



**U.S. Commodity Futures Trading Commission**

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**Open Meeting of the Commission – Monday, November 25, 2019 – 10:00 a.m.**

**AGENDA**

**1. Call to Order**

**2. Opening Statements**

Chairman Heath P. Tarbert

Commissioner Brian D. Quintenz

Commissioner Rostin Behnam

Commissioner Dawn DeBerry Stump

Commissioner Dan M. Berkovitz

**3. Staff Presentation: *Final Rules – Amendments to Part 4: Registration and Compliance Requirements for Commodity Pool Operators and Commodity Trading Advisors***

- Amendments to Rules 4.7, 4.13, and 4.14 (Codification of Relief for Family Offices and Relief Related to the JOBS Act)
- Amendments to Rules 4.5 and 4.27 (Updating Exclusions and Adding Reporting Relief)

Joshua B. Sterling, Division of Swap Dealer and Intermediary Oversight (DSIO)

Amanda Olear, DSIO

Elizabeth Groover, DSIO

Chang Jung, DSIO

**Motion / Commissioners' Questions and Discussion / Vote**

**4. Closing Statements**

Commissioner Dan M. Berkovitz

Commissioner Dawn DeBerry Stump

Commissioner Rostin Behnam

Commissioner Brian D. Quintenz

Chairman Heath P. Tarbert

**5. Adjournment**