



Open Meeting of the Commission – Thursday, October 15, 2020

AGENDA

1. Call to Order

2. Opening Statements

Chairman Heath P. Tarbert

Commissioner Brian D. Quintenz

Commissioner Rostin Behnam

Commissioner Dawn D. Stump

Commissioner Dan M. Berkovitz

3. Final Rule: Margin Requirements for Uncleared Swaps for Swap Dealers and Major Swap Participants (Phase VI Compliance Date Extension)

Staff Presentation

Warren Gorlick, Division of Swap Dealer and Intermediary Oversight (DSIO)

Carmen Moncada-Terry, DSIO

Motion

Commissioners' Questions and Discussion

Vote

4. Final Rule: Exemption from Registration for Certain Foreign Intermediaries

Staff Presentation

Pamela Geraghty, Division of Swap Dealer and Intermediary Oversight (DSIO)

Elizabeth Groover, DSIO

Frank Fisanich, DSIO

Motion

Commissioners' Questions and Discussion

Vote

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5. Final Rule: Position Limits for Derivatives

Staff Presentation

Dorothy D. DeWitt, Division of Market Oversight (DMO)

Rachel Reicher, DMO

Steven A. Haidar, DMO

Aaron Brodsky, DMO

Steven Benton, DMO

Lillian Cardona, DMO

Jeanette Curtis, DMO

Michael Ehrstein, DMO

Harold Hild, DMO

Rachel Hayes, Division of Enforcement (DOE)

Brigitte Weyls, DOE

Chang Jung, Division of Swap Dealer and Intermediary Oversight

Motion

Commissioners' Questions and Discussion

Vote

6. Closing Remarks

7. Adjournment