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Speeches

10:00 a.m. – 10:25 a.m. Welcome Remarks

Testimony

10:25 a.m. – 10:30 a.m. Departure Remarks from J.W. Verret and Paul Mahoney

Spotlight Topics

10:30 a.m. - 10:35 a.m. Approval of Previous Meeting Minutes

Media Gallery

10:35 a.m. - 12:00 p.m. Panel Discussion Regarding Ethical AI and RoboAdvisor Fiduciary Responsibilities

Upcoming Events

This panel will focus on the ethical issues and fiduciary responsibilities related to the use of artificial intelligence in the development and application of roboadvising techniques. It will attempt to provide an overview of the current state of roboadvising, focus on algorithms, analyze the tradeoffs between AI-powered advice vs. personal recommendations, explain the jargon, potential bias, and blindspots around roboadvice, and review what is happening in the larger related space. Additionally, the panel will explore what constitutes "advice" in this quickly-advancing age.

Webcasts

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Moderated by:

Social Media

Paul Sommerstad, Partner, Cerity Partners

Panelists:

- **Tamra Moore**, Partner at King & Spaulding
- **Melissa Nysewander, PhD**, Workplace Investing Artificial Intelligence Center of Excellence Leader, Fidelity Investments

- **Julie Varga**, VP, Investment and Product Specialist, Morningstar
- **Miriam Vogel**, President, Equal AI

12:00 p.m. - 1:30 p.m. Lunch/Non-Public Administrative Session

1:30 p.m. - 3:00 p.m. Panel Discussion Regarding Cybersecurity

This panel will focus on the growing importance of cybersecurity, and the growing role it is playing as investors try to understand a company's risk profile. Our speakers will provide an overview of the cybersecurity landscape, the impacts of attacks, and a review of current disclosure requirements and the information being provided to investors. Additionally, we will discuss what investors want to know and specific suggestions as to how current disclosure requirements could be changed to better ensure that investors are provided more relevant information without compromising the company's approach to security.

Moderated by:

Cambria Allen-Ratzlaff, Corporate Governance Director, UAW Retiree Medical Benefits Trust

and

Brian Hellmer, Chief Investment Officer, Global Public Market Strategies, State of Wisconsin Investment Board

Panelists:

- **Keith Cassidy**, Associate Director, Office of Technology Controls Program, Division of Examinations
- **Athanasia Karanou**, Director of Governance and Research, Principles for Responsible Investment (United Kingdom)
- **Joshua Mitts**, Associate Professor of Law and Milton Handler Fellow, Columbia Law School
- **Jeffrey Tricoli**, Managing Director for Technology Risk Management, Charles Schwab

3:00 p.m. - 3:15 p.m. Subcommittee Reports

3:15 p.m. Closing Remarks and Adjourn

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