

Public Statement

Joint Statement Regarding Ongoing Market Volatility

Acting Chair Allison Herren Lee

Pete Driscoll, Director, Division of Examinations

Christian Sabella, Acting Director, Division of Trading and Markets

Jan. 27, 2021

We are aware of and actively monitoring the on-going market volatility in the options and equities markets and, consistent with our mission to protect investors and maintain fair, orderly, and efficient markets, we are working with our fellow regulators to assess the situation and review the activities of regulated entities, financial intermediaries, and other market participants.