CFTC Proposed Rule Comment Calendar

Proposal Name	Release No.	Federal Register Citation	Description	Comments Due	Comments Submitted
17 CFR Part 146 Privacy Act Regulations	RIN 3038-AF26	91 Fed. Reg. 59711 (original notice published at 91 FR 24377, May 6, 2026.)	The CFTC reopened a comment period for proposed rulemaking to amend Privacy Act regulations to exempt the CFTC-59 Insider Risk Program Records System of Records from certain provisions of the Privacy Act.	Oct. 1, 2026	View comments
17 CFR Part 37 Swap Execution Facility Order Book Requirement for Permitted Transactions	RIN 3038-AF79	91 Fed. Reg. 55030	The CFTC is seeking public comments on proposed amendments to CFTC regulation § 37.3(a)(2) to remove the order book requirement allowing swap execution facilities not to offer an order book for permitted transactions.	Sept. 25, 2026	View comments
17 CFR Part 4 Commodity Pool Operators and Commodity Trading	RIN 3038-AF78	91 Fed. Reg. 54264	The CFTC is seeking comments on proposed amendments to part 4 of the CFTC's regulations addressing registration requirements for commodity pool operators (CPOs) and commodity trading	Oct. 5, 2026	View comments

Last updated: 9/28/2026
©2026 CCH Incorporated. All rights reserved.

Proposal Name	Release No.	Federal Register Citation	Description	Comments Due	Comments Submitted
Advisors: Reduction of Duplicative Regulation Through Intermediary Registration Exemptions; Expansion of the Exemption for Small Commodity Pools			advisors (CTAs). The proposed amendments would add an exemption from CPO registration and related CTA registration for certain investment advisers registered with the SEC in relation to commodity pools for which the participants are limited to certain sophisticated investors and which meet other conditions. They would also increase the capital contribution threshold in the current CPO registration exemption for small commodity pools, also known as the small pool exemption, to reflect inflation.		
17 CFR Parts 1, 38 Request for Comment on the Listing of Compute Derivatives Contracts	RIN 3038-AF77	91 Fed. Reg. 54529	The CFTC issued a request for comment to better inform its understanding and oversight of derivatives markets in compute. This request seeks comment on the size, liquidity, and other considerations with respect to compute cash markets, market oversight and manipulation concerns, customer protection, and perpetual compute futures. The request also welcomes comment on all aspects of the compute markets.	Oct. 20, 2026	View comments
17 CFR Parts 1, 37, 38, and 39 Conflicts and Affiliations	RIN 3038–AF76	91 Fed. Reg. 50926	The CFTC proposed new rules and amendments to address increased affiliations between CFTC-registered entities — including derivatives clearing organizations, designated contract markets, swap execution facilities, futures commission merchants, and other market participants — such as market makers. The proposal addresses financial oversight, disclosure requirements, conflicts of interest, sharing of resources, and sharing of non-public information, among other topics.	Oct. 5, 2026	View comments

©2026 CCH Incorporated. All rights reserved.

CFTC Final Rule Effective Dates

Final Rule Name	Release No.	Federal Register Citation	Description	Effective Date	Compliance Date
17 CFR Part 165 Whistleblower Award Determination	RIN 3038-AF74	91 Fed Reg. 58576	The CFTC adopted a new final Rule 165.9(d) to create a presumption that whistleblower awardees will receive a 30 percent statutory maximum if the amount collected would yield a maximum award of $5 million and certain conditions are met. The rule is modeled on the SEC's rule 21F-6(c) as part of ongoing harmonization efforts.	Oct. 16, 2026	N/A
Clearing Requirement Determination Under Section 2(h) of the Commodity Exchange Act for Interest Rate Swaps To Account for CAD and MXN Interest Rate Benchmark Transitions	RIN 3038–AF69	91 Fed Reg. 57063	The CFTC issued a final rule to modify its clearing requirement for Canadian dollar- and Mexican peso-denominated interest rate swaps. The rule removes the requirement to clear interest rate swaps referencing the Canadian Dollar Offered Rate and the Interbank Equilibrium Interest Rate, also known as TIIE, and replaces it with a requirement to clear CAD- and MXN-denominated interest rate swaps referencing overnight, nearly risk-free rates. The final rule will be effective 30 days after publication in the Federal Register.	Oct. 8, 2026	N/A
17 CFR Chapter I Form PF; Reporting Requirements for All Filers and Large Hedge Fund Advisers; Further Extension of Compliance Date	RIN 3235–AN13	90 Fed. Reg. 45131 Previous releases: 89 Fed. Reg. 17984 (Mar. 12, 2024);	The CFTC and SEC further delayed the compliance date for amendments to Form PF to July 1, 2027. The amendments were approved February 8, 2024 and address Form PF instructions and basic information, among other changes. Form PF is the confidential reporting form for certain SEC-registered investment advisers to private funds, including those also registered with the CFTC as a commodity pool	Mar. 12, 2025	July 1, 2027

©2026 CCH Incorporated. All rights reserved.

Final Rule Name	Release No.	Federal Register Citation	Description	Effective Date	Compliance Date
		90 Fed. Reg. 25140 (June 16, 2025).	operator (CPO) or commodity trading adviser (CTA). The extension of compliance date follows previous extensions to October 1, 2025 and October 1, 2026.		

©2026 CCH Incorporated. All rights reserved.