Securities Regulation Daily

Regulation Tracker

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SEC Proposed Rule Comment Calendar

Proposal Name	Release No.	Federal Register Citation	Description	Comments Due	Comments Submitted
			There are currently no proposed rules with pending comment deadlines.		

SEC Final Rule Effective Dates

Final Rule Name	Release No.	Federal Register Citation	Description	Effective Date	Compliance Date
Disclosure of Order Execution Information	Release No. 34-104147		The SEC extended the compliance date for amendments to rules requiring the disclosure of order executions in national market system (NMS) stocks from December 14, 2025, to August 1, 2026.	June 14, 2024	August 1, 2026

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Final Rule Name	Release No.	Federal Register Citation	Description	Effective Date	Compliance Date
EDGAR Filer Access and Account Management	33-11313	89 Fed. Reg. 106168	Rule and form amendments to improve access to and account management on EDGAR as part of changes related to EDGAR Next. EDGAR filers will be required to authorize designated individuals responsible for managing the filers' accounts. EDGAR Next functionality will include 15 optional APIs.	Rule and form amendments: March 24, 2025. EDGAR Filer Manual changes: March 24, 2025 and December 22, 2025.	Form ID: March 24, 2025. EDGAR Filer Manual changes: upon effectiveness. All other changes: September 15, 2025.
Form N-PORT and Form N-CEN Reporting; Guidance on Open-End Fund Liquidity Risk Management Programs	IC-35308	89 Fed. Reg. 73764	The SEC adopted amendments to its reporting requirements on Forms N-PORT and N-CEN applicable to certain registered investment companies. Amendments to Form N-Port require funds to file reports on a monthly basis and within 30 days after the end of each month; each monthly report will be public 60 days after the end of the month. Amendments to Form N-CEN require open-end funds that are subject to liquidity risk management program requirements under ICA Rule 22e-4 to report certain information about service providers used to fulfill those requirements. The release also contains guidance on certain open-end fund liquidity risk management program requirements.	November 17, 2025: Amendments to Forms N-PORT and N-CEN, and amendatory instruction 2 to 17 CFR 270.30b1-9. May 18, 2026: Amendatory instruction 3 to 17 CFR 270.30b1-9	See Section II.E of the final rule

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