

Securities Regulation Daily

Regulation Tracker



SEC Proposed Rule Comment Calendar

Proposal Name	Release No.	Federal Register Citation	Description	Comments Due	Comments Submitted
Semiannual Reporting	33-11414	91 Fed. Reg. 24968	The SEC proposed rule and form amendments that would give public companies the option to file semiannual reports. The proposed amendments would permit filing semiannual reports on a new Form 10-S instead of quarterly reports on Form 10-Q to meet interim reporting obligations. Conforming changes to Regulation S-X would streamline financial statement requirements and modernize rules governing the age of financial information.	July 6, 2026	View comments S7-2026-15
Form PF; Reporting Requirements for All Filers	IA-6959	91 Fed. Reg. 22232	The SEC and CFTC jointly proposed amendments to Form PF to eliminate certain filing and reporting obligations and streamline certain requirements. The proposed amendments would eliminate filing requirements for smaller advisers by raising the Form PF filing threshold for all filers and would also raise the reporting thresholds for large hedge fund advisers. The amendments also eliminate, streamline, and simplify certain other reporting	June 23, 2026	View comments S7-2026-13

Last updated: 5/11/2026

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Proposal Name	Release No.	Federal Register Citation	Description	Comments Due	Comments Submitted
			requirements and make corrections and other revisions.		
Publication or Submission of Quotations Without Specified Information	34-105004	91 Fed Reg. 13243	The SEC proposed to amend Rule 15c2-11 to refer only to equity securities. The proposed amendments would replace the term "security" in Rule 15c2-11 with the term "equity security," as defined in Rule 3a11-1. Existing information gathering and review requirements would not be changed.	May 18, 2026	View comments S7-2026-08

SEC Final Rule Effective Dates

Final Rule Name	Release No.	Federal Register Citation	Description	Effective Date	Compliance Date
Technical Amendments to Rule 610(e) of Regulation NMS and to Delegation of Authority to Grant or Deny Exemptions from Rule 610 of Regulation NMS	34-105346	91 Fed. Reg. 24115	Technical amendments to Rule 610(e) of Regulation NMS and to the delegation of authority to grant or deny exemptions from Rule 610 correct an outdated cross-reference in rules relating to locking and crossing quotations, and an outdated cross-reference in rules delegating authority to staff to grant certain exemptions.	May 5, 2026	N/A

Last updated: 5/11/2026

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Final Rule Name	Release No.	Federal Register Citation	Description	Effective Date	Compliance Date
Disclosure of Order Execution Information	34-104147	90 Fed. Reg. 47552	The SEC extended the compliance date for amendments to rules requiring the disclosure of order executions in national market system (NMS) stocks from December 14, 2025, to August 1, 2026.	June 14, 2024	August 1, 2026
Form N-PORT and Form N-CEN Reporting; Guidance on Open-End Fund Liquidity Risk Management Programs	IC-35308	89 Fed. Reg. 73764	The SEC adopted amendments to its reporting requirements on Forms N-PORT and N-CEN. Amendments to Form N-Port require funds to file reports on a monthly basis and within 30 days after the end of each month. Amendments to Form N-CEN require open-end funds that are subject to liquidity risk management program requirements to report certain information about service providers used to fulfill those requirements. The release also contains guidance on certain open-end fund liquidity risk management program requirements.	May 18, 2026: Amendatory instruction 3 to 17 CFR 270.30b1-9	See Section II.E of the final rule