

Securities Regulation Daily

Regulation Tracker



CFTC Proposed Rule Comment Calendar

Proposal Name	Release No.	Federal Register Citation	Description	Comments Due	Comments Submitted
17 CFR Part 146 Privacy Act Regulations	RIN 3038-AF47	91 FR 24377	The CFTC proposed to adopt new Commission Regulation §146.12(h) to exempt a newly established Privacy Act system of records, Insider Risk Program Records (CFTC-59), from Privacy Act provisions permitting individuals to access and request changes to records about themselves, “in order to maintain the integrity of insider risk investigations and to keep confidential the identity of confidential sources.”	June 5, 2026	View comments
17 CFR Part 4 Form PF; Reporting Requirements for All Filers	RIN 3038-AF68	91 FR 22232	The CFTC and SEC jointly proposed to amend Form PF to reduce reporting burdens for private funds. The proposed amendments would raise the filing threshold from \$150 million in private fund assets under management to \$1 billion, and would substantially reduce reporting requirements for smaller advisers, including eliminating quarterly and current reporting. The reporting threshold for large hedge fund advisers would be raised from \$1.5 billion in hedge fund assets under management to \$10 billion. The proposal would also eliminate or streamline many Form PF requirements.	June 23, 2026	View comments

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CFTC Final Rule Effective Dates

Final Rule Name	Release No.	Federal Register Citation	Description	Effective Date	Compliance Date
17 CFR Part 2 Official Seal	Published Document: 2026-09327	91 FR 25489	The CFTC adopted a final rule amending CFTC Regulation §2.1 to change the official CFTC seal. The former seal depicted a bald eagle holding scales of justice in both talons, plus farmer's plow, wheel of commerce, and octagons symbolizing futures trading pits. The new seal depicts a bald eagle holding an olive branch in one talon and the scales of justice in the other, plus 12 stars and five stripes.	May 11, 2026	N/A
17 CFR Chapter I Form PF; Reporting Requirements for All Filers and Large Hedge Fund Advisers; Further Extension of Compliance Date	RIN 3235–AN13	90 Fed. Reg. 45131 Previous releases: 89 Fed. Reg. 17984 (Mar. 12, 2024); 90 Fed. Reg. 25140 (June 16, 2025).	The CFTC and SEC further delayed the compliance date for amendments to Form PF to October 1, 2026. The amendments were approved February 8, 2024 and address Form PF instructions and basic information, among other changes. Form PF is the confidential reporting form for certain SEC-registered investment advisers to private funds, including those also registered with the CFTC as a commodity pool operator (CPO) or commodity trading adviser (CTA). The extension of compliance date follows a previous extension from June 12, 2025 to October 1, 2025.	Mar. 12, 2025	Oct. 1, 2026