



Open Meeting of the Commission – Thursday, May 28, 2020

AGENDA

1. Call to Order

2. Opening Statements

Chairman Heath P. Tarbert

Commissioner Brian D. Quintenz

Commissioner Rostin Behnam

Commissioner Dawn D. Stump

Commissioner Dan M. Berkovitz

3. Proposed Rule: Amending Regulation 3.10(c)(3) – Providing an Exemption from Registration for Foreign Persons Acting as Commodity Pool Operators on Behalf of Offshore Commodity Pools

Staff Presentation

Joshua B. Sterling, Division of Swap Dealer and Intermediary Oversight (DSIO)

Amanda Olear, DSIO

Frank Fisanich, DSIO

Motion

Commissioners' Questions and Discussion

Vote

4. Interim Final Rule: Amending Regulation 23.161 – Extending the Compliance Schedule for Initial Margin Requirements for Uncleared Swaps in Response to the COVID-19 Pandemic

Staff Presentation

Joshua B. Sterling, Division of Swap Dealer and Intermediary Oversight (DSIO)

Carmen Moncada-Terry, DSIO

Warren Gorlick, DSIO

Motion

Commissioners' Questions and Discussion

Vote

5. Closing Remarks

6. Adjournment