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Agency Rule List - 2026

Securities and Exchange Commission

Agency	Agenda Stage of Rulemaking	Title	RIN
SEC	Prerule Stage	Asset-Backed Securities Registration and Disclosure Enhancements	3235-AN52
SEC	Prerule Stage	Evaluating the Consolidated Audit Trail	3235-AN54
SEC	Proposed Rule Stage	Rule 144 Safe Harbor	3235-AM78
SEC	Proposed Rule Stage	Foreign Private Issuer Eligibility Enhancements	3235-AN35
SEC	Proposed Rule Stage	Crypto Assets	3235-AN38
SEC	Proposed Rule Stage	Enhancement of Emerging Growth Company Accommodations and Simplification of Filer Status for Reporting Companies	3235-AN40
SEC	Proposed Rule Stage	Registered Offerings Reform	3235-AN41
SEC	Proposed Rule Stage	Updating the Exempt Offering Pathways	3235-AN42
SEC	Proposed Rule Stage	Rationalization of Disclosure Practices	3235-AN43
SEC	Proposed Rule Stage	Shareholder Proposal Modernization	3235-AN47
SEC	Proposed Rule Stage	Semiannual Reporting	3235-AN58
SEC	Proposed Rule Stage	Executive Compensation Disclosure Reform	3235-AN60
SEC	Proposed Rule Stage	Financial Institution Resolution Transactions	3235-AN61
SEC	Proposed Rule Stage	Amendments to Certain Proxy Rules	3235-AN63
SEC	Proposed Rule Stage	Rescission of Climate-Related Disclosure Rules	3235-AN76
SEC	Proposed Rule Stage	Updates to "Small Entity" Definitions for Purposes of the Regulatory Flexibility Act	3235-AN39
SEC	Proposed Rule Stage	Amendments to Form N-PORT	3235-AN44
SEC	Proposed Rule Stage	Amendments to Rule 17a-7 Under the Investment Company Act	3235-AN45
SEC	Proposed Rule Stage	Amendments to the Custody Rules	3235-AN46
SEC	Proposed Rule Stage	Electronic Delivery of Information Under the Federal Securities Laws	3235-AN57
SEC	Proposed Rule Stage	Enhancing Retail Exposure to Private Markets	3235-AN59
SEC	Proposed Rule Stage	Affiliated Securities Lending Agent Arrangements	3235-AN62
SEC	Proposed Rule Stage	Form PF; Reporting Requirements for All Filers and Large Hedge Fund Advisers	3235-AN64
SEC	Proposed Rule Stage	Pay-to-Play Reform	3235-AN65
SEC	Proposed Rule Stage	Amendments to Investment Adviser Recordkeeping Rule	3235-AN66
SEC	Proposed Rule Stage	Transfer Agents	3235-AL55
SEC	Proposed Rule Stage	Publication or Submission of Quotations Without Specified Information	3235-AN36
SEC	Proposed Rule Stage	Amendments to Broker-Dealer Financial Responsibility and Recordkeeping and Reporting Rules Regarding Crypto Assets	3235-AN48
SEC	Proposed Rule Stage	Crypto Market Structure Amendments	3235-AN49
SEC	Proposed Rule Stage	Amendments to the Trade-Through Rule	3235-AN50
SEC	Proposed Rule Stage	Definition of Dealer	3235-AN51
SEC	Proposed Rule Stage	Enhanced Oversight for U.S. Government Securities Traded on Alternative Trading Systems	3235-AN53
SEC	Proposed Rule Stage	Amendments to Rule 17Ab2-1 and Form CA-1	3235-AN67
SEC	Proposed Rule Stage	Amendments to Rule 17Ad-22(e)(18) and 15c3-3	3235-AN68
SEC	Proposed Rule Stage	Regulatory Status of Finders	3235-AN69
SEC	Proposed Rule Stage	Rule 17a-4 "Business as Such" Clarification	3235-AN70
SEC	Proposed Rule Stage	Amendments to Rule 13f-2, Related Form SHO, and Regulation SHO	3235-AN72
SEC	Proposed Rule Stage	Amendments to Rule 10c-1a	3235-AN73

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