

Securities Regulation Daily

Regulation Tracker



CFTC Proposed Rule Comment Calendar

Proposal Name	Release No.	Federal Register Citation	Description	Comments Due	Comments Submitted
17 CFR PART 23 Revisions to Business Conduct and Swap Documentation Requirements for Swap Dealers and Major Swap Participants	RIN 3038-AF38		The CFTC proposed amendments to its business conduct and documentation rules for swap dealers and major swap participants. The amendments would codify existing no-action relief granted by the agency's Market Participants Division and introduce certain new exceptions.	Oct. 24, 2025	View comments

Last updated: 9/29/2025

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CFTC Final Rule Effective Dates

Final Rule Name	Release No.	Federal Register Citation	Description	Effective Date	Compliance Date
17 CFR Chapter I Form PF; Reporting Requirements for All Filers and Large Hedge Fund Advisers; Further Extension of Compliance Date	RIN 3235–AN13	90 Fed. Reg. 45131 Previous releases: 89 Fed. Reg. 17984 (Mar. 12, 2024); 90 Fed. Reg. 25140 (June 16, 2025).	The CFTC and SEC further delayed the compliance date for amendments to Form PF to October 1, 2026. Form PF is the confidential reporting form for certain SEC-registered investment advisers to private funds, including those also registered with the CFTC as a commodity pool operator (CPO) or commodity trading adviser (CTA). The amendments were approved February 8, 2024 and codified March 12, 2024 at 89 Fed. Reg. 17984 . The delay follows a previous extension from June 12, 2025 to October 1, 2025.	Mar. 12, 2025	Oct. 1, 2026