

Securities Regulation Daily

Regulation Tracker



SEC Proposed Rule Comment Calendar

Proposal Name	Release No.	Federal Register Citation	Description	Comments Due	Comments Submitted
The Trade-Through Rule and Locked and Crossed Markets Provisions of Regulation NMS	34-105655	Pending	The SEC proposed to rescind Rule 611, the trade-through rule and the provision in Rule 610(e) regarding locking and crossing quotations for NMS stocks. Related defined terms in Rule 600 are rescinded and conforming changes are made to other related provisions.	60 days after publication in the <i>Federal Register</i>	View comments S7-2026-20
Rescission of Climate-Related Disclosure Rules	33-11421	91 Fed. Reg. 33296	The SEC proposed to rescind in their entirety 2024 rule amendments requiring the disclosure of climate related information. The rules, which were stayed before going into effect, would require disclosure about climate-related matters in the registration statements and other filings. The SEC says that the rules are beyond its statutory authority and unsound as a matter of policy.	August 3, 2026	View comments S7-2026-19
Enhancement of Emerging Growth Company Accommodations and Simplification of Filer	33-11419	91 Fed. Reg. 30086	Rule and form amendments would streamline and enhance reporting for public companies by simplifying the public reporting company filer status framework into two categories: large accelerated filers and non-accelerated filers. In	July 20, 2026	View comments S7-2026-18

Last updated: 6/15/2026

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Status for Reporting Companies			addition, the Commission proposes to change disclosure requirements to align with a company's size and seasoning. In issuing the proposal, the Commission's goal is to simplify the framework and incentivize more companies to go and remain public.		
Registered Offering Reform	33-11418	91 Fed. Reg. 31022	Proposed amendments would facilitate capital formation by making shelf offerings available to more issuers, extending the reach of benefits currently reserved for WKSIs, and expanding the ability to incorporate information by reference into Form S-1. The amendments would also preempt state securities law registration and qualification requirements for registered offerings of unlisted securities	July 27, 2026	View comments S7-2026-17
Semiannual Reporting	33-11414	91 Fed. Reg. 24968	The SEC proposed rule and form amendments to give companies the option to file semiannual reports. The amendments would permit semiannual reports on a new Form 10-S instead of quarterly reports on Form 10-Q. Conforming changes to Regulation S-X would streamline financial statement requirements and modernize rules on the age of financial information.	July 6, 2026	View comments S7-2026-15

Proposal Name	Release No.	Federal Register Citation	Description	Comments Due	Comments Submitted
Form PF; Reporting Requirements for All Filers	IA-6959	91 Fed. Reg. 22232	The SEC and CFTC jointly proposed amendments to Form PF to eliminate certain filing and reporting obligations and streamline certain requirements. The proposed amendments would eliminate filing requirements for smaller advisers by raising the Form PF filing threshold and raise the reporting thresholds for large hedge fund advisers. The amendments also eliminate, streamline, and simplify certain other reporting requirements and make corrections and other revisions.	June 23, 2026	View comments S7-2026-13

SEC Final Rule Effective Dates

Final Rule Name	Release No.	Federal Register Citation	Description	Effective Date	Compliance Date
Holding Foreign Insiders Accountable Act Disclosure; Correction	34-104903A	91 Fed. Reg. 32335	Technical corrections to certain amendments adopted in the Holding Foreign Insiders Accountable Act. The technical corrections remove certain language that inadvertently was included in a rule and remove obsolete references to a repealed Congressional act in two forms.	June 1, 2026	N/A

Final Rule Name	Release No.	Federal Register Citation	Description	Effective Date	Compliance Date
Rescission of Policy Regarding Denials in Settlements of Enforcement Actions	33-11417	91 Fed. Reg. 29892	The SEC rescinded its no-admit/no-deny settlement policy. The policy stated that the agency would not settle unless the defendant agrees not to publicly deny the allegations in the complaint or order. The Commission will not enforce an existing no-deny provisions and will take no action to vacate the settlement if there is a breach.	May 21, 2026	N/A
Disclosure of Order Execution Information	34-104147	90 Fed. Reg. 47552	The SEC extended the compliance date for amendments to rules requiring the disclosure of order executions in national market system (NMS) stocks from December 14, 2025, to August 1, 2026.	June 14, 2024	August 1, 2026