



REGULATORY ACTIONS

- Rulemaking Index
- Proposed Rules
- Final Rules
- Interim Final Rules
- Concept Releases
- Interpretive Releases
- Policy Statements
- PCAOB Rulemaking
- SRO Rulemaking and NMS Plans
- Exchange Act Exemptive Orders
- Investment Advisers Act Notices and Orders
- Investment Company Act Notices and Orders
- Other Commission Orders and Notices
- Public Petitions for Rulemaking

Comments on Amendments Regarding the Definition of "Exchange" and Alternative Trading Systems (ATs) That Trade U.S. Treasury and Agency Securities, National Market System (NMS) Stocks, and Other Securities

[Release No. 34-94062; File No. S7-02-22]

Submitted Comments

[Release No. 34-94062](#)

[How to Submit Comments](#)

[\(Click here for meetings with SEC officials\)](#)

Comments have been received from individuals and entities using the following Letter Type

- A: 170
- B: 10

Jun. 13, 2023	Darshan
Jun. 13, 2023	Jonathan, CEO, Measuring Systems LLC
Jun. 13, 2023	Anonymous
Jun. 13, 2023	Courtney Beck
Jun. 13, 2023	Spencer Janssen
Jun. 13, 2023	Nicholas Albert
Jun. 13, 2023	Peter Neal
Jun. 13, 2023	Stephen W. Hall, Legal Director, Better Markets and Securities Specialist and Scott Farnin, Legal Counsel, Better Markets
Jun. 13, 2023	William C. Thum, Managing Director and Assistant General Counsel, SIFMA AMG
Jun. 13, 2023	Circle Internet Financial, LLC
Jun. 13, 2023	Corinna Mitchell, General Counsel, Symphony Communication Services
Jun. 13, 2023	Crypto Council for Innovation
Jun. 13, 2023	Global Blockchain Business Council and GBBC Digital Finance
Jun. 13, 2023	Hedera Hashgraph, LLC
Jun. 13, 2023	Sarah A. Bessin, Deputy General Counsel, Investment Company Institute and Kevin Ercoline, Assistant General Counsel, Investment Company Institute
Jun. 13, 2023	Devin Walsh, Executive Director, Uniswap Foundation
Jun. 13, 2023	Jake Chervinsky, Chief Officer, Blockchain Association and Marisa T. Coppel, Policy Counsel, Blockchain Association
Jun. 13, 2023	Scott Pintoff, General Counsel, MarketAxess
Jun. 13, 2023	Patrick Ingle
Jun. 13, 2023	Sarah A. Bessin, Deputy General Counsel, and Kevin Ercoline, Assistant General Counsel, Investment Company Institute
Jun. 13, 2023	David Rockwell, Investor
Jun. 13, 2023	Timothy Gorter, CEO, Interdisciplinary Architecture
Jun. 13, 2023	Jim Lin, C.E.O., Aimichia Co., Ltd.
Jun. 13, 2023	Kelvin To, Founder and President, Data Boiler Technologies, LLC

Jun. 13, 2023	Christopher A. Iacovella, Chief Executive Officer, and Jessica R. Giroux, General Counsel, American Securities Association
Jun. 13, 2023	Rebecca Rettig, Chief Policy Officer, Polygon Labs
Jun. 13, 2023	James English
Jun. 13, 2023	Carey Tordsen
Jun. 13, 2023	Ryan
Jun. 13, 2023	Daniel Oase
Jun. 13, 2023	William C. Hughes, Senior Counsel & Director of Global Regulatory Matters, Consensys Software Inc.
Jun. 13, 2023	Scott Eisenberg, Chief Legal Officer, DirectBooks
Jun. 13, 2023	Tom M. Merritt, Deputy General Counsel, Virtu Financial
Jun. 13, 2023	Anne-Sophie Cissey, General Counsel, Flowdesk
Jun. 13, 2023	Shawn Hess, Senior Analyst
Jun. 13, 2023	Steven Mantilla
Jun. 12, 2023	Tas Dienes, Engineer
Jun. 12, 2023	Jim Stanec, Engineer, PhD EE
Jun. 12, 2023	Miller Whitehouse-Levine, Chief Executive Officer, and Amanda Tuminelli, Chief Legal Officer, DeFi Education Fund
Jun. 12, 2023	Alex Thorn, Head of Firmwide Research, Galaxy Digital
Jun. 12, 2023	Tavonia Evans, National Policy Network of Women of Color in Blockchain
Jun. 8, 2023	Rodrigo Seira and Justin Slaughter, Paradigm
Jun. 7, 2023	Coin Center
May 31, 2023	Bruce Tupper, President and Founder, CoinRegTech
May 31, 2023	James Corr
May 17, 2023	Erik Dyke
May 16, 2023	Amritpal Singh
May 14, 2023	Ronnie Levi
May 4, 2023	Kens Bane
May 3, 2023	Anonymous
Apr. 29, 2023	Dean Pierce, Computer Security Engineer Polygon Labs
Apr. 29, 2023	Chris Demey
Apr. 28, 2023	Eric Corriel, Digital Strategy Director, School of Visual Arts
Apr. 26, 2023	Michael Bodman
Apr. 25, 2023	Anonymous
Apr. 25, 2023	Michael Bodman, Open Source Ventures
Apr. 25, 2023	Patrick Kelly
Apr. 24, 2023	Adam Anderle
Apr. 23, 2023	Keith Vidal
Apr. 23, 2023	Michael Bodman
Apr. 22, 2023	Jason Teng
Apr. 22, 2023	Brandon H. Ferrick, Attorney
Apr. 22, 2023	Aaron N.
Apr. 21, 2023	Marco Stocker
Apr. 21, 2023	Dave Champagne
Apr. 21, 2023	Jamie Andrzejewski
Apr. 21, 2023	Daniel Silva
Apr. 21, 2023	Cody Abel, Machinist
Apr. 20, 2023	Anonymous

Apr. 20, 2023	Agust Gudmundsson
Apr. 20, 2023	Neill Dorn
Apr. 20, 2023	Zachory Johnson
Apr. 20, 2023	Ethan Mullins
Apr. 20, 2023	Ralph McHugh
Apr. 20, 2023	Adam Rock
Apr. 20, 2023	Heather R., Program Analyst
Apr. 20, 2023	Jeff Lebowski
Apr. 20, 2023	Mark Wetzel
Apr. 20, 2023	Ryan Ellis Bermel
Apr. 20, 2023	Gino
Apr. 20, 2023	Josiah Grady
Apr. 20, 2023	Alexandr Slehtici
Apr. 20, 2023	Daniel Lambden
Apr. 20, 2023	Jeff Frazier
Apr. 20, 2023	Chris Lane
Apr. 20, 2023	J.L.S.
Apr. 20, 2023	Zaf Khan
Apr. 20, 2023	Stefan Nekkars
Apr. 20, 2023	Lazaro Sacerio
Apr. 20, 2023	K. W.
Apr. 20, 2023	M. Kurz
Apr. 20, 2023	Anonymous
Apr. 20, 2023	David Bleecker
Apr. 20, 2023	Laura N.
Apr. 20, 2023	Smith Harris
Apr. 20, 2023	Francisco D., P.A.
Apr. 20, 2023	Stuart Griese
Apr. 20, 2023	Abel Gray, Software Engineer
Apr. 20, 2023	Adam T. Williams
Apr. 20, 2023	Keith, BI Engineer
Apr. 20, 2023	William Lloyd
Apr. 20, 2023	Anonymous
Apr. 20, 2023	Timothy J. Barry
Apr. 20, 2023	Julio Cesar Tello
Apr. 20, 2023	Robert Wolfe, Investor
Apr. 20, 2023	Yones
Apr. 20, 2023	Sebastian Stankiewicz
Apr. 20, 2023	David Candler
Apr. 20, 2023	Toussaint L'Ouverture
Apr. 20, 2023	Aurora McClintock, Retired Cyber Operations Professional
Apr. 20, 2023	Nate Baird
Apr. 19, 2023	Chris Eastvedt
Apr. 19, 2023	Chad Vanlandingham
Apr. 19, 2023	Chris Jackson
Apr. 19, 2023	Brett Hawn
Apr. 19, 2023	Jonathan Thomas

Apr. 19, 2023	Jon Thomas
Apr. 19, 2023	Hesham Attaya
Apr. 19, 2023	Kendrick Lin
Apr. 19, 2023	Nick S.
Apr. 19, 2023	Ben Price
Apr. 19, 2023	Brian Stuck
Apr. 19, 2023	James Ogureck
Apr. 19, 2023	Andrew Haddad
Apr. 19, 2023	Max Davis
Apr. 19, 2023	Mike Musumeci
Apr. 19, 2023	Anonymous
Apr. 19, 2023	Jordan Dormido
Apr. 19, 2023	AM
Apr. 19, 2023	Matt Redabaugh
Apr. 19, 2023	Jon Snow
Apr. 19, 2023	Anonymous
Apr. 19, 2023	William Madison
Apr. 19, 2023	Ryan Barnes
Apr. 19, 2023	Ryan Rosh
Apr. 19, 2023	Anonymous
Apr. 19, 2023	Michele Ciulla-Strange
Apr. 19, 2023	Shane Schoepke
Apr. 19, 2023	Justin Storey
Apr. 19, 2023	Freddy Lo
Apr. 19, 2023	Tee S.
Apr. 19, 2023	Itz Risky
Apr. 19, 2023	Ataw Bo
Apr. 19, 2023	Derek Azyan
Apr. 19, 2023	Dan Worms
Apr. 19, 2023	Alex Wank
Apr. 19, 2023	Richard Byfield
Apr. 19, 2023	Mike McCarthy
Apr. 19, 2023	Juan Christiansen
Apr. 19, 2023	Lance Taylor
Apr. 19, 2023	Kevin Dewar
Apr. 19, 2023	Anonymous
Apr. 19, 2023	Nick
Apr. 19, 2023	Erik Wahlstrom
Apr. 19, 2023	Alex Mazakas
Apr. 19, 2023	Sean Greenert
Apr. 19, 2023	Scott Forrest
Apr. 19, 2023	Anonymous
Apr. 19, 2023	Ben Mason, Union Bricklayer
Apr. 19, 2023	James Davis
Apr. 19, 2023	Alex Uvalles
Apr. 19, 2023	SJ
Apr. 19, 2023	Nick Dolan

Apr. 19, 2023	Bert
Apr. 19, 2023	Keeton Hayes, Data Scientist
Apr. 19, 2023	Nou Moua
Apr. 19, 2023	Anonymous
Apr. 19, 2023	Ruskin Fiegenbaum
Apr. 19, 2023	Emily Barkerson, Engineer
Apr. 19, 2023	David Bornstein
Apr. 19, 2023	Joshua Beattie
Apr. 19, 2023	Camron Hartley
Apr. 19, 2023	Sergio Caballero, Filmmaker
Apr. 19, 2023	Lane Ellens
Apr. 19, 2023	Anonymous
Apr. 19, 2023	Anonymous
Apr. 19, 2023	Daniel Mckey, Swing Trader
Apr. 19, 2023	Jeremy Douglas Leach
Apr. 19, 2023	Chance A Sanders, An American citizen
Apr. 19, 2023	Matthew, Sales
Apr. 19, 2023	Max Nevarez
Apr. 19, 2023	Ross Brown, Investor, SVP Oracle Corp.
Apr. 19, 2023	Anonymous
Apr. 19, 2023	Ryan Gillham, Co-Founder, FletchTech LLC
Apr. 19, 2023	Daniel Barbara, Educator
Apr. 19, 2023	Aaron Soule
Apr. 19, 2023	Jared Johnson
Apr. 19, 2023	Damian Thomen, Carpenter
Apr. 19, 2023	Paul
Apr. 19, 2023	Nicholas Range
Apr. 19, 2023	Anthony Steets
Apr. 19, 2023	T.S., Compliance
Apr. 19, 2023	Marja, Designer
Apr. 19, 2023	Alfred Achar
Apr. 19, 2023	Daniel W Primmer, Fraser Farm Co L.L.C
Apr. 19, 2023	Sarah Kroll, Household investor
Apr. 19, 2023	Nick, Software engineer
Apr. 19, 2023	Michael Gore
Apr. 19, 2023	Kyle Steele, Heavy Equipment Technician
Apr. 19, 2023	Anonymous
Apr. 19, 2023	Eric R. Bricking, Semi Retired
Apr. 19, 2023	Patrick Kwon
Apr. 19, 2023	Colter Schwenke
Apr. 19, 2023	Samuel Oakes, Salesperson
Apr. 19, 2023	Christopher Jones
Apr. 18, 2023	Anonymous
Apr. 18, 2023	Anonymous
Apr. 18, 2023	Susan Lloyd, Retail Investor
Apr. 18, 2023	Lewis Schofield, Retail Investor
Apr. 18, 2023	Dustin Wallace, Data Scientist

Apr. 18, 2023	Matthew Nienow, Software Engineer
Apr. 18, 2023	Anonymous
Apr. 18, 2023	John L.
Apr. 18, 2023	Kevin Phillips
Apr. 18, 2023	Anonymous
Apr. 18, 2023	Mark Williams
Apr. 18, 2023	Ulises Alvarez Blandin
Apr. 18, 2023	Anonymous
Apr. 18, 2023	Daniel Kulodzik
Apr. 18, 2023	Willie Newson
Apr. 18, 2023	Dean Cobler
Apr. 17, 2023	Gina Chamberlain
Apr. 17, 2023	T. Sadowsky
Apr. 17, 2023	Kyle Zodrow
Apr. 17, 2023	David Kraft
Apr. 17, 2023	Travis Donovan
Apr. 17, 2023	Katie Ernesto
Apr. 17, 2023	Daniel Miller
Apr. 17, 2023	Matthew Sanchez
Apr. 17, 2023	Richard Minchuk
Apr. 17, 2023	Ian Cannon
Apr. 17, 2023	Anonymous
Apr. 17, 2023	Deok Park, University of Superstonk
Apr. 17, 2023	Rob Sanders
Apr. 17, 2023	Eric Meagan, Senior Counselor
Apr. 17, 2023	David McElhoes, Instructor, Arizona State University
Apr. 17, 2023	Dana McWatters, HouseHold Investor
Apr. 17, 2023	Neo Sabin
Apr. 17, 2023	Kevin O'Brien
Apr. 17, 2023	Greg Cameron Weir
Apr. 17, 2023	Logan Latosz
Apr. 17, 2023	Austin Martin
Apr. 17, 2023	Alexander MacCartney
Apr. 17, 2023	Benjamin Mason, Union Bricklayer, Restoration Mason
Apr. 17, 2023	Jon Anderson
Apr. 17, 2023	Shane Ranney
Apr. 17, 2023	Anonymous
Apr. 17, 2023	Chantu Chea
Apr. 17, 2023	Andrew Prout
Apr. 17, 2023	Rax Nahali
Apr. 17, 2023	Sheri Hunt
Apr. 17, 2023	Jean Garcia-Gomez
Apr. 17, 2023	George
Apr. 17, 2023	Jack T. Pieper
Apr. 17, 2023	Darryl Garland, Investor, Veteran
Apr. 17, 2023	Deok Park
Apr. 17, 2023	Bill

Apr. 17, 2023	Cory Nordschow
Apr. 17, 2023	Ricky M.
Apr. 17, 2023	Brian Herrmann
Apr. 17, 2023	Ian Gilmer, Small Business Owner
Apr. 17, 2023	Phillip Stott
Apr. 17, 2023	Dr. A. Zack Wisti
Apr. 17, 2023	Timothy Daniel Esposito
Apr. 17, 2023	William
Apr. 17, 2023	Peter Glaras
Apr. 17, 2023	Anonymous
Apr. 17, 2023	Peter Dominguez
Apr. 17, 2023	Diane Cairns
Apr. 17, 2023	Dan Pierce
Apr. 17, 2023	Nimrod Fogel
Apr. 17, 2023	Ben Passlow
Apr. 17, 2023	Hive Shin
Apr. 17, 2023	Michael Moroney
Apr. 17, 2023	Jeffrey Tessier
Apr. 17, 2023	Nick Harris
Apr. 17, 2023	Brandon Leach
Apr. 17, 2023	Laura Davis
Apr. 17, 2023	Skyler Olds
Apr. 17, 2023	Jace Hykaway
Apr. 17, 2023	Sebastian Senchey
Apr. 17, 2023	Viji Than
Apr. 17, 2023	Darryll Silva
Apr. 17, 2023	Matt McMahon
Apr. 17, 2023	Michael Charles Brady
Apr. 17, 2023	Brandon Griffin, Logistics Officer of US Army
Apr. 17, 2023	Scott-Michael Kellar
Apr. 17, 2023	Robert Hall
Apr. 17, 2023	Mark Maxwell, Self-Directed Investor
Apr. 17, 2023	Tom Landsiedel
Apr. 17, 2023	Gina Palazzo
Apr. 17, 2023	Jason Primosch
Apr. 17, 2023	Nicholas Harris
Apr. 17, 2023	Daniel Rnnelin
Apr. 17, 2023	Adam Dkhili
Apr. 17, 2023	Chris Reilly, Engineering
Apr. 17, 2023	Anonymous
Apr. 17, 2023	Anonymous
Apr. 17, 2023	Gunther Fox
Apr. 17, 2023	Eric Marsh
Apr. 17, 2023	Jason K.
Apr. 17, 2023	Chris Straight
Apr. 17, 2023	Dimitri Canonne
Apr. 17, 2023	Dana McWatters

Apr. 17, 2023	Joseph
Apr. 17, 2023	Phil Smith
Apr. 16, 2023	Stephen Miller, Technical Program Manager
Apr. 16, 2023	Benjamin Asa Wyatt, Civil Engineer
Apr. 16, 2023	Parker, Senior Engineering Manager
Apr. 15, 2023	Carey Tordsen
Apr. 15, 2023	Nathaniel Simmons
Apr. 15, 2023	Derrick Lewis, Software Engineer
Apr. 15, 2023	Rijin, Product Manager, Tech Company
Apr. 15, 2023	Anonymous
Apr. 14, 2023	Ben Passlow
Apr. 14, 2023	Jake Perkins
Nov. 4, 2022	U.S. Senator Thom Tillis
Oct. 19, 2022	Lucas Schwarz
Sep. 21, 2022	Gregory Babyak and Gary Stone, Regulatory Affairs, Bloomberg L.P.
Aug. 19, 2022	Diane
Jun. 27, 2022	Michael D. Lichtman, Juris Doctor Candidate, Hofstra University Maurice A. Dean School of Law
Jun. 14, 2022	Bond Dealers of America
Jun. 14, 2022	James Tierney, Assistant Professor of Law, University of Nebraska College of Law
Jun. 13, 2022	Healthy Markets Association
Jun. 13, 2022	Blockchain Association and the DeFi Education Fund
Jun. 13, 2022	Robert Toomey, Managing Director, Associate General Counsel, SIFMA
May 27, 2022	Hedge Fund Association
Jun. 1, 2022	The Delois Albert Brassell Estate and the Robert James Brassell Estate
May 29, 2022	James West
May 27, 2022	Hedge Fund Association
May 24, 2022	FIX Trading Community
May 11, 2022	Colin Lee, Finance Manager
May 10, 2022	Greg Weir
May 9, 2022	Gary D. Horn
May 9, 2022	Andrew Robison
May 9, 2022	Anonymous
Apr. 26, 2022	Intercontinental Exchange, Inc.
Apr. 21, 2022	Adelle Nazarian, CEO, and Todd A. White, Founder, American Blockchain PAC
Apr. 21, 2022	Charles Weeden, Managing Partner, Weeden Ventures
Apr. 21, 2022	Christopher A. Iacovella, Chief Executive Officer, American Securities Association
Apr. 21, 2022	FIA Principal Traders Group
Apr. 20, 2022	Sarah A. Bessin, Associate General Counsel and Nhan Nguyen Associate General Counsel, Investment Company Institute
Apr. 20, 2022	Scot J. Halvorsen, Associate General Counsel, Cboe Global Markets, Inc.
Apr. 19, 2022	Karthik Mahalingam
Apr. 19, 2022	Marcia E. Asquith, Executive Vice President, Board and External Relations, FINRA
Apr. 19, 2022	Patrick Kim
Apr. 19, 2022	Stephen John Berger, Managing Director, Global Head of Government and Regulatory Policy, Citadel Securities
Apr. 19, 2022	Sardorbek Umarov

Apr. 19, 2022	Crypto Llama Esq
Apr. 19, 2022	Joey Smitherman
Apr. 19, 2022	Gus Coldebella and Gregory Xethalis
Apr. 18, 2022	U.S. Representatives Patrick McHenry and Bill Huizenga
Apr. 18, 2022	Dunsmoor Law, P.C.
Apr. 18, 2022	Kollan House
Apr. 18, 2022	Circle Internet Financial, LLC
Apr. 18, 2022	Doug Davison and Joshua Ashley Klayman of Linklaters LLP
Apr. 18, 2022	Bloomberg L.P.
Apr. 18, 2022	Virtu Financial, Inc.
Apr. 18, 2022	Securities Industry and Financial Markets Association
Apr. 18, 2022	The Crypto Council for Innovation
Apr. 18, 2022	Blockchain Association
Apr. 18, 2022	Jai Ramaswamy, Chief Legal Officer and Miles Jennings, General Counsel, a16z Crypto , A.H. Capital Management, L.L.C.
Apr. 18, 2022	Global Digital Asset & Cryptocurrency Association
Apr. 18, 2022	LeXpunk
Apr. 18, 2022	DeFi Education Fund
Apr. 18, 2022	Zachary Stinson
Apr. 18, 2022	Bond Dealers of America
Apr. 18, 2022	SIFMA Asset Management Group, William Thum, Managing Director, SIFMA AMG
Apr. 18, 2022	Corinna Mitchell, General Counsel, Symphony Communication Services, LLC
Apr. 18, 2022	Arthur Benjamin Baker, Attorney
Apr. 18, 2022	Gregory Schneider, AVP, Desert Financial Credit Union
Apr. 18, 2022	CME Group
Apr. 18, 2022	Elisabeth Kirby, Head of U.S. Market Structure, Tradeweb Markets, Inc.
Apr. 18, 2022	Sarah A. Bessin, Associate General Counsel, Investment Company Institute
Apr. 18, 2022	Paul Grewal, Chief Legal Officer, Coinbase
Apr. 18, 2022	Thomas Tesauro, President of Fidelity Capital Markets, Fidelity Investments
Apr. 18, 2022	Nasdaq, Inc.
Apr. 18, 2022	Murray B. Wells, esq, Attorney/Partner, Wells Associates, PLLC
Apr. 18, 2022	Better Markets
Apr. 18, 2022	Mark Kim, Chief Executive Officer, Municipal Securities Rulemaking Board
Apr. 18, 2022	Neil Chilson, Senior Research Fellow, Stand Together (filing on his own behalf)
Apr. 18, 2022	Damien G. Scott, Deputy General Counsel, CoinList
Apr. 18, 2022	Jay Knight, Chair of the Committee on Federal Regulation of Securities of the Section of Business Law of the American Bar Association
Apr. 18, 2022	David R. Burton, Senior Fellow in Economic Policy, The Heritage Foundation
Apr. 18, 2022	Michelle Bond, CEO, Association for Digital Asset Markets
Apr. 18, 2022	The Crypto Council for Innovation
Apr. 18, 2022	Jennifer W. Han, Managed Funds Association
Apr. 18, 2022	Kelvin Data Boiler Technologies, LLC
Apr. 18, 2022	Scott Pintoff, General Counsel of MarketAxess
Apr. 18, 2022	DirectBooks LLC
Apr. 18, 2022	Delphi Digital
Apr. 18, 2022	Charles V. Callan, SVP Regulatory Affairs, Broadridge Financial Solutions, Inc.
Apr. 16, 2022	Chia Network

Apr. 16, 2022	Megan Roche
Apr. 15, 2022	Fred Marion
Apr. 15, 2022	Joshua Arthur
Apr. 14, 2022	Security Traders Association
Apr. 14, 2022	Digital Asset Legal and Regulatory Alliance, Global Blockchain Convergence, Global Digital Asset and Cryptocurrency Association
Apr. 14, 2022	ConsenSys Software Inc.
Apr. 14, 2022	Peter Van Valkenburgh, Director of Research, Coin Center
Apr. 11, 2022	Mark Collins
Apr. 8, 2022	Samuel Anderson
Apr. 7, 2022	Roman Scher
Apr. 6, 2022	Justin Thomas Werner
Apr. 4, 2022	Tim Lau
Apr. 3, 2022	T. F.
Mar. 31, 2022	Allen Pulsifer
Mar. 30, 2022	Amber McCollum
Mar. 28, 2022	Jorry D.
Mar. 24, 2022	Teana Baker-Taylor, Chief Policy Officer, Chamber of Digital Commerce, et al.
Mar. 20, 2022	Brian Singh, Marketing Manager
Mar. 18, 2022	Mark Griffin, Overstock.com
Mar. 14, 2022	Jacob W Troyer, US Navy Pilot
Mar. 14, 2022	Patrick Smith
Mar. 14, 2022	Anonymous
Mar. 14, 2022	Curtis Higgins
Mar. 14, 2022	Jason Bond, Sales Manager
Mar. 11, 2022	Andrew Vollmer, Mercatus Center at George Mason University
Mar. 11, 2022	Stephen P. Wink of Latham & Watkins LLP, on behalf of Blockchain Association
Mar. 4, 2022	Clifford A. Wilkinson, Vet
Mar. 3, 2022	Veikko Eeva
Mar. 1, 2022	Robert Fett
Mar. 1, 2022	Rafael Yakobi, The Crypto Lawyers, PLLC
Feb. 25, 2022	Sasha Hodder, Hodder Law Firm, P.A.
Feb. 25, 2022	Spence Purnell, Reason Foundation
Feb. 24, 2022	Joseph M. Johnson
Feb. 24, 2022	Gabriel Morales, Retail Investor
Feb. 23, 2022	Jeff Martinez, CRPC, CEO Financial Advisor Treveri Capital LLC
Feb. 22, 2022	Nicholas Anthony, Manager, Center for Monetary and Financial Alternatives, Cato Institute
Feb. 22, 2022	Ken McGuire
Feb. 22, 2022	David McCarville, Fennemore Craig
Feb. 20, 2022	Anonymous
Feb. 20, 2022	Kelly Dennis
Feb. 19, 2022	Eric Maas
Feb. 19, 2022	BAV
Feb. 19, 2022	Steven Casey
Feb. 19, 2022	Andres Loubriel
Feb. 19, 2022	Anonymous
Feb. 19, 2022	Mark Thompson

Feb. 18, 2022	Cole Barker
Feb. 18, 2022	Brian Padrick
Feb. 18, 2022	Nick Ferrin
Feb. 17, 2022	Yehuda Jay Berg, CEO, Protoblock Inc.
Feb. 17, 2022	James Fyles
Feb. 16, 2022	William J. Vulpis, Retired Financial Services Executive
Feb. 14, 2022	Robert Stanley, Welder
Feb. 11, 2022	Brian McAllister
Feb. 11, 2022	Erik Elbieh, Information Security Expert, Freelance
Feb. 11, 2022	Kenneth E. Bentsen, Jr., President and CEO, SIFMA
Feb. 9, 2022	L. Martin Stewart, Individual Investor
Feb. 9, 2022	Philip Champon
Feb. 9, 2022	Keith Vidal, Massage Therapist
Feb. 8, 2022	Gavin Van Dijk, Risk and Information Systems Control Management
Feb. 8, 2022	Kyle Hauskins-Kaplan, Developer
Feb. 7, 2022	Brad Corlett
Feb. 7, 2022	Hank Fu
Feb. 7, 2022	Retail Investor Dan, Programmer
Feb. 7, 2022	Craig Harrison, IT Leader
Feb. 7, 2022	Nico Moore
Feb. 7, 2022	Chris Mounce, Electrical Engineer
Feb. 6, 2022	Duane Vogt
Feb. 6, 2022	Jessica Bell, Retail Investor
Feb. 5, 2022	Morgan Huttes
Feb. 5, 2022	Nathan Dalton
Feb. 5, 2022	Rob
Feb. 4, 2022	Geoffrey Costeloe, Attorney
Feb. 4, 2022	Gonbe
Feb. 4, 2022	Chris, IT
Feb. 4, 2022	Matthew Voke, CEO
Feb. 4, 2022	Mick S., Executive Operations and Procurement
Feb. 4, 2022	Ernie Martinez, Retail Trader/Student
Feb. 4, 2022	Amr Elshafie, Small Business Owner
Feb. 4, 2022	M. W.
Feb. 3, 2022	Tyler Archie
Feb. 3, 2022	Kimberly Unger, STANY The Security Traders Association of New York
Feb. 3, 2022	J. Morel
Feb. 2, 2022	Michelle Bond, Chief Executive Officer, Association for Digital Asset Markets
Feb. 2, 2022	Renata K. Szkoda, Chairwoman, Global Digital Asset & Cryptocurrency Association et al.
Feb. 2, 2022	Alan Amato
Feb. 2, 2022	Andrew West
Feb. 2, 2022	Casey Ann Hewitt, Founder, Hewitt Law PLLC
Feb. 2, 2022	Erich Dylus, Attorney
Feb. 2, 2022	Jacob Mortensen
Feb. 2, 2022	Mark Hopkins, CEO, Lord of Monster
Feb. 2, 2022	Mitchell Crasson, Public School Education

Feb. 2, 2022	Bryant Eisenbach, CEO, ApeWorX Ltd.
Jan. 31, 2022	Anonymous
Jan. 30, 2022	Shaun Neal
Jan. 30, 2022	Michael Mccubbin
Jan. 30, 2022	Justin Manganiello, Attorney
Jan. 29, 2022	Daniel Barber
Jan. 29, 2022	Scott Shepherd, Retail Investor
Jan. 28, 2022	Patti Wubbels
Jan. 28, 2022	Larry Pruss, SVP, Practice Lead, Crypto Advisory Services
Jan. 28, 2022	Tony Wang
Jan. 28, 2022	Sophie Wong
Jan. 27, 2022	Anonymous
Jan. 27, 2022	Joseph Smith, Data Analyst
Jan. 27, 2022	John
Jan. 27, 2022	Melanie Rosenthal
Jan. 27, 2022	Anonymous
Jan. 27, 2022	Adam Grant
Jan. 27, 2022	D. B.
Jan. 27, 2022	Eric J., Medical
Jan. 27, 2022	Anonymous
Jan. 27, 2022	Steven McElveen
Jan. 27, 2022	Hox
Jan. 27, 2022	James Ochner
Jan. 27, 2022	Kade J. Stone
Jan. 26, 2022	Matt Smith
Jan. 26, 2022	Micah Gray, Retail trader

Meetings with SEC Officials

([Click here](#) for submitted comments from the public)

May 26, 2023	Memorandum from the Office of the Chair regarding a May 24, 2023 meeting with BlackRock
May 8, 2023	Memorandum from the Office of Commissioner Jaime Lizárraga regarding a May 8, 2023, meeting with representatives of MarketAxess Holdings
Mar. 22, 2023	Memorandum from the Office of Public Engagement regarding a March 22, 2023 meeting with Financial Services Forum
Mar. 15, 2023	Memorandum from the Division of Trading and Markets regarding a February 16, 2023 conference call with representatives from MarketAxess
Nov. 29, 2022	Memorandum from the Office of Commissioner Mark T. Uyeda regarding a November 29, 2022 meeting with representatives of Managed Fund Association
Sep. 19, 2022	Memorandum from the Office of Commissioner Hester M. Peirce regarding a September 19, 2022 videoconference with representatives of BlackRock
Sep. 16, 2022	Memorandum from the Office of Commissioner Hester M. Peirce regarding a June 23, 2022 videoconference with representatives of SIFMA
Aug. 25, 2022	Memorandum from the Division of Economic and Risk Analysis regarding an August 23, 2022 meeting with representatives of Bloomberg
Aug. 22, 2022	Memorandum from the Division of Trading and Markets regarding an August 19, 2022 conference call with representatives from Managed Funds Association
Aug. 22, 2022	Memorandum from the Division of Trading and Markets regarding an August 19, 2022 conference call with representatives from JPMorgan Chase & Co.
Aug. 18, 2022	Memorandum from the Division of Trading and Markets regarding an August 17, 2022 conference call with representatives of Fidelity Capital Markets and Fidelity Investments

Aug. 2, 2022	Memorandum from the Division of Trading and Markets regarding a July 27, 2022 call with representatives of Katten Muchin Rosenman UK LLP
Jul. 26, 2022	Memorandum from the Division of Economic and Risk Analysis regarding a July 21, 2022 meeting with representatives of Virtu
Jul. 21, 2022	Memorandum from the Division of Economic and Risk Analysis regarding a July 13, 2022 meeting with representatives of Virtu
Jul. 21, 2022	Memorandum from the Division of Economic and Risk Analysis regarding a July 12, 2022 meeting with representatives of MarketAxess
Jul. 21, 2022	Memorandum from the Division of Economic and Risk Analysis regarding a July 13, 2022 meeting with representatives of Bloomberg
Jul. 21, 2022	Memorandum from the Division of Economic and Risk Analysis regarding a July 15, 2022 meeting with representatives of Fidelity
Jul. 15, 2022	Memorandum from the Division of Trading and Markets regarding a July 15, 2022 conference call with representatives of Tradeweb
Jun. 22, 2022	Memorandum from the Office of Commissioner Hester M. Peirce regarding a May 25, 2022 meeting with representatives of Data Boiler Technologies Inc.
Jun. 13, 2022	Memorandum from the Office of Commissioner Lee regarding a June 13, 2022 meeting with representatives from the Securities Industry and Financial Markets Association
Jun. 9, 2022	Memorandum from the Division of Trading and Markets regarding a June 9, 2022 telephone conversation with representatives from the Securities Industry and Financial Markets Association.
Jun. 7, 2022	Memorandum from the Office of the Chair regarding a June 7, 2022 meeting with MarketAxess and Brownstein Farber Hyatt Schreck
Jun. 2, 2022	Memorandum from the Division of Trading and Markets regarding a May 31, 2022 call with representatives of BlackRock
May 13, 2022	Memorandum from the Office of the Chair regarding an April 21, 2022 meeting with representatives of PIMCO.
May 12, 2022	Memorandum from the Office of Commissioner Caroline Crenshaw regarding a February 3, 2022 meeting with representatives of the Global Legal Entity Identifier Foundation (GLEIF)
Apr 21, 2022	Memorandum from the Office of the Chair regarding an April 21, 2022 meeting with representatives of Blackrock.
Apr. 8, 2022	Memorandum from the Division of Trading and Markets regarding an April 7, 2022 conference call with representatives from BlackRock
Mar. 30, 2022	Memorandum from the Division of Trading and Markets regarding a March 30, 2022 Webex Meeting with HMA Representatives
Mar. 30, 2022	Memorandum from the Office of Market Supervision, Division of Trading and Markets regarding a March 30, 2022 conference call with staff of the Dutch Authority for the Financial Markets
Mar. 22, 2022	Memorandum from the Division of Trading and Markets regarding a February 28, 2022 conference call with staff of the Bank of England
Mar. 22, 2022	Memorandum from the Division of Trading and Markets regarding a February 7, 2022 conference call with staff of the Financial Conduct Authority
Mar. 21, 2022	Memorandum from the Division of Trading and Markets regarding a March 16, 2022 conference call with staff of the European Securities and Markets Authority
Mar. 10, 2022	Memorandum from the Division of Trading and Markets regarding a March 9, 2022 conference call with Bill Vulpis
Mar. 8, 2022	Memorandum from the Division of Trading and Markets regarding a March 8, 2022 conference call with a representative from Vedder Price P.C.
Feb. 10, 2022	Memorandum from the Division of Trading and Markets regarding a February 9, 2022 conference call with representatives from the Security Traders Association of New York, Inc.
Feb. 3, 2022	Memorandum from the Division of Trading and Markets regarding a February 2, 2022 conference call with representatives from the ICE Bonds
Feb. 3, 2022	Memorandum from the Division of Trading and Markets regarding a February 3, 2022 conference call with representatives of Bloomberg Intelligence

