

Securities Regulation Daily Regulation Tracker



CFTC Proposed Rule Comment Calendar

Proposal Name	Release No.	Federal Register Citation	Description	Comments Due	Comments Submitted
			There are currently no proposed rules with pending comment deadlines.		

Last updated: 4/21/2025

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CFTC Final Rule Effective Dates

Final Rule Name	Release No.	Federal Register Citation	Description	Effective Date	Compliance Date
17 CFR Parts 1, 22, 30, and 39 Regulations to Address Margin Adequacy and to Account for the Treatment of Separate Accounts by Futures Commission Merchants	RIN 3038-AF21	90 FR 7880	The CFTC approved a final rule to implement requirements for futures commission merchants (FCMs) related to margin adequacy and the treatment of separate accounts of a customer. The rule finalizes the Commission’s proposal, published in the Federal Register in March, to codify the no-action position in CFTC staff letter 19-17 regarding separate account treatment.	March 24, 2025	FCMs that are clearing members of a derivatives clearing organization (DCO) as of March 24, 2025: July 21, 2025 All other FCMs: January 22, 2026
17 CFR Part 17 Large Trader Reporting Requirements	RIN 3038-AC22	89 Fed. Reg. 47439	The CFTC approved final rules to amend its large trading reporting regulations for futures and options. The rules replace data elements and data submission standards and provide for the publication of a Part 17 Guidebook.	August 2, 2024	Futures commission merchants (FCMs), clearing members, foreign brokers, and designated contract markets (DCMs) required to submit reports under § 17.00(a) (collectively, “reporting firms”), must comply with the amendments to the rules by June 3, 2026.