing fee \$ 100, receipt number ADCDC–10386129. Fee Status: Fee Paid. by Investor Choice Advocates Network. (Attachments: # <u>1</u> Declaration in Support, # <u>2</u> Certificate of Good Standing, # <u>3</u> Proposed Order)(Farnum, John) (Entered: 09/28/2023)
09/28/2023	<u>131</u>	MOTION for Leave to Appear Pro Hac Vice :Attorney Name– Rodrigo Seira, Filing fee \$ 100, receipt number ADCDC–10386141. Fee Status: Fee Paid. by PARADIGM OPERATIONS LP. (Attachments: # <u>1</u> Declaration of Rodrigo Seira, # <u>2</u> Certificate of Good Standing, # <u>3</u> Text of Proposed Order)(Kim, Andrew) (Entered: 09/28/2023)
09/28/2023	<u>132</u>	MOTION for Leave to Appear Pro Hac Vice :Attorney Name– Christopher Davis, Filing fee \$ 100, receipt number ADCDC–10386169. Fee Status: Fee Paid. by Investor Choice Advocates Network. (Attachments: # <u>1</u> Declaration in Support, # <u>2</u> Certificate of Good Standing, # <u>3</u> Proposed Order)(Farnum, John) (Entered: 09/28/2023)
09/28/2023	<u>133</u>	MOTION for Leave to File <i>Amicus Curiae Brief</i> by Circle Internet Financial LLC. (Attachments: # <u>1</u> Amicus Curiae Brief, # <u>2</u> Text of Proposed Order)(Roth, Jacob) (Entered: 09/28/2023)
09/28/2023	<u>134</u>	MOTION for Leave to Appear Pro Hac Vice :Attorney Name– Michael W. Ingram, Filing fee \$ 100, receipt number ADCDC–10386331. Fee Status: Fee Paid. by Investor Choice Advocates Network. (Attachments: # <u>1</u> Certificate of Good Standing, # <u>2</u> Declaration, # <u>3</u> Proposed Order)(Farnum, John) (Entered: 09/28/2023)
09/28/2023	<u>135</u>	MOTION for Leave to File <i>Amicus Curiae Brief</i> by Investor Choice Advocates Network. (Attachments: # <u>1</u> Amicus Curiae Brief, # <u>2</u> Text of Proposed Order)(Farnum, John) (Entered: 09/28/2023)
09/29/2023	<u>136</u>	MOTION for Leave to Appear Pro Hac Vice :Attorney Name– Jeremy Gray, Filing fee \$ 100, receipt number ADCDC–10388070. Fee Status: Fee Paid. by CIRCLE INTERNET FINANCIAL LLC. (Attachments: # <u>1</u> Declaration of Jeremy Gray, # <u>2</u> Certificate of Good Standing, # <u>3</u> Text of Proposed Order)(Roth, Jacob) (Entered: 09/29/2023)
09/29/2023	<u>137</u>	MOTION for Leave to Appear Pro Hac Vice :Attorney Name– Mark W. Rasmussen, Filing fee \$ 100, receipt number ADCDC–10388175. Fee Status: Fee Paid. by

		CIRCLE INTERNET FINANCIAL LLC. (Attachments: # <u>1</u> Declaration of Mark Rasmussen, # <u>2</u> Certificate of Good Standing, # <u>3</u> Text of Proposed Order)(Roth, Jacob) (Entered: 09/29/2023)
09/29/2023	<u>138</u>	MOTION for Leave to Appear Pro Hac Vice :Attorney Name– Heath P. Tarbert, Filing fee \$ 100, receipt number ADCDC–10388270. Fee Status: Fee Paid. by CIRCLE INTERNET FINANCIAL LLC. (Attachments: # <u>1</u> Declaration of Heath Tarbert, # <u>2</u> Certificate of Good Standing, # <u>3</u> Text of Proposed Order)(Roth, Jacob) (Entered: 09/29/2023)
09/29/2023	<u>139</u>	MOTION for Leave to Appear Pro Hac Vice :Attorney Name– Eric Tung, Filing fee \$ 100, receipt number ADCDC–10388352. Fee Status: Fee Paid. by CIRCLE INTERNET FINANCIAL LLC. (Attachments: # <u>1</u> Declaration of Eric Tung, # <u>2</u> Certificate of Good Standing, # <u>3</u> Text of Proposed Order)(Roth, Jacob) (Entered: 09/29/2023)
10/03/2023	<u>140</u>	REDACTED DOCUMENT– Exhibits of Matthew Beville Declaration to <u>124</u> Order on Sealed Motion for Leave to File Document Under Seal,, <i>Exhibit A (Redacted)</i> by BAM MANAGEMENT US HOLDINGS INC., BAM TRADING SERVICES INC.. (Attachments: # <u>1</u> Exhibit B (Redacted), # <u>2</u> Exhibit C (Redacted), # <u>3</u> Exhibit D (Redacted), # <u>4</u> Exhibit E (Redacted), # <u>5</u> Exhibit F (Redacted))(McLucas, William) (Entered: 10/03/2023)
10/03/2023	<u>141</u>	REDACTED DOCUMENT– Exhibits of Matthew Beville Declaration to <u>126</u> ORDER granting Application to Seal <i>Exhibit 1 (Redacted)</i> by BAM MANAGEMENT US HOLDINGS INC., BAM TRADING SERVICES INC.. (Attachments: # <u>1</u> Exhibit 2 (Redacted), # <u>2</u> Exhibit 3 (Redacted), # <u>3</u> Exhibit 4 (Redacted), # <u>4</u> Exhibit 5 (Redacted), # <u>5</u> Exhibit 6 (Redacted), # <u>6</u> Exhibit 7 (Redacted), # <u>7</u> Exhibit 8 (Redacted), # <u>8</u> Exhibit 9 (Redacted), # <u>9</u> Exhibit 10 (Redacted), # <u>10</u> Exhibit 11 (Redacted))(McLucas, William) (Entered: 10/03/2023)
10/03/2023		MINUTE ORDER. Pursuant to the Court's <u>124</u> and <u>126</u> Orders and Local Civil Rule 5.1, the Clerk of Court is directed to unseal the following: Exhibits 1, 2, 5, and 8 to the Beville Declaration at ECF Nos. 107–3, 107–4, 107–7, and 107–10; the Memorandum in Support of the SEC's Motion Compel at ECF No. 102–4; and the Declaration of Jenifer Farer at ECF No. 102–5. Signed by Magistrate Judge Zia M. Faruqui on October 3, 2023. (lcas) (Entered: 10/03/2023)
10/04/2023	<u>142</u>	MOTION for Leave to Appear Pro Hac Vice :Attorney Name– Daniel Kaleba, Filing fee \$ 100, receipt number ADCDC–10398715. Fee Status: Fee Paid. by CIRCLE INTERNET FINANCIAL LLC. (Attachments: # <u>1</u> Declaration of Daniel Kaleba, # <u>2</u> Certificate of Good Standing, # <u>3</u> Text of Proposed Order)(Roth, Jacob) (Entered: 10/04/2023)
10/04/2023	<u>143</u>	NOTICE of Appearance by Alexis Zhang on behalf of CIRCLE INTERNET FINANCIAL LLC (Zhang, Alexis) (Entered: 10/04/2023)
10/05/2023	<u>144</u>	NOTICE of Appearance by Elisa Solomon on behalf of SECURITIES AND EXCHANGE COMMISSION (Solomon, Elisa) (Entered: 10/05/2023)
10/11/2023		MINUTE ORDER granting <u>128</u> Motion for Leave to File. The Clerk of Court is directed to file [128–1] Brief of Amicus Curiae Paradigm Operations LP in Support of Defendants' Motion to Dismiss on the docket. SO ORDERED. Signed by Judge Amy Berman Jackson on 10/11/23. (DMK) (Entered: 10/11/2023)
10/11/2023		MINUTE ORDER granting <u>131</u> Motion for Leave of Rodrigo Seira to Appear Pro Hac Vice only upon condition that the lawyer admitted, or at least one member of the lawyer's firm, undergo CM/ECF training, obtain a CM/ECF username and password, and agree to file papers electronically. No court papers will be mailed to any lawyer. Counsel should register for e-filing via PACER and file a notice of appearance pursuant to LCvR 83.6(a) Click for instructions. Signed by Judge Amy Berman Jackson on 10/11/23. (DMK) (Entered: 10/11/2023)
10/11/2023		MINUTE ORDER granting <u>135</u> Motion for Leave to File. The Clerk of Court is directed to file on the docket [135–1] Brief for Amicus Curiae Investor Choice Advocates Network in Support of Defendants' Motion to Dismiss. SO ORDERED. Signed by Judge Amy Berman Jackson on 10/11/23. (DMK) (Entered: 10/11/2023)

10/11/2023		MINUTE ORDER granting 130 132 134 Motions for Leave of David E. Kirk, Christopher Davis, and Michael W. Ingram to Appear Pro Hac Vice only upon condition that the lawyers admitted, or at least one member of the lawyers' firms, undergo CM/ECF training, obtain a CM/ECF username and password, and agree to file papers electronically. No court papers will be mailed to any lawyer. Counsel should register for e-filing via PACER and file a notice of appearance pursuant to LCvR 83.6(a) Click for instructions . Signed by Judge Amy Berman Jackson on 10/11/23. (DMK) (Entered: 10/11/2023)
10/11/2023		MINUTE ORDER granting 133 Motion for Leave to File. The Clerk of Court is directed to file on the docket [133-1] Brief of Circle Internet Financial, LLC as Amicus Curiae in Support of Neither Party on Defendants' Motions to Dismiss. SO ORDERED. Signed by Judge Amy Berman Jackson on 10/11/23. (DMK) (Entered: 10/11/2023)
10/11/2023		MINUTE ORDER granting 136 137 138 139 142 Motions for Leave of Jeremy Gray, Mark W. Rasmussen, Heath P. Tarbert, Eric Tung, and Daniel Kaleba to Appear Pro Hac Vice only upon condition that the lawyers admitted, or at least one member of the lawyers' firms, undergo CM/ECF training, obtain a CM/ECF username and password, and agree to file papers electronically. No court papers will be mailed to any lawyer. Counsel should register for e-filing via PACER and file a notice of appearance pursuant to LCvR 83.6(a) Click for instructions . Signed by Judge Amy Berman Jackson on 10/11/23. (DMK) (Entered: 10/11/2023)
10/11/2023		MINUTE ORDER. Any future motion for leave to file an amicus brief may be accompanied by no more than two motions to appear pro hac vice and must explain why the brief would be helpful or necessary, if it sets forth a position already taken in prior amicus briefs filed in this case. Further, the Court notes that Local Civil Rule 7(o)(6) provides that an "amicus curiae may participate in oral argument only with the court's permission." SO ORDERED. Signed by Judge Amy Berman Jackson on 10/11/23. (DMK) (Entered: 10/11/2023)
10/11/2023	145	SEALED MOTION FOR LEAVE TO FILE DOCUMENT UNDER SEAL filed by SECURITIES AND EXCHANGE COMMISSION (This document is SEALED and only available to authorized persons.) (Attachments: # 1 Exhibit, # 2 Exhibit, # 3 Text of Proposed Order)(Murphy, John) (Entered: 10/11/2023)
10/11/2023	146	Joint STATUS REPORT by SECURITIES AND EXCHANGE COMMISSION. (Attachments: # 1 Exhibit REDACTED, # 2 Exhibit REDACTED)(Murphy, John) (Entered: 10/11/2023)
10/11/2023	147	AMICUS BRIEF by PARADIGM OPERATIONS LP. (znmw) (Entered: 10/13/2023)
10/11/2023	148	LCvR 26.1 CERTIFICATE OF DISCLOSURE of Corporate Affiliations and Financial Interests by PARADIGM OPERATIONS LP (znmw) (Entered: 10/13/2023)
10/11/2023	149	AMICUS BRIEF by CIRCLE INTERNET FINANCIAL LLC. (znmw) (Entered: 10/17/2023)
10/11/2023	150	AMICUS BRIEF by INVESTOR CHOICE ADVOCATES NETWORK. (znmw) (Entered: 10/17/2023)
10/13/2023		Minute Entry for proceedings held before Magistrate Judge Zia M. Faruqui: Status Conference held on 10/13/2023. Joint Status Report due by 10/30/2023. Court Reporter FTR-GOLD;FTR Time Frame: CTRM 4: [10:37:19-11:55:03]. (ztl) (Entered: 10/13/2023)
10/17/2023	151	SEALED MOTION FOR LEAVE TO FILE DOCUMENT UNDER SEAL filed by BAM MANAGEMENT US HOLDINGS INC., BAM TRADING SERVICES INC. (This document is SEALED and only available to authorized persons.) (Attachments: # 1 Declaration of Matthew Beville, # 2 Exhibit 1, # 3 Exhibit 2, # 4 Text of Proposed Order)(McLucas, William) (Entered: 10/17/2023)
10/18/2023	152	MOTION for Leave to Appear Pro Hac Vice :Attorney Name- Amy W. Feagles, Filing fee \$ 100, receipt number ADCDC-10428927. Fee Status: Fee Paid. by BINANCE HOLDINGS LIMITED. (Attachments: # 1 Declaration of Amy W. Feagles in Support, # 2 Certificates of Good Standing, # 3 Text of Proposed Order for admission of Amy W. Feagles Pro Hac Vice)(Nelson, Daniel) (Entered: 10/18/2023)

10/19/2023	<u>153</u>	NOTICE of Appearance by Eric Tung on behalf of CIRCLE INTERNET FINANCIAL LLC (Tung, Eric) (Main Document 153 replaced on 10/23/2023) (znmw). (Entered: 10/19/2023)
10/19/2023	<u>154</u>	NOTICE of Appearance by Jeremy J. Gray on behalf of CIRCLE INTERNET FINANCIAL LLC (Gray, Jeremy) (Main Document 154 replaced on 10/23/2023) (znmw). (Entered: 10/19/2023)
10/19/2023	<u>155</u>	NOTICE of Appearance by Heath Price Tarbert on behalf of CIRCLE INTERNET FINANCIAL LLC (Tarbert, Heath) (Main Document 155 replaced on 10/23/2023) (znmw). (Entered: 10/19/2023)
10/19/2023	<u>156</u>	NOTICE of Appearance by Weisiyu Jiang on behalf of CHAMBER OF DIGITAL COMMERCE (Jiang, Weisiyu) (Entered: 10/19/2023)
10/19/2023	<u>157</u>	MOTION for Leave to Appear Pro Hac Vice :Attorney Name– Steven F. Gatti, Filing fee \$ 100, receipt number ADCDC–10431861. Fee Status: Fee Paid. by CHAMBER OF DIGITAL COMMERCE. (Attachments: # <u>1</u> Declaration of Steve Gatti In Support, # <u>2</u> Exhibit A, # <u>3</u> Text of Proposed Order)(Jiang, Weisiyu) Modified event on 10/23/2023 (znmw). (Entered: 10/19/2023)
10/19/2023	<u>158</u>	MOTION for Leave to Appear Pro Hac Vice :Attorney Name– Jesse Overall, Filing fee \$ 100, receipt number ADCDC–10431970. Fee Status: Fee Paid. by CHAMBER OF DIGITAL COMMERCE. (Attachments: # <u>1</u> Declaration of Jesse Overall, # <u>2</u> Exhibit A, # <u>3</u> Text of Proposed Order)(Jiang, Weisiyu) (Entered: 10/19/2023)
10/19/2023	<u>159</u>	MOTION for Leave to File <i>Brief of Amicus Curiae in Support of Defendants' Motion to Dismiss</i> by CHAMBER OF DIGITAL COMMERCE. (Attachments: # <u>1</u> Appendix Brief of Amicus Curiae)(Jiang, Weisiyu) (Entered: 10/19/2023)
10/19/2023	<u>160</u>	NOTICE of Appearance by Daniel Kaleba on behalf of CIRCLE INTERNET FINANCIAL LLC (Kaleba, Daniel) (Entered: 10/19/2023)
10/19/2023	<u>161</u>	NOTICE of Appearance by Mark W. Rasmussen on behalf of CIRCLE INTERNET FINANCIAL LLC (Rasmussen, Mark) (Entered: 10/19/2023)
10/20/2023	<u>162</u>	ORDER granting <u>151</u> Sealed Motion for Leave to File Document Under Seal. The Court accordingly finds as moot <u>145</u> Sealed Motion for Leave to File Document Under Seal. Signed by Magistrate Judge Zia M. Faruqui on October 20, 2023. (lcas) (Entered: 10/20/2023)
10/20/2023	<u>163</u>	MOTION for Leave to File Excess Pages <i>for Omnibus Brief in Response to All Defendants' Motions to Dismiss</i> by SECURITIES AND EXCHANGE COMMISSION. (Attachments: # <u>1</u> Text of Proposed Order)(Scarlato, Matthew) (Entered: 10/20/2023)
10/24/2023		MINUTE ORDER. A hearing on the motions to dismiss in this matter is set for January 18, 2024 at 10:00 AM in Courtroom 25 in person before Judge Amy Berman Jackson. Amici should take note of Local Civil Rule 7(o)(6). Signed by Judge Amy Berman Jackson on 10/24/23. (DMK) (Entered: 10/24/2023)
10/24/2023		MINUTE ORDER denying without prejudice <u>159</u> motion for leave to file brief of amicus curiae. On October 11, 2023, the Court ordered that "[a]ny future motion for leave to file an amicus brief may be accompanied by no more than two motions to appear pro hac vice and must explain why the brief would be helpful or necessary, if it sets forth a position already taken in prior amicus briefs filed in this case" and noting that under the Local Rules "amicus curiae may participate in oral argument only with the court's permission." LCvR 7(o)(6). Movant may refile its motion to provide the explanation required by the October 11 order. Signed by Judge Amy Berman Jackson on 10/24/23. (DMK) (Entered: 10/24/2023)
10/24/2023		MINUTE ORDER granting <u>163</u> SEC Motion for Leave to File Excess Pages. The SEC may submit an omnibus response to the Motions to Dismiss that shall not exceed 80 pages. The agency is strongly encouraged, though, to avoid repetition as much as possible and to endeavor to file a brief of fewer than 80 pages if possible. Further, the agency must take note of Local Civil Rule 5.1(d), in particular, the provision governing footnotes; the Court will not grant leave to file additional excess pages if it is necessary to strike a non-compliant pleading and order that it be re-filed. SO

		ORDERED. Signed by Judge Amy Berman Jackson on 10/24/23. (DMK) (Entered: 10/24/2023)
10/26/2023	<u>164</u>	REDACTED DOCUMENT– Exhibits of Matthew Beville Declaration to <u>162</u> Order on Sealed Motion for Leave to File Document Under Seal, <i>Exhibit 1 (Redacted)</i> by BAM MANAGEMENT US HOLDINGS INC., BAM TRADING SERVICES INC.. (Attachments: # <u>1</u> Exhibit 2 (Redacted))(McLucas, William) (Entered: 10/26/2023)
10/27/2023	<u>165</u>	Amended MOTION for Leave to File <i>Amicus Curiae Brief</i> by CHAMBER OF DIGITAL COMMERCE. (Attachments: # <u>1</u> Appendix Amicus Curiae Brief, # <u>2</u> Text of Proposed Order)(Jiang, Weisiyu) (Entered: 10/27/2023)
10/30/2023		MINUTE ORDER granting <u>152</u> Motion for Leave of Amy W. Feagles to Appear Pro Hac Vice only upon condition that the lawyer admitted, or at least one member of the lawyer's firm, undergo CM/ECF training, obtain a CM/ECF username and password, and agree to file papers electronically. No court papers will be mailed to any lawyer. Counsel should register for e-filing via PACER and file a notice of appearance pursuant to LCvR 83.6(a) Click for instructions. Signed by Judge Amy Berman Jackson on 10/30/23. (DMK) (Entered: 10/30/2023)
10/31/2023	<u>166</u>	NOTICE of Appearance by David Emerson Kirk on behalf of INVESTOR CHOICE ADVOCATES NETWORK (Kirk, David) (Entered: 10/31/2023)
10/31/2023	<u>167</u>	NOTICE of Appearance by Michael Ingram on behalf of INVESTOR CHOICE ADVOCATES NETWORK (Ingram, Michael) (Entered: 10/31/2023)
10/31/2023		MINUTE ORDER granting <u>165</u> Amended Motion for Leave to File. The Clerk of Court is directed to file [<u>165</u> – <u>1</u>] Brief of Amicus Curiae Chamber of Digital Commerce in Support of Defendants' Motion to Dismiss on the docket. SO ORDERED. Signed by Judge Amy Berman Jackson on 10/31/23. (DMK) (Entered: 10/31/2023)
10/31/2023		MINUTE ORDER granting <u>157</u> <u>158</u> Motions for Leave of Steven F. Gatti and Jesse Overall to Appear Pro Hac Vice and Motions to Amend/Correct only upon condition that the lawyers admitted, or at least one member of the lawyers' firm, undergo CM/ECF training, obtain a CM/ECF username and password, and agree to file papers electronically. No court papers will be mailed to any lawyer. Counsel should register for e-filing via PACER and file a notice of appearance pursuant to LCvR 83.6(a) Click for instructions. Signed by Judge Amy Berman Jackson on 10/31/23. (DMK) (Entered: 10/31/2023)
10/31/2023	<u>168</u>	Joint STATUS REPORT by BAM MANAGEMENT US HOLDINGS INC., BAM TRADING SERVICES INC.. (Martens, Matthew) (Entered: 10/31/2023)
10/31/2023	<u>169</u>	AMICUS BRIEF by CHAMBER OF DIGITAL COMMERCE. (znmw) (Entered: 11/02/2023)
11/02/2023	<u>170</u>	NOTICE of Appearance by Amy Feagles on behalf of BINANCE HOLDINGS LIMITED (Feagles, Amy) (Entered: 11/02/2023)
11/03/2023		Status Conference set for 11/27/2023 at 09:30 AM in Courtroom 4 before Magistrate Judge Zia M. Faruqui. Signed by Magistrate Judge Zia M. Faruqui on November 3, 2023. (lcas) (Entered: 11/03/2023)
11/06/2023	<u>171</u>	NOTICE of Appearance by Steven F. Gatti on behalf of CHAMBER OF DIGITAL COMMERCE (Gatti, Steven) (Entered: 11/06/2023)
11/07/2023	<u>172</u>	Memorandum in opposition to re <u>117</u> Motion to Dismiss, <u>118</u> Motion to Dismiss filed by SECURITIES AND EXCHANGE COMMISSION. (Attachments: # <u>1</u> Text of Proposed Order)(Scarlato, Matthew) (Entered: 11/07/2023)