

Investor Advisory Committee Members Biographies

Officers



Christopher Mirabile, Chair
Executive Chair | Launchpad Venture Group
Chair Emeritus | Angel Capital Association

Christopher is a full-time angel and an active member of the Boston-area angel investing community with investments in more than 65 start-up companies. He was named one of XConomy's "Top Angel Investors in New England" for 2012. Christopher is the Chair Emeritus of the Angel Capital Association, an adjunct lecturer in the MBA program at Babson College, a regular advisor and mentor to start-ups, and a frequent panelist and speaker. [Read more](#)

Membership Term: May 12, 2020 – May 11, 2024



Brian A. Hellmer, CFA, CIPM, Assistant Secretary
Chief Investment Officer, Mendota Financial Group

Brian A. Hellmer, CFA, CIPM is the Chief Investment Officer at Mendota Financial Group, where he oversees the development and implementation of the firm's client portfolio solutions, target allocations and risk management. [Read more](#)

Membership Term: November 27, 2020 – November 26, 2024

Members

Jamila Abston Mayfield, CPA, CFE
Founder and CEO
The Jamila Mayfield Group, LLC



Jamila Abston Mayfield, CPA, CFE, is the founder and CEO of The Jamila Mayfield Group, LLC. The Jamila Mayfield Group provides consulting services on compliance, risk, and regulatory matters to financial services clients. She is a former Partner at Ernst & Young LLP in the FSO Consulting Financial Services Risk Management practice. Jamila has a BA in Accounting from Florida A&M University, an MS in Accountancy from the University of Virginia, and an MBA from Yale University.

Membership Term: November 27 , 2020 – November 26, 2024



Cambria Allen-Ratzlaff
Chief RI Ecosystems Officer | Principles for Responsible Investment (PRI)

Cambria Allen Ratzlaff is Managing Director and Head of Investor Strategies at JUST Capital, overseeing the independent nonprofit's work in the pensions, investments, and finance industries. She is responsible for developing and leading JUST's financial market strategies work, with a focus on institutional and retail asset owners, asset managers, sustainable investment, and broader stakeholder communities.

[Read More](#)

Membership Term: November 27 , 2020 – November 26, 2024



James Andrus
Vice President Sustainability Global Markets
Franklin Templeton

James leads the Global Sustainability Strategy Team at Franklin Templeton. The team focuses on stewardship, data and research, communications, innovation and impact in product development, and financial policy engagement. [Read More](#)

Membership Term: May 27, 2022 – May 26, 2026



Cien Asoera, AAMS®
Financial Advisor | Edward Jones

Cien Asoera is a financial advisor with Edward Jones, where his primary goal is to understand what is important to his clients and help develop personal strategies geared toward their unique long-term goals. Asoera became a financial advisor with Edward Jones in 2002 and is based in the Elkhart, IN community. His practice has been built over the last 18 years by focusing on the needs of his clients. [Read More](#)

Membership Term: May 12, 2020 – May 11, 2024



Ted Daniels, Founder and President
Society for Financial Education and Professional Development

Theodore “Ted” R. Daniels is a global financial educator, author, and lecturer. He is the founder/president of the Society for Financial Education & Professional Development, Inc. (SFEPPD), an award-winning nonprofit teaching financial literacy to people of all ages and backgrounds, with an emphasis on communities of color. In 1998, Daniels founded SFEPPD to teach individuals, especially first-generation college students, critical financial skills they might not otherwise receive or learn too late.

[Read more](#)

Membership Term: May 12, 2020 – May 11, 2024

Cristina Martin Firvida
Investor Advocate | U.S. Securities and Exchange Commission

Cristina Martin Firvida was appointed as the Investor Advocate and Director of the Office of the Investor Advocate at the U.S. Securities and Exchange Commission (SEC) in January of 2023. As the Investor Advocate, Ms. Martin Firvida leads an office that advocates for investors, assists retail investors in interactions with the Commission and with self-regulatory



organizations (SROs), analyzing the impact on investors of proposed rules and regulations, identifying problems that investors have with financial service providers and investment products, and proposing legislative or regulatory changes to promote the interests of investors. [Read more](#)

Membership Term: Statutory



Gina-Gail S. Fletcher
Professor of Law
Duke University School of Law

Gina-Gail S. Fletcher, a scholar of complex financial instruments and market regulation, is a Professor of Law at Duke University School of Law. Professor Fletcher is nationally recognized for her research on financial regulation and market manipulation. Her recent scholarship focuses on the interplay between public regulation and private ordering in balancing financial innovation against market stability and integrity. [Read more](#)

Membership Term: May 27, 2022 – May 26, 2026



Elissa Germaine
Assistant Professor, Clinical Legal Education, and Associate Director, Securities Arbitration
Clinic | St. John's University School of Law

Elissa Germaine is an Assistant Professor of Clinical Legal Education and the Associate Director of the Securities Arbitration Clinic at St. John's University School of Law. The Clinic represents underserved investors of modest means, who

are unable to obtain legal representation because of the small amount of their claims, in disputes with their brokers in FINRA arbitration. [Read more](#)

Membership Term: May 12, 2020 – May 11, 2024



Colleen Honigsberg
Professor of Law and Associate Dean of Curriculum
Stanford Law School

Colleen Honigsberg is a Professor of Law and Associate Dean of Curriculum at Stanford Law School, where her research is focused on the empirical study of corporate and securities law. [Read more](#)

Membership Term: May 27, 2022 – May 26, 2026



Christine Lazaro
Professor of Clinical Legal Education, St. John's University School of Law
Of Counsel, Law Offices of Brent A. Burns, LLC

Christine Lazaro is a Professor of Clinical Legal Education and Director of the Law School's [Securities Arbitration Clinic](#). She came to St. John's in 2007 as the Clinic's Supervising Attorney. She is also a faculty advisor for the Corporate and Securities Law Society. Lazaro holds a B.A. from New York University and a J.D. from Fordham Law School. [Read more](#)

Membership Term: May 27, 2022 – May 26, 2026



Nancy LeMond
Executive Vice President
Chief Advocacy and Engagement Officer Community, State and National Affairs | AARP

Nancy LeMond is a nationally recognized leader on health, retirement security and other issues important to older Americans. Her career spans nearly 40 years in the government and nonprofit sectors and as AARP's chief advocacy and engagement officer, she is responsible for driving the organization's social mission on behalf of Americans 50-plus and their families. [Read more](#)

Membership Term: Statutory



Lori Lucas
Former President and CEO | Employee Benefit Research Institute

Lori Lucas, CFA, is the President and CEO of the Employee Benefit Research Institute (EBRI). Lori is responsible for leading EBRI in its mission to provide unbiased, fact-based research and data on retirement, financial wellbeing, health care, and other benefits that provide financial security for American workers. Lori also leads the American Savings Education Council (ASEC), whose mission is educating the public about all aspects of financial security through a collation of major public- and private-sector partners. [Read more](#)

Membership Term: May 12, 2020 – May 11, 2024



Sandra J. Peters, CPA, CFA
Senior Head, Global Financial Reporting Policy | CFA Institute

Sandra Peters leads the Financial Reporting Policy Group at CFA Institute where she oversees a team responsible for tracking all financial reporting issues of interest to investors. She serves as spokesperson for CFA Institute to financial reporting standard setters and regulators including the IASB, FASB, and the U.S. Securities and Exchange Commission, and conducts outreach to other professional organizations and the media to discuss and promote financial reporting policies. [Read more](#)

Membership Term: November 27, 2020 – November 26, 2024



Andrew Park
Senior Policy Analyst
Americans for Financial Reform

Andrew Park is a Senior Policy Analyst at Americans for Financial Reform where leads the organization's work around non-bank financial institutions such as hedge funds and private equity firms. [Read more](#)

Membership Term: May 27, 2022 – May 26, 2026



Dr. David Rhoiney, D.O.
Staff Surgeon, U.S. Navy

United States Naval Academy graduate, former two-sport NCAA Division 1 athlete, applied mathematician, cryptologist, cyber security specialist, and robotic general surgeon all before the age of 35, Dr. David Rhoiney arose from poverty and homelessness as a child to create a type of success that serves as a blueprint for others to follow. Dr. David is a passionate advocate for the voiceless who uses his life experience and talents to help others navigate the complexities of life and achieve their dreams. His life's mission is to leave a lasting legacy that helps decrease the wealth gap and ease the barriers to a successful life that exists in the United States. [Read more](#)

Membership Term: May 27, 2022 – May 26, 2026



Paul Royce

Retired, Former Senior Vice President and Senior Counsel, Fund Business Management Group

In January 2022, Paul retired from Capital Research and Management Company (“Capital”), headquartered in Los Angeles, CA. Capital is one of the largest asset managers in the world with over \$3.6 trillion under management. Until his retirement, Paul served as a member of the firm’s Executive Committee and Board of Directors. [Read more](#)

Membership Term: May 27, 2022 – May 26, 2026



Brian L. Schorr
Partner and Chief Legal Officer
Trian Fund Management, LP

Brian L. Schorr is a Partner and has been Chief Legal Officer and a member of the investment team of Trian Fund Management, L.P. (“Trian Partners”) since November 2005. Schorr oversees Trian Partners’ legal matters. Trian Partners is an investment management firm based in New York City. Trian Partners manages capital for institutional investors, including pension plans, endowments/foundations, sovereign wealth funds, funds of funds and family offices. [Read more](#)

Membership Term: May 27, 2022 – May 26, 2026



Paul Sommerstad
Partner | Cerity Partners

Paul Sommerstad is a Partner in the Cerity Partner’s Retirement Plan Services Group. He has more than 15 years of experience in the retirement plan industry and specializes in helping organizations understand how plan design can improve their employees’ ability to successfully retire and how proper governance can limit personal fiduciary liability. [Read more](#)

Membership Term: November 27, 2020 – November 26, 2024

Alice Stinebaugh, JD
Retired, Social Studies Chair, Parkland School District
Retired Adjunct Instructor in Economics, University of Scranton

Alice Stinebaugh, J.D., is the Chair of the Social Studies Department at Parkland School District in Allentown, PA. She is also an adjunct instructor in Economics at the University of Scranton. Alice holds a BA in Economics from Vanderbilt



University and received her law degree from Indiana University—Purdue University at Indianapolis.

Membership Term: November 27, 2020 – November 26, 2024



Leslie Van Buskirk
Administrator | Division of Securities, State of Wisconsin Department of Financial Institutions

Leslie Van Buskirk was appointed the Administrator of the Division of Securities at the State of Wisconsin Department of Financial Institutions (DFI) in 2016. She joined the Division of Securities' Enforcement Bureau as an attorney in 2002, and was promoted to attorney supervisor in 2008. Before joining DFI, Van Buskirk was in private practice for more than twelve years at a Milwaukee civil litigation law firm. She received her undergraduate degree from the University of Illinois at Urbana-Champaign and her law degree from Marquette University.

Membership Term: Statutory



Joanne Yoo
Partner | Development Partners International

Joanne Yoo is a Partner at Development Partners International (DPI), a leading venture capital and private equity investment firm with over \$3 billion under management targeting high growth, impact-driven and innovation-led companies in emerging markets. Ms. Yoo serves on various Advisory Boards and Investment Committees, including the endowment of

the Executive Leadership Council. In 2020, Ms. Yoo was appointed by the Chairman of the U.S. Securities and Exchange Commission (SEC) to the Investor Advisory Committee. [Read more](#)

Membership Term: November 27, 2020 – November 26, 2024

Modified: Feb. 27, 2024