

Securities Regulation Daily

Regulation Tracker



SEC Proposed Rule Comment Calendar

Proposal Name	Release No.	Federal Register Citation	Description	Comments Due	Comments Submitted
Exemption of Debt Obligations Issued by the European Union Under the Securities Exchange Act of 1934 for Purposes of Trading Futures Contracts on Those Securities	34-106225	Pending	Proposed amendments to Rule 240.3a12-8 would add the debt obligations of the European Union (EU) to the list of foreign government debt obligations designated as "exempted securities" solely for the purposes of futures marketing and trading. The proposed amendments would place futures contracts on EU debt obligations under the exclusive jurisdiction of the CFTC. The offerings of the underlying debt obligations themselves would remain subject to the federal securities laws.	60 days after publication in the <i>Federal Register</i>	Submit comments S7-2026-29
Regulation Crypto Assets	33-11434	91 Fed. Reg. 54510	Regulation Crypto Assets would create a new regulatory framework for non-security crypto assets subject to an investment contract. It would create two new exemptions for securities offerings and a conditional safe harbor from the term "investment contract." The proposal would also preempt state securities law requirements for offers and sales of covered investment contracts issued pursuant to the regulations.	October 20, 2026	View comments S7-2026-27

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Proposal Name	Release No.	Federal Register Citation	Description	Comments Due	Comments Submitted
Electronic Delivery of Information Under the Federal Securities Laws	33-11430	91 Fed. Reg. 45884	The SEC proposed a new rule to make e-delivery the default standard for satisfying disclosure requirements. Regulation E-Delivery would allow entities to deliver information electronically without first obtaining affirmative consent.	September 21, 2026	View comments S7-2026-25
The Trade-Through Rule and Locked and Crossed Markets Provisions of Regulation NMS	34-105655	91 Fed. Reg. 36656	The SEC proposed to rescind Rule 611, the trade-through rule and the provision in Rule 610(e) regarding locking and crossing quotations for NMS stocks.	August 17, 2026	View comments S7-2026-20

SEC Final Rule Effective Dates

Final Rule Name	Release No.	Federal Register Citation	Description	Effective Date	Compliance Date
			None Pending		